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## BOARD NOTICE

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### NOTICE 64 OF 2009 FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO 37 OF 2002)

#### AMENDMENT NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA AND QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2008

I, DUBE PHINEAS TSHIDI, the Registrar of Financial Services Providers, after consultation with the Advisory Committee on Financial Services Providers, hereby under paragraph 5(1)(a) of Part V of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008, amend Annexure 2 to the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as set out in the Schedule to this Notice.

This Notice is called the Amendment Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2009.



**DP TSHIDI,**  
*Registrar of Financial Services Providers*

## SCHEDULE

### AMENDMENTS NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA AND QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2008

#### 1. Definition

In this Schedule "the Notice" means the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as published by Board Notice 105 of 2008 in *Government Gazette* No. 31514 of 15 October 2008.

#### 2. Amendment of the index of Annexure 2 to the Notice

Annexure 2 of the Schedule to the Notice is hereby amended by the substitution of the index of Annexure 2 for the following:

##### "ANNEXURE 2: QUALIFICATIONS LIST

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#### 3. Amendment of Annexure 2 to the Notice

Annexure 2 of the Schedule to the Notice is hereby amended by the substitution for Annexure 2 of the following Annexure:



## **ANNEXURE 2: LIST OF RECOGNISED QUALIFICATIONS**

### **SECTION 1:**

#### **List 1: Qualifications recognised for Category I FSPs**

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Insurance Administration	14653	2	120	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-
National Certificate: Financial Services	48783	2	120	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-
Further Education and Training Certificate: Banking	20185	4	120	G	G	G	G	G	G	G	-	-	-	-	-	-	G	-	G	G	G	G	-
National Certificate: Collective Investment Schemes	20638	4	120	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-	-	-	-	-	-
National Certificate: Healthcare Benefits Administration: Claims Assessing	20639	4	120	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-	-	-	-
Certificate: Financial Products	21166	4	120	S	G	S	S	G	G	G	G	G	G	G	G	G	G	G	G	S	S	S	-
National Certificate: Short-term Insurance	21796	4	120	-	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Risk Management	24396	4	140	-	G	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National Certificate: Financial Services Management	48640	4	150	G	-	G	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-
Further Education and Training Certificate: Medical Claims Assessing	49356	4	150	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-	-	-	-
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G	G	S	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Further Education and Training Certificate: Retail Insurance	49835	4	140	-	G	G	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Further Education and Training Certificate: Short-term Insurance	49929	4	150	G	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	G	G	G	-
Further Education and Training Certificate: Short-term Risk Management	57613	4	160	-	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Further Education and Training Certificate: Wealth Management	57917	4	150	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-
National Higher Certificate: Banking	1213	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Banking	1217	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
Diploma: Investment Analysis and Portfolio Management	3139	5	240	-	-	-	-	-	-	-	G	G	G	G	G	G	G	-	-	G	G	-	-
Diploma: Road Transport Management Freight	3215	5	240	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
IISA Programme: Life Insurance	7150-1	5	120	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	-	-	G	G
Certificate: Banking	11541	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Banking	14373	5	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Treasury: International Banking	20334	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Accounting Technician	20402	5	252	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Certificate: Short-term Insurance	20411	5	120	G	S	G	G	G	S	G	G	G	G	G	G	G	G	-	-	-	-	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Higher Certificate: Long-term Insurance	20411	5	120	G	-	G	-	G	-	G	G	G	G	G	G	G	G	-	-	-	-	G	-
Certificate: Banking	21164	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Wealth Management	23973	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Certificate: International Trade (Exports/Imports) (ACIT)	24356	5	284	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate: Banking Services Advice	48533	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Wealth Management	57608	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking (Financial Services)	57639 / 62794	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Short Term Insurance	57918	5	120	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Certificate: Financial Planning	57998	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Banking Services Advice	58002 / 62811	5	131	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Financial Planner (RFP™)	59234	5	120	S	G	S	S	S	G	G	G	G	G	G	G	G	S	G	S	G	G	S	G
Certificate: Technology Management & Innovation	59449	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Bank Credit	59829 / 62813	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Loss Adjusting	61529	5	120	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate: Banking	72345	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
Bachelor of Commerce: Accounting	3095	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: General Management	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Insurance (Specialisation in Long-term insurance)	20412	6	120	G	-	G	G	G	-	G	-	-	-	-	-	-	-	-	-	-	-	G	-
Diploma: Insurance (Specialisation in Short-term insurance)	20412	6	120	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G	G	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associate Financial Planner (AFP™)	59233	6	120	S	G	S	S	S	G	G	G	G	G	G	G	G	S	G	S	G	G	S	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher diploma: Banking	62810	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	S	G	G	G	G	G	G	-	-	-	-	G	
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	G	G	G	G	S	G	G	-	-	-	-	G	



Qualification title	ID No.	Level	Credits	Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	-	G	G	S	G	S	G	G	G	-	-	-	-	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	-	G	G	G	S	G	S	G	G	-	-	-	-	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	-	G	G	G	G	G	S	G	G	-	-	-	-	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	-	G	G	G	G	G	S	G	G	-	-	-	-	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	G	G	G	G	G	S	G	G	-	-	-	-	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	G	G	G	G	G	G	G	S	-	-	-	-	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	G	S	G	G	G	G	G	G	-	-	-	-	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	N/A	6	N/A	-	-	-	-	-	-	-	G	G	G	G	G	G	G	G	-	-	-	-	G

Qualification title	ID No.	Level	Credits	Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
ISA Programme in Advance Insurance Practice: Pensions	N/A	6	120	G	G	-	G	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	G	G
ISA Programme in Advance Insurance Practice: Short term insurance	N/A	6	120	G	G	G	G	G	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G	G
ISA Programme in Advance Insurance Practice: Long term insurance	N/A	6	120	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G	G
Bachelor of Science: Mathematical Statistics	N/A	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Banking	1019 / 57202	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Accounting	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Specialisation in Internal Auditing	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

[illegible]

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Diploma: Insurance Management	20351	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Diploma: Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

[illegible]

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Master of Commerce: Specialisation in Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Specialisation in Insurance Science	4887	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA ) (Foreign Qualification)	FSB 001	-	-	S	G	S	S	S	G	S	S	S	S	S	S	S	S	S	G	S	S	S	G
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	-	-	-	-	-	-	-	G	G	G	G	G	G	G	-	-	-	-	-	G
Chartered Insurance Institute (CII): Certificate in Insurance (Foreign Qualification)	FSB 003	-	-	-	G	G	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G
Chartered Insurance Institute (CII): Diploma in Insurance (Foreign Qualification)	FSB 004	-	-	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	G	-	-	G	G
Chartered Insurance Institute (CII): Advanced diploma in Insurance (Foreign Qualification)	FSB 005	-	-	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	G	-	-	G	G





### List 2: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category I FSPs

The qualifications included in the list below must contain the subjects / modules / unit standards as specified below in order to be recognised.

Qualification title	ID No.	Level	Credits	Specified subjects/ modules/ unit standards based qualifications recognised for Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Further Education and Training Certificate: Banking	20185	4	120	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	-	G	G	G	?
<ul style="list-style-type: none"><li>• <i>*This qualification is recognised if it contains the following unit standards: 230071, 230075, 242655, 252203, 242815, 110028, 242658, 242657, 120127, 13379, 117156, 114759, 243137, 252191, 7223, 14963, 12456, 117709, 242584, 242593.</i></li><li>• <i>To be recognised for <u>short-term insurance</u> the following electives will apply: 120011, 119679, 242653, 120114, 243137, 117121</i></li><li>• <i>To be recognised for <u>long-term insurance</u> the following electives will apply: 117126, 119261, 11713</i></li><li>• <i>To be recognised for short-term and long-term <u>deposits</u> the following electives will apply: 7223, 7231, 7236, 114759, 117156</i></li><li>• <i>Please note: This SAQA ID for this qualification is the same as for the National Certificate: Banking (20185) NQF level 4</i></li></ul>																							
National Certificate: Banking	20186	5	120	G	-	G	G	-	-	-	G	G	G	G	G	G	G	-	-	G	G	G	?
<i>This qualification is recognised if it contains the following unit standards: 12886, 12887, 12888, 12937, 12883, 7343, 230071, 230075, 7334, 7356, 7361, 13948, 242614.</i>																							

**List 3: Qualifications recognised for Category I FSPs for the transitional period only**

*The qualifications included in the list below will only be recognised up to and including 31 December 2009 in accordance to the Fit and Proper Requirements for Financial Services Providers, 2008 Part X.*

Requirements for Financial Services Providers, 2000 - 2017																								
Provider specific qualification title	ID No.	Level	Credits	Qualifications recognised only for transitional period for Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
UNISA Course: Fundamentals of Banking & Risk Management	N/A	5	30	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G	G	-	-	
UNISA Programme: Banking	N/A	6	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
UNISA Programme: Risk Management	N/A	6	108	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Programme in Investment analysis and portfolio management	7106-4	6	48	G	-	G	G	-	-	-	G	G	G	G	G	G	G	-	-	-	-	G	-	
UNISA Advanced Programme: Property Finance	N/A	7	96	-	-	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	
UNISA Advanced Programme: International & Central Banking	N/A	7	108	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	G	G	G	G	
UNISA Advanced Programme: Financial Planning & Estates	N/A	7	96	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	G	G	G	G	
UNISA Advanced Programme: Bank Credit	N/A	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

**Section 2:****List 4: Qualifications recognised for Category II & IIA FSPs**

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Registered Financial Planner (RFP™)	59234	5	120	G	S	S	S	G	G	G	G	G	G	G	S	G	G	-	G
Certificate: Bank Credit	59829 / 62813	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
B.Com (Banking)	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Diploma: Insurance (Specialisation in Short-term insurance)	20412	6	120	G	G	-	G	G	-	-	-	-	-	-	-	-	-	-	-	
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G	G	
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	S	S	S	G	G	G	G	G	G	G	S	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	62810	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Mathematical Statistics	N/A	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Accounting	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Specialisation in Internal Auditing	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Specialisation in Taxation	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Accounting Honours: Specialisation in Chartered Accountancy	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Accounting	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Bachelor of Business Science: Finance	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	



Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Diploma: Insurance Management	20351	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-	G
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning (Differs according to provider)	48866	7	160	G	S	S	S	S	G	G	G	G	G	G	S	G	G	G	G
Postgraduate Diploma: Financial Planning (Differs according to provider)	48866	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	S	S	S	G	G	G	G	G	G	S	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	S	S	S	S	S	G	G	G	G	G	G	G	G	G	G	G
Certified Financial Planner (CFP®)	59235	7	120	G	S	S	S	G	G	G	G	G	G	G	S	G	G	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Investment Management	3135	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Insurance Science	4887	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001	-	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Fellowship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 006	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Associateship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

**Section 3:****List 5: Qualifications recognised for Category III FSPs**

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	62810	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Mathematical Statistics	N/A	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	S	S	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	S	S	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	S	S	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	S	G	S	G	G	G	G	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	S	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	N/A	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

[illegible]



Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning (Depending on provider)	48866	7	160/ 120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certified Financial Planner (CFP™)	59235	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Investment Management	3135	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Doctor of Commerce: Insurance Science	4807	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Insurance Institute (CII): Fellowship (Foreign Qualification)	FSB 006	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associateship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G



**Section 4:****List 7: Qualifications recognised for Category IV FSPs**

<b>Qualification title</b>	<b>ID No.</b>	<b>Level</b>	<b>Credits</b>	<b>Category IV</b>	<b>KI</b>
National Certificate: Insurance Administration	14653	2	120	G	G
National Certificate: Financial Services	48783	2	120	G	G
Certificate: Banking	20185	4	120	G	G
Certificate: Financial Products	21166	4	120	S	G
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G	G
Further Education and Training Certificate: Short-term Insurance	49929	4	150	G	G
Further Education and Training Certificate: Wealth Management	57917	4	150	G	G
National Higher Certificate: Banking	1213	5	240	G	G
National Certificate: Banking	1217	5	120	G	G
IISA Programme: Life Insurance	7150-1	5	120	G	G
Certificate: Banking	11541	5	120	G	G
Diploma: Banking	14373	5	384	G	G
Diploma: Treasury: International Banking	20334	5	240	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Diploma: Accounting Technician	20402	5	252	G	G
Higher Certificate: Short-term Insurance	20411	5	120	G	G
Certificate: Banking	21164	5	120	G	G
Certificate: Banking Services Advice	48533	5	120	G	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G	G
National Certificate: Wealth Management	57608	5	120	G	G
Certificate: Banking (Financial Services)	57639 / 62794	5	120	G	G
National Certificate: Short-term Insurance	57918	5	120	G	G
Certificate: Financial Planning	57998	5	120	S	G
National Certificate: Banking Services Advice	58002 / 62811	5	131	G	G
Registered Financial Planner (RFP™)	59234	5	120	G	G
Certificate: Bank Credit	59829 / 62813	5	120	G	G
Certificate: Technology Management & Innovation	59449	5	120	G	G
Certificate: Banking	72345	5	120	G	G
National Diploma: Banking	977	6	360	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor of Commerce: Finance	3117	6	432	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G
Bachelor of Banking	14375	6	504	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G
Bachelor of Commerce: General Management	16912	6	536	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G
Bachelor of Commerce: Risk Management	16936	6	528	G	G
Bachelor in Management Leadership (BML)	16944	6	360	G	G
National Diploma: Financial Markets	23653	6	240	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G
National Certificate: Financial Markets and Instruments	50481	6	120	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
National Certificate: Financial Planning	57695	6	120	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	G
Higher Diploma: Banking	59830	6	240	G	G
Bachelor in Business Administration	59850	6	360	G	G
Higher diploma: Banking	62810	6	240	G	G
Bachelor of Science: Mathematical Statistics	N/A	6	360	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	N/A	6	N/A	G	G



Qualification title	ID No.	Level	Credits	Category IV	KI
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	N/A	6	N/A	G	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G
Post-Graduate Diploma: Finance Banking and Investment Banking	2629	7	128	G	G
Bachelor of Commerce Honours: all specialisations	3093	7	120	G	G
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G
Bachelor of Business Science: Specialisation in Accounting	4403	7	576	G	G
Bachelor of Business Science: Specialisation in Finance	4403	7	576	G	G
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G
Bachelor of Commerce Honours: Banking	7215	7	200	G	G
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G
Post-Graduate Diploma: Account Practice	20391	7	240	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G
Post-Graduate Diploma: Financial Planning (Depending on provider)	48866	7	120/ 160	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G
Certified Financial Planner (CFP®)	59235	7	120	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Master of Commerce: Investment Management	3135	8	240	G	G
Master of Commerce: Specialisation in Banking	4807	8	300	G	G
Doctor of Commerce: Specialisation in Insurance Science	4887	8	144	G	G
Master of Business Administration	5047	8	120	G	G
Doctor of Commerce: Banking	16574	8	300	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB 001	-	-	S	S
Chartered Insurance Institute (CII): Certificate in Insurance (Foreign Qualification)	FSB 003	-	-	G	G
Chartered Insurance Institute (CII): Diploma in Insurance (Foreign Qualification)	FSB 004	-	-	G	G
Chartered Insurance Institute (CII): Advanced diploma in Insurance (Foreign Qualification)	FSB 005	-	-	G	G
Chartered Insurance Institute (CII): Fellowship (Foreign Qualification)	FSB 006	-	-	G	G
Associateship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G

**List 8: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category IV FSPs**

*The qualifications included in the list below must contain the subjects / modules / unit standards as specified below in order to be recognised.*

Qualification title	ID No.	Level	Credits	Category IV	KI
Further Education and Training Certificate: Banking	20185	4	120		
<ul style="list-style-type: none"> <li>• This qualification is recognised if it contains the following unit standards: 230071, 230075, 242655, 252203, 242815, 110028, 242658, 242657, 120127, 13379, 117156, 114759, 243137, 252191, 7223, 14963, 12456, 117709, 242584, 242593.</li> <li>• To be recognised for <u>short-term insurance</u> the following electives will apply: 120011, 119679, 242653, 120114, 243137, 117121</li> <li>• To be recognised for <u>long-term insurance</u> the following electives will apply: 117126, 119261, 11713</li> <li>• To be recognised for short-term and long-term <u>deposits</u> the following electives will apply: 7223, 7231, 7236, 114759, 117156</li> <li>• Please note: This SAQA ID for this qualification is the same as for the National Certificate: Banking (20185) NQF level 4</li> </ul>					

**List 9: Qualifications recognised for Category IV FSPs for the transitional period only**

*The qualifications included in the list below will only be recognised up to and including 31 December 2009 in accordance to the Fit and Proper Requirements for Financial Services Providers, 2008 Part X.*

Qualification title	ID No.	Level	Credits	Category IV
UNISA Programme: Banking	N/A	6	96	G
UNISA Programme: Risk Management	N/A	6	108	G
UNISA Advanced Programme: Bank Credit	N/A	7	96	G