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BOARD NOTICES

BOARD NOTICE 39 OF 2010

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

SUSPENSION OF AUTHORISATIONS

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that I have suspended under section 9(1) of the Act the licences of the following Licensees with effect from the respective dates referred hereunder:

Licensee	FSP No.	Effective Date
9 Twentyfour Financial Services (Pty) Ltd	25422	4 August 2009
Alida Jacobs t/a Shalom Alijac Funeral Fund	16101	11 February 2010
Clinton Saaiman t/a CCS Motors	25987	8 July 2009
Dalys Hewlett and Associates (Pty) Ltd	9304	14 August 2009
Devan Sagadevan Govender t/a Devan Govender & Associates	22260	22 February 2010
EOSFIN (Pty) Ltd	11276	24 August 2009
FCS Koekemoer & F Holtzhauzen t/a 4D Wealth	12643	2 November 2009
HJB Erasmus BK t/a H&H Makelaars	8935	29 October 2009
Ivan Jacobus Thyssen t/a Iwill Undertakers	14691	17 August 2009
Judith Nobuhle Khoza	22514	10 September 2009
Roger Wilco 117 CC t/a Augusta Motors	26399	9 February 2010
Southern Financial Planners CC	33074	10 September 2009
Yolande van Wyk	25826	17 September 2009
Z Mkize t/a Zandile Mkize Brokers	22158	30 September 2008

The terms attached to the suspension are as follows:

- (a) The Licensees are prohibited from concluding any new business;
- (b) The Licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence;
- (c) The Licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the best interest of clients, and must advise the Registrar of Financial Services Providers accordingly;
- (d) The suspension of the Licensees will endure for a period of three months after the effective date, where after the Registrar will either lift the suspension if satisfied that the Licensees comply with the requirements of the Act, or withdraw the Licensees licenses if not so satisfied.

This Notice is called the Notice on Suspension of Authorisations, No. 1 of 2010.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 40 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO. 37 OF 2002)****WITHDRAWAL OF AUTHORISATIONS**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that I have withdrawn under section 9(1) of the Act the licences of the following Licensees with effect from the respective dates referred hereunder:

Licensee	FSP No.	Effective Date
A1 Financial Planning CC t/a A-Sure Financial Planning	39301	11 November 2009
Abdullah Dawood Khan	4003	2 November 2009
All Weather Capital CC	17528	09 January 2010
Arxcis Financial Services CC	28442	12 June 2009
B & T Funeral Brokers CC t/a Constantia Funeral Brokers	36725	8 April 2009
Bernice Bernita Beryl Adams t/a Bernice BB Adams	27181	7 April 2009
Bohlale Financial Services (Pty) Ltd	26500	17 March 2010
Bridge Brokerage (Pty) Ltd	17585	24 August 2009
Brookfield Investments 189 (Pty) Ltd	23214	11 August 2009
D Dolphins Funeral Services CC t/a The Dolphins Funeral Services	26198	4 September 2009
Divine Asset Management (Pty) Ltd	790	9 September 2009
Edwabond Capital Options (Pty)Ltd	31990	05 March 2010
EOSFIN (Pty) Ltd	11276	13 November 2009
Francois Arnoldus van der Merwe t/a Enigma Financial Planning CC	7919	14 October 2009
Gert Jacobus Dreyer t/a Save & Sure Brokers	14920	12 October 2009
Hermanus Adrian Combrink	3132	12 January 2009
HJB Erasmus BK t/a H&H Makelaars	8935	16 March 2010
Imraan Amod t/a M & I Consulting	20281	14 December 2010
Insignya Financial Services (Pty) Ltd	11975	25 August 2009
J Geldenhuys t/a Belfa Insurance Brokers	209	2 March 2009
Kadebona Songo t/a Songo Insurance Broking	12504	9 July 2009
Keewave Trading 242 CC	23165	4 March 2009

Key Spirit Trading 301 CC t/a Auto Invest	19127	11 March 2009
Lagerskraal Makelaars BK t/a Lizmar Makelaars	6337	4 September 2009
Leonita Josephine Sturm	19152	14 September 2009
Makaza Auto Traders CC	34515	9 July 2009
Marnelda Trust t/a Marnelda Trust Brokers	20714	24 August 2009
Martin Badenhorst	6135	22 February 2010
MJCM Professional Assurance Brokers CC	4096	2 November 2009
Octo Trading178 CC t/a MDP Brokers	17665	22 February 2010
QDLE Legal and Financial Services CC	20596	6 November 2009
Quantum Portfolio's CC	18585	13 March 2009
Roychund Singh t/a Kwazulu Natal Financial Services	2323	8 February 2010
Sakhiwo David Mangquku	20490	11 December 2009
Sarel Johannes van der Walt t/a Van der Walt & Associates	25612	9 July 2009
Sean Brian Peterson	12020	2 November 2009
Sedgefield Administrator CC t/a Sedgefield Consulting	14858	7 September 2009
Sedgefield Administrator CC t/a Sedgefield Consulting	14858	7 September 2009
SMG Insurance Services (Pty)Ltd	18327	5 March 2010
Tax & Finance Management CC	8078	27 November 2009
Ultracare Medical CC	13888	14 August 2009
Vinesh Whotho Maharaj t/a Vinesh Maharaj & Associates	8608	3 March 2010
Vision Healthcare and Employee Benefits (Pty) Ltd	23354	15 May 2009
Wesley Drake Securities (Pty) Ltd	14278	10 June 2009
Wolkberg Makelaars BK	19159	5 January 2010
Zandile Mkhize t/a Zandile Mkhize Brokers	22158	11 August 2009
Zeroth Thanda Nxumalo t/a Multi Payouts Investment Broker	21959	26 January 2010

This Notice is called the Notice on Withdrawal of Authorisations, No. 1 of 2010.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 41 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO. 37 OF 2002)****LIFTING OF SUSPENSIONS**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(b) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that I have lifted the suspensions of the following Licensees with effect from the respective dates referred hereunder:

Licensee	FSP No.	Effective Date
AJG Brokers CC	14209	06 August 2009
Dalys Hewlett and Associates (Pty) Ltd	9304	25 January 2010
Devan Sagadevan Govender t/a Devan Govender & Associates	22260	17 March 2010
Judith Nobuhle Khoza	22514	3 November 2009
Solutrade 21 CC t/a Fritz Consulting	19900	31 August 2009

This Notice is called the Notice on Lifting of Suspensions, No. 1 of 2010.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 42 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO. 37 OF 2002)****PROVISIONAL WITHDRAWAL OF AUTHORISATIONS**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b), of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that I have provisionally withdrawn the licences of the following Licensees with effect from the respective dates referred hereunder:

Licensee	FSP No.	Effective Date
Afcapital (Pty) Ltd t/a In the Black	25063	18 February 2010
MJCM Professional Assurance Brokers CC	4096	10 September 2009
Solomon Marais Zietsman	13258	06 January 2010

This Notice is called the Notice on Provisional Withdrawal of Authorisation, No. 1 of 2010.

**G E ANDERSON***Deputy Registrar of Financial Services Providers*

BOARD NOTICE 43 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO. 37 OF 2002)****NOTICE ON AMENDMENT OF EXEMPTIONS**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby under paragraph 4(a) of-

- (a) the Exemption of Banks in respect of Certain clients, 2004;
- (b) the Exemption of Morgan Stanley and AMB Holdings in respect of Certain Clients, 2004;
- (c) the Exemption of UBS South Africa and Deutsche Securities in respect of Certain Clients, 2007;
- (d) the Exemption of First Africa and RMB Investments and Advisory in respect of Certain Clients, 2008;
- (e) the Exemption of Nomura International in respect of Certain Clients, 2009;
- (f) the Exemption of certain Merrill Lynch Entities in respect of Certain Clients, 2009;
- (g) the Exemption of Goldman Sachs International in respect of Certain Clients, 2009,

amend paragraph 3 of the abovementioned Exemptions by the substitution for the date "30 April 2010" of the date "30 April 2011".

This Notice is called the Notice on Amendment of Exemptions, 2010, and comes into operation on 1 May 2009.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 44 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO 37 OF 2002)****AMENDMENT NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA AND
QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2008**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, after consultation with the Advisory Committee on Financial Services Providers, hereby under paragraph 5(1)(a) of Part V of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008, amend Annexure 2 to the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as set out in the Schedule to this Notice.

This Notice is called the Amendment Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2010.



GE ANDERSON,
Deputy Registrar of Financial Services Providers

SCHEDULE**AMENDMENTS NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA
AND QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2008****1. Definition**

In this Schedule "the Notice" means the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as published by Board Notice 105 of 2008 in *Government Gazette* No. 31514 of 15 October 2008.

2. Amendment of the index of Annexure 2 to the Notice

Annexure 2 of the Schedule to the Notice is hereby amended by the substitution of the index of Annexure 2 for the following:

"ANNEXURE 2: QUALIFICATIONS LIST

SECTION 1	2
List 1: Qualifications recognised for Category I FSPs	2
List 2: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category I FSPs	28
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List 7: Qualifications recognised for Category IV FSPs.....	66
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List 9: Qualifications recognised for Category IV FSPs for the transitional period only	80"

3. Amendment of Annexure 2 to the Notice

Annexure 2 of the Schedule to the Notice is hereby amended by the substitution for Annexure 2 of the following Annexure:

ANNEXURE 2: LIST OF RECOGNISED QUALIFICATIONS

SECTION 1

List 1: Qualifications recognised for Category I FSPs

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Higher Certificate in Financial Markets and Instruments (Historical Qualification)	FSB 028	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-
National Certificate: Insurance Administration	14653	2	120	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-
National Certificate: Financial Services	48783	2	120	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-
Further Education and Training Certificate: Banking	20185	4	120	S	S	S	G	G	S	G	-	-	-	-	-	-	G	-	G	S	S	G	-
National Certificate: Collective Investment Schemes	20638	4	120	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-	-	-	-	-	-
National Certificate: Healthcare Benefits Administration: Claims Assessing	20639	4	120	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-	-	-	-

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Certificate: Financial Products	21166	4	120	S	G	S	S	G	G	G	G	G	G	G	G	G	G	G	G	S	S	S	-
National Certificate: Short-term Insurance	21796	4	120	-	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	
National Certificate: Risk Management	24396	4	140	-	G	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	-
National Certificate: Financial Services Management	48640	4	150	G	-	G	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-
Further Education and Training Certificate: Medical Claims Assessing	49356	4	150	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	S	-	-	-	--
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G	G	S	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Further Education and Training Certificate: Retail Insurance	49835 / 66609	4	140	-	G	G	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	
Further Education and Training Certificate: Short-term Insurance	49929 / 66610	4	150	G	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	G	G	G	
Further Education and Training Certificate: Short-term Risk Management	57613	4	160	-	S	-	-	-	S	-	-	-	-	-	-	-	-	-	-	-	-	-	

Qualification title	ID No.	Level	Credits	Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
Further Education and Training Certificate: Wealth Management	57917 / 66613	4	150	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	
Diploma in Business Management (Historical Qualification)	FSB 041	5	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associateship Certificate: Building Societies Institute of South Africa (Historical Qualification)	FSB 042	5	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Higher Certificate: Banking	1213	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
National Certificate: Banking	1217	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
Diploma: Investment Management	3139	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Road Transport Management Freight	3215	5	240	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
IISA Programme: Life Insurance	7150-1	5	120	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	-	-	G	G	
Certificate: Banking	11541	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Diploma: Banking	14373	5	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate in Management and Leadership (CML)	17001	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Banking	20186 / 61589	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Treasury: International Banking	20334	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Accounting Technician	20402	5	252	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Certificate: Short-term Insurance	20411	5	120	G	S	G	G	G	S	G	G	G	G	G	G	G	G	-	-	-	-	G	G
Higher Certificate: Long-term Insurance	20411	5	120	G	-	G	-	G	-	G	G	G	G	G	G	G	G	-	-	-	-	G	-
Certificate: Banking	21164	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Management Development	22151	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Business Studies	22452	5	120	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G
Certificate: Local Government	23616	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Wealth Management	23973	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Certificate: International Trade (Exports/Imports) (ACIT)	24356	5	284	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate: Practical Management	36047	5	163	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking Services Advice	48533	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Wealth Management	57608	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Banking (Financial Services)	57639 / 62794	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Short Term Insurance	57918	5	120	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate: Financial Planning	57998 / 62810	5	120	S	G	S	S	S	G	S	G	G	G	G	G	G	S	G	G	S	S	S	G
National Certificate: Banking Services Advice	58002 / 62811	5	131	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Business Management	58341	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Generic Management	59201	5	162	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Financial Planner (RFP™)	59234	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Technology Management & Innovation	59449	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Bank Credit	59829 / 62813	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Loss Adjusting	61529	5	120	-	G	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Certificate: Banking	61589	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Management Development	62269	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Sales: Banking Services	65170	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-
Bachelor of Commerce: General University of Transkei/ Walter Sisulu University	FSB 029	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Economic Management Analysis	961	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
National Diploma: Cost and Management Accounting	1061	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Internal Auditing	2165	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Agricultural Management	2483	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Accounting	2549	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Social Science	2961	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	3095	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Industrial Psychology	3255	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Economics and Law	4427	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	4737	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting Science	5535	6	408	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	5563	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Baccalaureas Procurationis	5997	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	6153	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws/ Bachelor Legum	6875/ 6877/	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	S	S	G	G
Bachelor of Commerce: Economics	7263	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Administration	7333	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	7849	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	8543	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Economics	8613	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Law	8877	6	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	9843	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	9878	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accountancy	14779	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	14780	6	416	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	15147	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	15337	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Accountancy	15506	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	15594	6	369	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Actuarial Science	16253	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: General Management	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Marketing	16915	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

[illegible]

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G	G	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Marketing	24327	6	350	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Management	35957	6	420	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Business Management	36045	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695 / 62795	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
Associate Financial Planner (AFP™)	59233	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Advanced Diploma: Management	62270	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce: Banking Management	62812	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Higher diploma: Banking	62814	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Marketing	65306	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Cost and Management Accounting	72220	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma in Management	72610	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Certificate: Wealth Management	73350	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	FSB 030	6	N/A	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	FSB 031	6	N/A	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	FSB 032	6	N/A	G	G	G	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed	FSB 033	6	N/A	G	G	G	G	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
modules																							
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	FSB 034	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	FSB 035	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	FSB 036	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Foreign	FSB 037	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Exchange Market: • Must include the 3 prescribed modules																							
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	FSB 038	6	N/A	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	FSB 039	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
IISA Programme in Advance Insurance Practice: Pensions	FSB 043	6	120	G	G	-	G	G	-	G	-	-	-	-	-	-	-	-	-	-	-	G	G
IISA Programme in Advance Insurance Practice: Short term insurance	FSB 044	6	120	G	G	G	G	G	G	-	-	-	-	-	-	-	-	-	-	-	-	G	G
IISA Programme in Advance Insurance Practice: Long term insurance	FSB 045	6	120	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor of Science: Mathematical Statistics	62469 / 17398	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Financial Planning	FSB 040	6	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Banking	1019 / 57202	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Marketing	1325	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting Honours	2559	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Accounting	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Specialisation in Internal Auditing	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours (All specialisations)	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: All specialisations	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Accounting	5191	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws/ Bachelor Legum	6015	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Certificate: Advanced Taxation	14547	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																				
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
Bachelor of Business Science: Economics	14784	7	544	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Management and Administration Honours	15370	7	150	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post Graduate Diploma: Management Financial Accounting	15497	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Bachelor in Management Leadership (BML)	16994	7	120 /360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Diploma: Insurance Management	20351	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Diploma: Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws	22993	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Company Direction	36050	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Postgraduate Diploma: Financial Planning (Differs according to provider)	48866	7	160	G	G	S	S	S	G	S	G	G	G	G	G	G	S	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning (Differs according to provider)	48867	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Accountant: Auditing	48913	7	679	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Internal Auditing	65341	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Management (Retirement Funds)	FSB 046	7	120	-	-	-	-	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-
Certified Financial Planner (CFP®)	59235	7	120	S	G	S	S	S	G	G	G	G	G	G	G	G	S	G	S	G	G	S	G
Professional Postgraduate Qualification: Company Secretarial and Governance	60654	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Practice																							
Bachelor of Technology: Marketing	72429	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Investment Management	3135	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Specialisation in Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Specialisation in Insurance Science	4887	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	4909	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	9257	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration: Telematic	19606	8	288	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Management and Administration	19608	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration: Tourism Development	36072	8	440	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration: General	36078	8	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration	62271	8	233	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB 001	-	-	S	G	S	S	S	G	S	S	S	S	S	S	S	S	S	G	S	S	S	G
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	-	-	-	-	-	-	-	G	G	G	G	G	G	G	-	-	-	-	-	G
Chartered Insurance Institute (CII): Certificate in Insurance (Foreign Qualification)	FSB 003	-	-	-	G	G	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G

Qualification title	ID No.	Level	Credits	Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Chartered Insurance Institute (CII): Diploma in Insurance (Foreign Qualification)	FSB 004	-	-	G	G	G	G	-	G	-	-	-	-	-	-	-	-	G	-	-	G	G	
Chartered Insurance Institute (CII): Advanced diploma in Insurance (Foreign Qualification)	FSB 005	-	-	G	G	G	G	-	G	-	-	-	-	-	-	-	-	G	-	-	G	G	
Fellowship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 006	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Associate ship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Post graduate Diploma Management (Retirement Funds)	FSB 008	8	120	-	-	-	-	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	
International Certificate in Financial Advice	FSB 009	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-	
International Diploma in Compliance	FSB 010	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
UK Chartered Insurance Institute Certificate in Financial Planning	FSB 011	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

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Qualification title	ID No.	Credits Level	Category I																				
			1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI	
ACI Dealing Certificate	FSB 012	-	-	-	-	-	-	-	-	G	-	-	-	-	G	-	-	-	-	-	G	-	-

List 2: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category I FSPs

The qualifications included in the list below must contain the subjects / modules / unit standards as specified below in order to be recognised.

Qualification title	ID No.	Level	Credits	Specified subjects/ modules/ unit standards based qualifications recognised for Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Further Education and Training Certificate: Banking	20185	4	120	G	G	G	G	-	G	-	-	-	-	-	-	-	-	-	-	G	G	G	?
<ul style="list-style-type: none">• <i>*This qualification is recognised if it contains the following unit standards: 230071, 230075, 242655, 252203, 242815, 110028, 242658, 242657, 120127, 13379, 117156, 114759, 243137, 252191, 7223, 14963, 12456, 117709, 242584, 242593.</i>• <i>To be recognised for <u>short-term insurance</u> the following electives will apply: 120011, 119679, 242653, 120114, 243137, 117121</i>• <i>To be recognised for <u>long-term insurance</u> the following electives will apply: 117126, 119261, 11713</i>• <i>To be recognised for short-term and long-term <u>deposits</u> the following electives will apply: 7223, 7231, 7236, 114759, 117156</i>• <i>Please note: This SAQA ID for this qualification is the same as for the National Certificate: Banking (20185) NQF level 4</i>																							
National Certificate: Banking	20186	5	120	G	-	G	G	-	-	-	G	G	G	G	G	G	G	-	-	G	G	G	?
This qualification is recognised if it contains the following unit standards: 12886, 12887, 12888, 12937, 12883, 7343, 230071, 230075, 7334, 7356, 7361, 13948, 242614.																							

List 3: Qualifications recognised for Category I FSPs for the transitional period only

The qualifications included in the list below will only be recognised up to and including 31 December 2009 in accordance to the Fit and Proper Requirements for Financial Services Providers, 2008 Part X.

Provider specific qualification title	ID No.	Level	Credits	Qualifications recognised only for transitional period for Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
Postgraduate Certificate in Medicine and Law	FSB 013	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-	-	-	-
Certificate Health Benefits Examination	FSB 014	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-	-	-	-
SAIM Programme in Business Management (UNISA)	FSB 015	-	72	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate of Proficiency: Basics of Retirement Funds	FSB 016	4	N/A	-	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-
Certificate of Proficiency: Long-term basic (Category B Programme)	FSB 017	4	N/A	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
UNISA Course: Fundamentals of Banking & Risk Management	FSB 018	5	30	G	-	G	-	-	-	-	-	-	-	-	-	-	-	-	-	G	G	-	-
UNISA Certificate in Financial Management	FSB 019	6	60	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
UNISA Programme: Banking	FSB 020	6	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Provider specific qualification title	ID No.	Level	Credits	Qualifications recognised only for transitional period for Category I																			
				1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	KI
UNISA Programme: Risk Management	FSB 021	6	108	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Programme in Investment analysis and portfolio management	7106-4	6	48	G	-	G	G	-	-	-	G	G	G	G	G	G	G	-	-	-	-	G	-
Certificate in Employee Health Benefits – University of Pretoria	FSB 022	6	60																G				
UNISA Advanced Programme: Property Finance	FSB 023	7	96	-	-	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	-	-	-
UNISA Advanced Programme: International & Central Banking	FSB 024	7	108	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	G	G	G	G
UNISA Advanced Programme: Financial Planning & Estates	FSB 025	7	96	G	-	G	G	G	-	G	G	G	G	G	G	G	G	-	-	G	G	G	G
UNISA Advanced Programme: Bank Credit	FSB 026	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Senior Management Programme	FSB 027	7	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Section 2:

List 4: Qualifications recognised for Category II & IIA FSPs

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Higher Certificate in Financial Markets and Instruments (Historical Qualification)	FSB 028	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-	
Bachelor of Commerce: General University of Transkei/ Walter Sisulu University	FSB 029	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Economic Management Analysis	961	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Cost and Management Accounting	1061	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
National Diploma: Internal Auditing	2165	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Agricultural Management	2483	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelor of Accounting	2549	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Social Science	2961	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	3095	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Industrial Psychology	3255	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Economics and Law	4427	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	4737	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting Science	5535	6	408	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	5563	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Baccalaureas Procuratoris	5997	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	6153	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws/ Bachelor Legum	6875/ 6877	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Economics	7263	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Administration	7333	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	7849	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	8543	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelor of Economics	8613	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Law	8877	6	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	9843	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	9878	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accountancy	14779	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	14780	6	416	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	15147	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	15337	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelor of Accountancy	15506	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	15594	6	369	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Actuarial Science	16253	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Banking)	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Marketing	16915	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma in Management Leadership (DML)	16991	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting Sciences	19584	6	386	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelors of Commerce: Management Sciences	19587	6	370	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Economics: Economics and Management	19592	6	370	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Military Science	19669	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Insurance (Specialisation in Short-term insurance)	20412	6	120	G	G	-	G	G	-	-	-	-	-	-	-	-	-	-	-
Bachelor of Arts (Law)	23375	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	S	S	S	S	S	S	G	G	G	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Diploma: Marketing	24327	6	350	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Management	35957	6	420	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Business Management	36045	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Advanced Diploma: Management	62270	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	62810	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	62812	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Marketing	65306	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Cost and Management Accounting	72220	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma in Management	72610	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Wealth Management	73350	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Mathematical Statistics	62469/ 17398	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	FSB 030	6	N/A	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	FSB 031	6	N/A	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader): • Must include the 4 prescribed modules	FSB 032	6	N/A	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	FSB 033	6	N/A	G	G	G	G	G	G	G	S	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	FSB 034	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	FSB 035	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	FSB 036	6	N/A	G	G	G	G	G	G	G	G	G	S	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	FSB 037	6	N/A	G	G	G	G	G	G	G	G	G	G	G	S	G	G	G	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	FSB 038	6	N/A	G	G	G	G	G	S	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	FSB 039	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Bachelor of Commerce: Financial Planning	FSB 040	6	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Technology: Marketing	1325	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Accounting Honours	2559	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Accounting	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Specialisation in Internal Auditing	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Specialisation in Taxation	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Accounting Honours: Specialisation in Chartered Accountancy	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: Accounting	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: Finance	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Accounting	5191	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws/ Bachelor Legum	6015	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Postgraduate Certificate: Advanced Taxation	14547	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Economics	14784	7	544	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Management and Administration Honours	15370	7	150	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Post Graduate Diploma: Management Financial Accounting	15497	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Management Leadership (BML)	16994	7	120/ 360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	S	S	S	S	S	S	S	G	G	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Diploma: Insurance Management	20351	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-	G
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws	22993	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Diploma: Company Direction	36050	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning (Differs according to provider)	48866	7	160	G	S	S	S	S	G	G	G	G	G	G	S	G	G	G	G
Postgraduate Diploma: Financial Planning (Differs according to provider)	48866	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	S	S	S	G	G	G	G	G	G	S	G	G	G	G
Chartered Accountant: Financial Management	48912	7	698	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Accountant: Auditing	48913	7	679	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	S	S	S	S	S	G	G	G	G	G	G	G	G	G	G	G
Certified Financial Planner (CFP®)	59235	7	120	G	S	S	S	G	G	G	G	G	G	G	S	G	G	G	G
Professional Postgraduate Qualification: Company Secretarial and Governance Practice	60654	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA																
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI	
Bachelor of Technology: Internal Auditing	65341	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Technology: Marketing	72429	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Doctor of Commerce: Investment Management	3133	8	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Master of Commerce: Investment Management	3135	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Master of Commerce: Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Doctor of Commerce: Insurance Science	4887	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Master of Business Administration	4909	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Master of Business Administration	9257	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration: Telematic	19606	8	288	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Management and Administration	19608	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration: Tourism Development	36072	8	440	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration: General	36078	8	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration	62271	8	233	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001	-	-	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S	S
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Fellowship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 006	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category II and IIA															
				2.1	2.2	2.3	2.4	2.5	2.6	2.7	2.8	2.9	2.10	2.11	2.12	2.13	2.14	IIA	KI
Associate ship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
International Diploma in Compliance	FSB 010	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
ACI Dealing Certificate	FSB 012	-	-	-	-	-	-	-	-	G	-	-	-	G	-	-	-	-	-

Section 3:

List 5: Qualifications recognised for Category III FSPs

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Higher Certificate in Financial Markets and Instruments (Historical Qualification)	FSB 028	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-
Bachelor of Commerce: General University of Transkei/ Walter Sisulu University	FSB 029	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Economic Management Analysis	961	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Banking	977	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Cost and Management Accounting	1061	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Internal Auditing	2165	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Agricultural Management	2483	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting	2549	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Social Science	2961	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	3095	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Industrial Psychology	3255	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Economics and Law	4427	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	4737	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting Science	5535	6	408	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce	5563	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Baccalaureas Procurationis	5997	6	432	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	6153	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Laws/ Bachelor Legum	6875/ 6877	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Economics	7263	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Administration	7333	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	7849	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce	8543	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Economics	8613	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Law	8877	6	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	9843	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	9878	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Banking	14375	6	504	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accountancy	14779	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting	14780	6	416	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce	15147	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce	15337	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accountancy	15506	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Law	15594	6	369	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Science: Actuarial Science	16253	6	384	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (General Management)	16912	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Marketing	16915	6	536	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
B.Com (Risk Management)	16936	6	528	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma in Management Leadership (DML)	16991	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Accounting Sciences	19584	6	386	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelors of Commerce: Management Sciences	19587	6	370	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Economics: Economics and Management	19592	6	370	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Military Science	19669	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts (Law)	23375	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Financial Markets	23653	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Diploma: Accounting & Business Administration	24326	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Marketing	24327	6	350	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Diploma: Management	35957	6	420	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Business Management	36045	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Markets & Instruments	50481	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Certificate: Financial Planning	57695	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Higher Diploma: Banking	59830	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor in Business Administration	59850	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Diploma: Management	62270	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Higher Diploma: Banking	62810	6	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Banking Management	62812	6	363	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Marketing	65306	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma: Cost and Management Accounting	72220	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
National Diploma in Management	72610	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certificate: Wealth Management	73350	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Science: Mathematical Statistics	62469/ 17398	6	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	FSB 030	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	FSB 031	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	FSB 032	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	FSB 033	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	FSB 034	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	FSB 035	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	FSB 036	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	FSB 037	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	FSB 038	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	FSB 039	6	N/A	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce: Financial Planning	FSB 040	6	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Marketing	1325	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Accounting Honours	2559	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Finance Banking and Investment Management	2629	7	128	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Accounting	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: all specialisations	3093	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III															
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI	
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Accounting	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Finance	4403	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Postgraduate Diploma: Accounting	5191	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Laws/ Bachelor Legum	6015	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Banking	7215	7	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Postgraduate Certificate: Advanced Taxation	14547	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Science: Economics	14784	7	544	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Business Management and Administration Honours	15370	7	150	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Post Graduate Diploma: Management Financial Accounting	15497	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Agribusiness Management	16486	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III															
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI	
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor in Management Leadership (BML)	16994	7	120/ 360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Commerce Honours: Information Systems	19970	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Post-Graduate Diploma in Account Practice	20391	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
Bachelor of Laws	22993	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Diploma: Company Direction	36050	7	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Postgraduate Diploma: Financial Planning (Depending on provider)	48866	7	160/ 120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Accountant: Financial Management	48912	7	698	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Accountant: Auditing	48913	7	679	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Certified Financial Planner (CFP™)	59235	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Professional Postgraduate Qualification: Company Secretarial and Governance Practice	60654	7	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Bachelor of Technology: Internal Auditing	65341	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Bachelor of Technology: Marketing	72429	7	480	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Investment Management	3135	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Commerce: Banking	4807	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Insurance Science	4807	8	144	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	4909	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	5047	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration	9257	8	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Doctor of Commerce: Banking	16574	8	300	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
Master of Business Administration: Telematic	19606	8	288	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Management and Administration	19608	8	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master of Business Administration: Tourism Development	36072	8	440	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration: General	36078	8	200	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Master: Business Administration	62271	8	233	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB001	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Alternative Investment Specialist (CAIA) (Foreign Qualification)	FSB 002	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Chartered Insurance Institute (CII): Fellowship (Foreign Qualification)	FSB 006	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
Associateship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

Qualification title	ID No.	Level	Credits	Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
International Diploma in Compliance	FSB 010	-	-	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
ACI Dealing Certificate	FSB 012	-	-	-	-	-	-	-	-	G	-	-	-	G	-	-	-	-

List 6: Qualifications recognised for Category III FSPs for the transitional period only

The qualifications included in the list below will only be recognised up to and including 31 December 2009 in accordance to the Fit and Proper Requirements for Financial Services Providers, 2008 Part X.

Qualification title	ID No.	Level	Credits	Qualifications recognised only for transitional period for Category III														
				3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11	3.12	3.13	3.14	KI
UNISA Programme: Risk Management	FSB 021	6	108	G	G	G	G	G	G	G	G	G	G	G	G	G	G	-

Section 4:

List 7: Qualifications recognised for Category IV FSPs

Qualification title	ID No.	Level	Credits	Category IV	KI
Higher Certificate in Financial Markets and Instruments (Historical Qualification)	FSB 028	-	-	G	-
National Certificate: Insurance Administration	14653	2	120	G	G
National Certificate: Financial Services	48783	2	120	G	G
Certificate: Banking	20185	4	120	G	G
Certificate: Financial Products	21166	4	120	S	G
Further Education and Training Certificate: Long-term Insurance	49649	4	140	G	G
Further Education and Training Certificate: Short-term Insurance	49929	4	150	G	G
Further Education and Training Certificate: Wealth Management	57917	4	150	G	G
Diploma in Business Management (Historical Qualification)	FSB 041	5	N/A	G	G
Associateship Certificate: Building Societies Institute of South Africa (Historical Qualification)	FSB 042	5	N/A	G	G
National Higher Certificate: Banking	1213	5	240	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
National Certificate: Banking	1217	5	120	G	G
Diploma: Investment Management	3139	5	240	G	G
IISA Programme: Life Insurance	7150-1	5	120	G	G
Certificate: Banking	11541	5	120	G	G
Diploma: Banking	14373	5	384	G	G
Certificate in Management and Leadership (CML)	17001	5	120	G	G
National Certificate: Banking	20186 / 61589	5	120	G	G
Diploma: Treasury: International Banking	20334	5	240	G	G
Diploma: Accounting Technician	20402	5	252	G	G
Higher Certificate: Short-term Insurance	20411	5	120	G	G
Certificate: Banking	21164	5	120	G	G
Certificate: Management Development	22151	5	120	G	G
National Certificate: Business Studies	22452	5	120	-	G
Certificate: Local Government	23616	5	120	G	G
Certificate: Practical Management	36047	5	163	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Certificate: Banking Services Advice	48533	5	120	G	G
National Diploma: Financial Services Long Term Risk Assessment	49860	5	245	G	G
National Certificate: Wealth Management	57608	5	120	G	G
Certificate: Banking (Financial Services)	57639 / 62794	5	120	G	G
National Certificate: Short-term Insurance	57918	5	120	G	G
Certificate: Financial Planning	57998	5	120	S	G
National Certificate: Banking Services Advice	58002 / 62811	5	131	G	G
Diploma: Business Management	58341	5	240	G	G
National Certificate: Generic Management	59201	5	162	G	G
Registered Financial Planner (RFP™)	59234	5	120	G	G
Certificate: Bank Credit	59829 / 62813	5	120	G	G
Certificate: Technology Management & Innovation	59449	5	120	G	G
National Certificate: Banking	61589	5	120	G	G
Certificate: Management Development	62269	5	120	G	G
Certificate: Sales: Banking Services	65170	5	120	G	-

Qualification title	ID No.	Level	Credits	Category IV	KI
Certificate: Banking	72345	5	120	G	G
National Diploma: Economic Management Analysis	961	6	360	G	G
National Diploma: Banking	977	6	360	G	G
National Diploma: Cost and Management Accounting	1061	6	360	G	G
National Diploma: Internal Auditing	2165	6	360	G	G
Bachelor of Agricultural Management	2483	6	384	G	G
Bachelor of Accounting	2549	6	384	G	G
Bachelor of Social Science	2961	6	384	G	G
Bachelor of Commerce: Accounting	3095	6	432	G	G
Bachelor of Commerce: Finance	3117	6	432	G	G
Bachelor of Commerce: Industrial Psychology	3255	6	432	G	G
Bachelor of Commerce: Economics and Law	4427	6	432	G	G
Bachelor of Commerce: Accounting	4737	6	432	G	G
Bachelor of Accounting Science	5535	6	408	G	G
Bachelor of Commerce	5563	6	384	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Baccalaureas Procuracionis	5997	6	432	G	G
Bachelor of Commerce	6153	6	360	G	G
Bachelor of Laws/ Bachelor Legum	6875/ 6877	6	360	G	G
Bachelor of Commerce: Banking	7039	6	430	G	G
Bachelor of Commerce: Economics	7263	6	360	G	G
Bachelor of Administration	7333	6	360	G	G
Bachelor of Commerce	7849	6	360	G	G
Bachelor of Commerce: Monetary Economics	7895	6	360	G	G
Bachelor of Commerce	8543	6	360	G	G
Bachelor of Economics	8613	6	536	G	G
Bachelor of Law	8877	6	480	G	G
Bachelor of Commerce	9843	6	360	G	G
Bachelor of Commerce: Law	9878	6	360	G	G
Bachelor of Banking	14375	6	504	G	G
Bachelor of Commerce: Accountancy	14779	6	384	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor of Commerce: Accounting	14780	6	416	G	G
Bachelor of Commerce	15147	6	360	G	G
Bachelor of Commerce	15337	6	384	G	G
Bachelor of Accountancy	15506	6	384	G	G
Bachelor of Commerce: Law	15594	6	369	G	G
Bachelor of Science: Actuarial Science	16253	6	384	G	G
Bachelor of Commerce: Investment Management	16386	6	473	G	G
Bachelor of Commerce: Banking	16913	6	536	G	G
Bachelor of Commerce: General Management	16912	6	536	G	G
Bachelor of Commerce: Marketing	16915	6	536	G	G
Bachelor of Commerce: Agribusiness Management	16441	6	421	G	G
Bachelor of Commerce: Agricultural Economics	16927	6	568	G	G
Bachelor of Commerce: Risk Management	16936	6	528	G	G
Diploma in Management Leadership (DML)	16991	6	360	G	G
Bachelor of Commerce: Accounting Sciences	19584	6	386	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelors of Commerce: Management Sciences	19587	6	370	G	G
Bachelor of Economics: Economics and Management	19592	6	370	G	G
Bachelor of Military Science	19669	6	360	G	G
Bachelor of Arts (Law)	23375	6	360	G	G
National Diploma: Financial Markets	23653	6	240	G	G
Diploma: Accounting & Business Administration	24326	6	360	G	G
Diploma: Marketing	24327	6	350	G	G
Diploma: Management	35957	6	420	G	G
Certificate: Business Management	36045	6	120	G	G
National Certificate: Financial Markets and Instruments	50481	6	120	G	G
National Diploma: Management (Accountancy)	57222	6	240	G	G
National Certificate: Financial Planning	57695	6	120	G	G
Bachelor of Commerce: Banking Management	58005	6	363	G	G
Associate Financial Planner (AFP™)	59233	6	120	G	G
Higher Diploma: Banking	59830	6	240	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor in Business Administration	59850	6	360	G	G
Advanced Diploma: Management	62270	6	120	G	G
Higher diploma: Banking	62810	6	240	G	G
Bachelor of Commerce: Banking Management	62812	6	363	G	G
National Diploma: Marketing	65306	6	360	G	G
National Diploma: Cost and Management Accounting	72220	6	360	G	G
National Diploma in Management	72610	6	360	G	G
Certificate: Wealth Management	73350	6	120	G	G
Bachelor of Commerce: General University of Transkei/ Walter Sisulu University	FSB 029	6	360	G	G
Bachelor of Science: Mathematical Statistics	62469/ 17398	6	360	G	G
Registered Persons Exam: Specialisation in the Equity Market • Must include the 3 prescribed modules	FSB 030	6	N/A	G	G
Registered Persons Exam: Specialisation in the Bond Market (Compliance): • Must include the 3 prescribed modules	FSB 031	6	N/A	G	G
Registered Persons Exam: Specialisation in the Bond Market (Trader) • Must include the 4 prescribed modules	FSB 032	6	N/A	G	G
Registered Persons Exam: Specialisation in the Futures Market: • Must include the 5 prescribed modules	FSB 033	6	N/A	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Registered Persons Exam: Specialisation in the Yield – X Market: • Must include the 5 prescribed modules	FSB 034	6	N/A	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Advice): • Must include the 4 prescribed modules	FSB 035	6	N/A	G	G
Registered Persons Exam: Specialisation in the Currency Derivatives Market (Trader): • Must include the 3 prescribed modules	FSB 036	6	N/A	G	G
Registered Persons Exam: Specialisation in the Foreign Exchange Market: • Must include the 3 prescribed modules	FSB 037	6	N/A	G	G
Registered Persons Exam: Specialisation in the Money Market: • Must include the 3 prescribed modules	FSB 038	6	N/A	G	G
Registered Persons Exam: Agricultural Products • Must include the 3 prescribed modules	FSB 039	6	N/A	G	G
Bachelor of Commerce: Financial Planning	FSB 040	6	-	G	G
Bachelor of Technology: Banking (ID 57202)	1019	7	480	G	G
Bachelor of Technology: Marketing	1325	7	480	G	G
Bachelor of Accounting Honours	2559	7	128	G	G
Post-Graduate Diploma: Finance Banking and Investment Banking	2629	7	128	G	G
Bachelor of Commerce Honours: all specialisations	3093	7	120	G	G
Bachelors of Commerce Honours: Accounting	3095	7	120	G	G
Bachelor of Commerce Honours: Investment Management	3137	7	160	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor of Business Science: Specialisation in Accounting	4403	7	576	G	G
Bachelor of Business Science: Specialisation in Finance	4403	7	576	G	G
Bachelor of Business Science: Actuarial Science	4411	7	576	G	G
Postgraduate Diploma: Accounting	5191	7	120	G	G
Bachelor of Laws/ Bachelor Legum	6015	7	480	G	G
Bachelor of Commerce Honours: Banking	7215	7	200	G	G
ICSA Programme in Strategic Management and Corporate Governance	7110-2	7	96	G	G
Postgraduate Certificate: Advanced Taxation	14547	7	240	G	G
Bachelor of Business Science: Economics	14784	7	544	G	G
Bachelor of Arts Honours: International Trade and Finance	14860	7	160	G	G
Bachelor of Business Management and Administration Honours	15370	7	150	G	G
Bachelor of Commerce Honours: International Trade and Finance	15415	7	160	G	G
Bachelor of Arts Honours: Trade and Development	15475	7	160	G	G
Post Graduate Diploma: Management Financial Accounting	15497	7	120	G	G
Bachelor of Commerce Honours: Financial Management Sciences	16400	7	180	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Bachelor of Commerce Honours: Investment Management	16955	7	120	G	G
Bachelor in Management Leadership (BML)	16994	7	120/360	G	G
Bachelor of Commerce Honours: Financial Management	18974	7	160	G	G
Bachelor of Commerce Honours: Financial Analysis and Portfolio Management	19960	7	120	G	G
Bachelor of Commerce Honours: Financial Management	19962	7	120	G	G
Post-Graduate Diploma: Account Practice	20391	7	240	G	G
Post-Graduate Professional Qualification: Professional Accountant in Business	20392	7	480	G	G
Bachelor of Laws	22993	7	480	G	G
Diploma: Company Direction	36050	7	240	G	G
Post-Graduate Diploma: Financial Planning (Depending on provider)	48866	7	120/ 160	G	G
Advanced Postgraduate Diploma: Financial Planning	48867	7	120	G	G
Chartered Accountant: Financial Management	48912	7	698	G	G
Chartered Accountant: Auditing	48913	7	679	G	G
Bachelor of Commerce Honours: Financial Planning	50148	7	120	G	G
Certified Financial Planner (CFP®)	59235	7	120	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Professional Postgraduate Qualification: Company Secretarial and Governance Practice	60654	7	120	G	G
Bachelor of Technology: Internal Auditing	65341	7	480	G	G
Bachelor of Technology: Marketing	72429	7	480	G	G
Doctor of Commerce: Investment Management	3133	8	360	G	G
Master of Commerce: Investment Management	3135	8	240	G	G
Master of Commerce: Specialisation in Banking	4807	8	300	G	G
Doctor of Commerce: Specialisation in Insurance Science	4887	8	144	G	G
Master of Business Administration	4909	8	300	G	G
Master of Business Administration	5047	8	120	G	G
Master of Business Administration	9257	8	240	G	G
Doctor of Commerce: Banking	16574	8	300	G	G
Master of Business Administration: Telematic	19606	8	288	G	G
Master of Business Management and Administration	19608	8	120	G	G
Master of Business Administration: Tourism Development	36072	8	440	G	G
Master: Business Administration: General	36078	8	200	G	G

Qualification title	ID No.	Level	Credits	Category IV	KI
Master: Business Administration	62271	8	233	G	G
Chartered Financial Analyst (CFA) (Foreign Qualification)	FSB 001	-	-	S	S
Chartered Insurance Institute (CII): Certificate in Insurance (Foreign Qualification)	FSB 003	-	-	G	G
Chartered Insurance Institute (CII): Diploma in Insurance (Foreign Qualification)	FSB 004	-	-	G	G
Chartered Insurance Institute (CII): Advanced diploma in Insurance (Foreign Qualification)	FSB 005	-	-	G	G
Chartered Insurance Institute (CII): Fellowship (Foreign Qualification)	FSB 006	-	-	G	G
Associate ship of the CII (Chartered Insurance Institute) (Foreign Qualification)	FSB 007	-	-	G	G
International Certificate in Financial Advice	FSB 009	-	-	G	-
International Diploma in Compliance	FSB 010	-	-	G	G
UK Chartered Insurance Institute Certificate in Financial Planning	FSB 011	-	-	G	G

List 8: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category IV FSPs

The qualifications included in the list below must contain the subjects / modules / unit standards as specified below in order to be recognised.

Qualification title	ID No.	Level	Credits	Category IV	KI
Further Education and Training Certificate: Banking	20185	4	120		
<ul style="list-style-type: none"> • This qualification is recognised if it contains the following unit standards: 230071, 230075, 242655, 252203, 242815, 110028, 242658, 242657, 120127, 13379, 117156, 114759, 243137, 252191, 7223, 14963, 12456, 117709, 242584, 242593. • To be recognised for <u>short-term insurance</u> the following electives will apply: 120011, 119679, 242653, 120114, 243137, 117121 • To be recognised for <u>long-term insurance</u> the following electives will apply: 117126, 119261, 11713 • To be recognised for short-term and long-term <u>deposits</u> the following electives will apply: 7223, 7231, 7236, 114759, 117156 • Please note: This SAQA ID for this qualification is the same as for the National Certificate: Banking (20185) NQF level 4 					

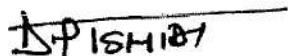
List 9: Qualifications recognised for Category IV FSPs for the transitional period only

The qualifications included in the list below will only be recognised up to and including 31 December 2009 in accordance to the Fit and Proper Requirements for Financial Services Providers, 2008 Part X.

Qualification title	ID No.	Level	Credits	Category IV
Certificate of Proficiency: Long-term basic (Category B Programme)	FSB 017	4	N/A	G
UNISA Certificate in Financial Management	FSB 019	6	60	G
UNISA Programme: Banking	FSB 020	6	96	G
UNISA Programme: Risk Management	FSB 021	6	108	G
UNISA Advanced Programme: Bank Credit	FSB 026	7	96	G
Senior Management Programme	FSB 027	7	N/A	G

BOARD NOTICE 45 OF 2010**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****(ACT NO. 37 OF 2002)****EXEMPTION OF DEUTSCHE BANK (MAURITIUS) LIMITED**

I, Dube Phineas Tshidi, Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), Deutsche Bank (Mauritius) Limited to the extent and subject to the conditions set out in the Schedule.



D P Tshidi,
Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF DEUTSCHE BANK (MAURITIUS) LIMITED****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"client", in relation to Deutsche Bank (Mauritius), means persons that were clients of Deutsche Bank (Mauritius) prior to a disaster;

"Deutsche Bank (Mauritius)" means Deutsche Bank (Mauritius) Limited;

"disaster" means-

- (a) a natural catastrophe in Mauritius;
- (b) the outbreak of a pandemic affecting Mauritius;
- (c) damage or loss to the building or part of the building that Deutsche Bank (Mauritius) occupies in Mauritius;
- (d) riots and civil unrest in Mauritius; and

- (e) any other event which disrupts the normal business activity of Deutsche Bank (Mauritius) in Mauritius.;

.Extent of exemption and condition

3. (1) Deutsche Bank (Mauritius) is exempted from section 7(1) of the Act, when rendering financial services to a client in the event of a disaster, subject to the following condition-
- (a) Deutsche Bank (Mauritius) must immediately advise the Registrar of any change to the particulars furnished in its exemption application.
- (2) This exemption shall, subject to paragraph 4, be valid for a period of 5 years from the date referred to in paragraph 5.

Amendment and withdrawal of exemption and conditions

4. The exemption or conditions mentioned in paragraph 3 are subject to-
- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Deutsche Bank (Mauritius), 2010, and comes into operation on the date of publication in the *Gazette*.
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