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BOARD NOTICE

NOTICE 146 OF 2010 FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR SUSPENSION
3 rd Support (Pty) Ltd	21018	13 August 2010	Contravention of section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and sections 17(1) &(4), & 19 of the FAIS Act
3 rd Party Administration Services (Pty) Ltd	13023	19 May 2010	Contravention of section 15A of the FSB Act
4 Life Direct (Pty) Ltd	21320	04 August 2010	Contravention of sections 17(1) & 19 (1) of the FAIS Act
786 Cavendish CC	18646	19 May 2010	Contravention of section 15A of the FSB Act
98 Degrees Financial Services (Pty) Ltd	21932	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & 17(4), & 19 of the FAIS Act
A and R Financial Services CC	5995	26 March 2010	Contravention of section 15A of the FSB Act
A D Goodman & Associates	11542	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & 17(4), & 19 of the FAIS Act
A du Toit Adviseurs BK	10717	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
A Re Kopaneng Funeral Society CC	26111	29 March 2010	Contravention of section 15A of the FSB Act
A4 Funeral Parlour KZN CC t/a A4 Funeral Services	8571	26 March 2010	Contravention of section 15A of the FSB Act

Aaron Mkoto t/a Mkoto Brokers	34743	29 March 2010	Contravention of section 15A of the FSB Act
Aaron Botolo t/a Botolo Insurance Brokers	12427	19 May 2010	Contravention of section 15A of the FSB Act
Aarti Deonarain Mahabeer	36750	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abagibeli Insurance Administrators (Pty) Ltd	14670	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & 17(4), & 19 of the FAIS Act
Abdool Aziz Sacoer	15733	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abdool Haq Goolam Mahomed	16500	19 May 2010	Contravention of section 15A of the FSB Act
Abednigo Bongani Sithole t/a AB Sithole Investment	27811	26 March 2010	Contravention of section 15A of the FSB Act
Abel Hermanus Johannes Venter t/a Arrie Venter Brokers	3913	19 May 2010	Contravention of section 15A of the FSB Act
Abel Kamela Mogalanyane	26797	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abinas July Mahlaule	18664	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abl Fin 1 CC	31039	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abraham Johannes du Plooy	21497	20 May 2010	Contravention of section 15A of the FSB Act
Abraham Johannes Latsky	13744	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abram Ramakgabane Mogotsi	24517	20 May 2010	Contravention of section 15A of the FSB Act

Abrie Nel	9951	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Abrina 872 (Pty) Ltd	22607	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Academy of Achievers (Pty) Ltd t/a Finvision Financial Services	21810	26 March 2010	Contravention of section 15A of the FSB Act
Accounting 4 Financial Services CC	13802	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ACFP Academy for Financial Planning	32828	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Across The Board Marketing CC	12413	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ACS Funeral Brokers CC	24687	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ADA Broker Services CC	8819	30 March 2010	Contravention of section 15A of the FSB Act
Adelle Robinson	36809	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Adeo Schoeman t/a Adeo Schoeman Makelaarsdienste	7521	26 March 2010	Contravention of section 15A of the FSB Act
Adept Financial Services CC	37390	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Adnil Financial Property Imaging & Printing CC	32809	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Adriaan Gerhardus Botha	10084	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Adriaan Gerhardus Deyzel	10839	19 May 2010	Contravention of section 15A of the FSB Act

Adrian Jessop	18614	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Adriattie Smith	26034	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Advent Funeral Suppliers CC	35139	29 March 2010	Contravention of section 15A of the FSB Act
Aecern Investment Services CC	1425	19 May 2010	Contravention of section 15A of the FSB Act
Aero & General Insurance Brokers CC	17938	19 May 2010	Contravention of section 15A of the FSB Act
Africa Properties East Coast CC	36355	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
African Skies CC	3380	19 May 2010	Contravention of section 15A of the FSB Act
Africhic 86 (Pty) Ltd	33904	29 March 2010	Contravention of section 15A of the FSB Act
Afrinternational Risk Solutions (Pty) Ltd	22796	21 May 2010	Contravention of section 15A of the FSB Act
Agrippa Mandlenkosi Mathenjwa	34226	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
AJK De Stadler & Associates CC	12398	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
AK Sons Prestige Car Sales CC	8909	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alan Hill	33823	29 March 2010	Contravention of section 15A of the FSB Act
Albert Edward Elliott	18 991	19 May 2010	Contravention of section 15A of the FSB Act

Albert Mokoka	4103	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Albertus Jacobus Saayman	27115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Albertus Janse van Rensburg	3410	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alchrist Trust t/a B C Labuschagne	6423	19 May 2010	Contravention of section 15A of the FSB Act
Alet Swartz t/a Alet Swartz Brokers	13958	19 May 2010	Contravention of section 15A of the FSB Act
Aletta Davie	11052	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alexander Godwin & Associates CC	13826	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alexander Robert Campbell t/a Campbell & Associates	31732	20 May 2010	Contravention of section 15A of the FSB Act
Alfred Jezews van der Merwe t/a Medical Aid Solutions	1415	19 May 2010	Contravention of section 15A of the FSB Act
Alfred Smith	3469	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alice De Wet	17932	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alison Ruth Smekal t/a Financial HUB	7899	26 March 2010	Contravention of section 15A of the FSB Act
Alistair Damon Makelaars BK	8288	26 March 2010	Contravention of section 15A of the FSB Act
Alkema Insurance Shop CC	12688	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Allegiance Consulting (Pty) Ltd	16718	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Allen Benjamin Rieser	8020	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Allplan Makelaars BK	29001	26 March 2010	Contravention of section 15A of the FSB Act
Al-Noor Risk Solutions South Africa (Pty) Ltd	30646	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Alpenos Joseph Edwards t/a AJE Brokers	28784	26 March 2010	Contravention of section 15A of the FSB Act
Aluta Trading CC	35128	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Amatola Brokers CC	6399	19 May 2010	Contravention of section 15A of the FSB Act
Ambient Financial Services (Pty) Ltd	28420	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ambrosia J Davids CC	36760	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
AMML Financial Services CC	26579	20 May 2010	Contravention of section 15A of the FSB Act
Amos Sikumbuzo Jakuja t/a ASJ Brokers	17543	19 May 2010	Contravention of section 15A of the FSB Act
Anand Maharaj & Associates CC	6486	19 May 2010	Contravention of section 15A of the FSB Act
Ancestry Capital CC	36012	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andile Mbaligontsi	35096	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Andre Charl Koster	11872	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andre Coetzee	35194	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andre Francois Bartleman	6479	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andre Francois de Villiers	7753	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andre Jacobus Janse van Rensburg	11996	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andre Marius van der Merwe	3771	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andrea Cabula t/a Christa van Aswegen	17989	19 May 2010	Contravention of section 15A of the FSB Act
Andreas Chaong Mokoena	18613	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andrew Dondo Hlongwane	26769	26 March 2010	Contravention of section 15A of the FSB Act
Andrew Isaks	21601	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andrew Noel Gideon	24816	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andrew Robert Mittendorf	15849	19 May 2010	Contravention of section 15A of the FSB Act
Andries Johannes de Jager	9162	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andries Johannes Fourie	38660	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Andries Johannes Janse van Rensburg	8198	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andries Sheleni Moya	34928	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Andries Stephanus van Wyk t/a Thekweni Marketing	15889	19 May 2010	Contravention of section 15A of the FSB Act
Andries Willem Opperman	25585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Angelfish Investments 199 CC	37787	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Angelica Jones Insight Financial Services CC t/a Angelica Jones Insight Financial Advice	6668	19 May 2010	Contravention of section 15A of the FSB Act
Angeline Gertrude Nel t/a Nel Makelaarsdienste	6414	26 March 2010	Contravention of section 15A of the FSB Act
Anmar Financial Services CC t/a Anmar Financial Services	11544	19 May 2010	Contravention of section 15A of the FSB Act
Ann Benedictor Redford	12176	19 May 2010	Contravention of section 15A of the FSB Act
Anna Christina de Wet t/a Olive De Wet	9889	19 May 2010	Contravention of section 15A of the FSB Act
Anna Christina Maria van Aswegen	18011	19 May 2010	Contravention of section 15A of the FSB Act
Anna Sophia Robbertse t/a AS Robbertse Makelaars	17117	29 March 2010	Contravention of section 15A of the FSB Act
Anna Susanna Mullin t/a Sizani Financial Services	28264	26 March 2010	Contravention of section 15A of the FSB Act
Annelie Botha Finansiele Adviseurs BK	13431	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Annelize Smith	35098	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

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Annetta Christina Aletta Lombard t/a Coffin City Funerals	18179	26 March 2010	Contravention of section 15A of the FSB Act
Annette Gericke	12352	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Anno Pillay	24213	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ANS Motors CC t/a Starlite Auto	26615	26 March 2010	Contravention of section 15A of the FSB Act
Anthony Carl Schorn t/a Tony Schorn Brokers	9067	29 March 2010	Contravention of section 15A of the FSB Act
Anthony Mark Green t/a Anthony Green Brokers	9582	19 May 2010	Contravention of section 15A of the FSB Act
Anthony Theodor Nissen	16363	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Antoinette Brokers CC	15195	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Antoinette Monica Chetty	21629	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Anton Harvey Pretorius	29041	26 March 2010	Contravention of section 15A of the FSB Act
Anton Johann Dreyer	16551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Anton Marius Viljoen	6737	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Anton van Rooyen Assurance Brokers	7215	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Antonio Moi	7999	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Aon Lesotho (Pty) Ltd	17001	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
April 27 Investments (Pty) Ltd	34983	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Archimedes Brokers CC	28300	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Areaganeng Masakhane Funeral Home CC	13090	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Arebolokaneng Burial Society CC	38101	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Aristo Associate BK	14796	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Armachel Broker Services CC	38420	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Arnesen James Financial Services CC	36972	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Arnold Pienaar Brokers CC	8153	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Arnoldus Rudolph Uys	29841	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Artpac Lending Services (Pty) Ltd	8221	19 May 2010	Contravention of section 15A of the FSB Act
Artwell & Associates CC	17863	29 March 2010	Contravention of section 15A of the FSB Act
Arumugam Archary t/a Bala Archary Insurance Brokers	18 940	19 May 2010	Contravention of section 15A of the FSB Act

Asfin Makelaars BK	13679	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ash Brokers CC	9591	26 March 2010	Contravention of section 15A of the FSB Act
ASN Car Corp CC	34150	29 March 2010	Contravention of section 15A of the FSB Act
Asnath Khomotso Maphalle t/a AK Maphalle Brokers	19461	26 March 2010	Contravention of section 15A of the FSB Act
Assah CC t/a Pipas Marketing Consultants	35090	29 March 2010	Contravention of section 15A of the FSB Act
Attie Van Wyk Brokers CC	7966	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Aubrey Arendse & Sons Family Funerals CC	14818	21 May 2010	Contravention of section 15A of the FSB Act
August Kirsten	11050	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Auto Ecosse CC	18996	26 March 2010	Contravention of section 15A of the FSB Act
Auto Max	27617	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Auto Trade Underwriters (Pty) Ltd	5232	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Autobest Motorden	11345	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Autohaus Gobel Northcliff (Pty) Ltd t/a Peugeot North Cliff	24062	05 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Autorama CC	25437	20 May 2010	Contravention of section 15A of the FSB Act

Auxis (Pty) Limited	13908	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Avhatakali Sarah Lidzhade	26754	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Avoir Consulting CC	35612	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Awesia Risk Managers (Pty) Ltd	28194	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
B & G General Marketing and Insurance Brokers CC	16155	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
B & T Funeral Brokers CC	36725	19 May 2010	Contravention of section 15A of the FSB Act
B1 Funeral Brokers CC	9693	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Babatane Zakhele Xande	19398	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bafrika Trading CC	38080	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BAJ Auto Investment (Pty) Ltd t/a Auto Investments	22151	19 February 2010	Contravention of section 15A of the FSB Act
Balakrishna Naidoo	1355	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Balobedu Business Enterprises CC	8689	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Barden Investment Brokers CC	10816	19 May 2010	Contravention of section 15A of the FSB Act
Barend Daniel Louis De Bruin	7651	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Barend Hermanus van Graan t/a BH van Graan Makelaars	8837	26 March 2010	Contravention of section 15A of the FSB Act
Bareno Investments 1001 (Pty) Ltd	11637	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Barnabas Sundrum Baliah	17537	19 May 2010	Contravention of section 15A of the FSB Act
Barrage Auto Sales CC	18620	13 April 2010	Contravention of section 15A of the FSB Act
Barry Keith Speed	14266	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Basic Blue Trading 306 CC	33656	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Batho Batsho Bakopane Insurance Brokers CC	20662	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Batho Financial Administrators (Pty) Ltd	33135	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Batsiela Obed Tsela	32764	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Battison Insurance Brokers CC	14127	19 May 2010	Contravention of section 15A of the FSB Act
Bazel Logistics CC	35634	29 March 2010	Contravention of section 15A of the FSB Act
BB Funerals CC t/a JM Funerals	8486	19 May 2010	Contravention of section 15A of the FSB Act
BC Louw Agency CC	456	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BCKT Investments CC	19812	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Bekky Solomon Shandu t/a Service for all	35692	29 March 2010	Contravention of section 15A of the FSB Act
Belisa Brokers CC	34225	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ben Bojabotshega	37482	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ben van Coller Beleggings en Versekeringsmakelaars BK	13432	29 March 2010	Contravention of section 15A of the FSB Act
Benadie Snyman	17246	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bene Vobis Health CC	17942	26 March 2010	Contravention of section 15A of the FSB Act
Benita Ettie Slabbert	17929	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Benjamin Arthur Saunders Benab Funeral	14676	19 May 2010	Contravention of section 15A of the FSB Act
Benjamin Nkunswini Ntshangase	26783	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Benjamin Tuelo Gabokhutle	23531	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Benmore Insurance Brokers CC	21795	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Berea West Brokers CC	24206	20 May 2010	Contravention of section 15A of the FSB Act
Berend Neervoort t/a PFC Brokers	27828	26 March 2010	Contravention of section 15A of the FSB Act
Bernard Azwindini Ramushweu	21859	20 May 2010	Contravention of section 15A of the FSB Act

Bernhard Jacobus Bronn	28301	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bessinger Financial Planning CC	12215	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BGR Insurance Brokers CC	15202	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhekukwenza Patrick Majola	17384	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhekuyise Fortune Dladla	35146	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhele and Madlula Brokers CC	38313	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhotani Assets, Risk & Wealth Management Trust	39248	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhoyi Clifford Zondi	39678	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhula Orlean Nhlumayo	32168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bhyats Motors CC	22196	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Big Sky Funeral Directors CC	16411	19 May 2010	Contravention of section 15A of the FSB Act
Bike Choice CC	19773	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bikesure (Pty) Ltd	23436	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bipendra Amrat Prag	18096	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Birjanand Sew Sewpaul	26263	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bisoonlal Sew Ramdeen	3152	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bitline SA 480 CC	18074	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bitline SA 697 CC t/a Habitat Financial Services	1824	26 March 2010	Contravention of section 15A of the FSB Act
Bitline SA 811 CC	11672	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BLA Healthcare Consulting CC	35847	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Black Eagle Financial Services t/a Everite Brokers	17391	19 May 2010	Contravention of section 15A of the FSB Act
Blade Financial Services (Pty) Ltd	16156	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blaizepoint Trading 85 CC	15585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blau Diamond Reserves (Pty) Ltd	30426	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blue Dot Properties 1255 CC	32268	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blue Jusoku Trading CC	37677	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blue Nightingale Trading 248 (Pty) Ltd	15665	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Blue Route Autos CC	25698	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Bluegreen Investment CC	30424	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bluetime Marketing CC	19288	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BMLP Trading CC	37596	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BMT Risk Management CC	16468	19 May 2010	Contravention of section 15A of the FSB Act
BNR Legal Solutions (Pty) Ltd	18530	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bohlale Black Economic Empowerment Solutions (Pty) Ltd	19583	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bohlale Financial Services (Pty) Ltd	26500	17 March 2010	Contravention of section 15A of the FSB Act
Boikie Silence Mashego	23160	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Boitumelo Ernest Bezant	35353	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bonani Oliano Luthuli t/a Bono Lee Financial Choice	32321	29 March 2010	Contravention of section 15A of the FSB Act
Bondel Auto (Pty) Ltd	27377	29 March 2010	Contravention of section 15A of the FSB Act
Bongani Sibongo Khubisa t/a BS Khubisa Brokers	31568	29 March 2010	Contravention of section 15A of the FSB Act
Bonginhlanhla Cordy Biyela	34797	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bonginkosi Zwane	36092	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Bonke-Bonke Funeral Services & Investment CC	34695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bonngwe Relationship Solutions CC t/a Bonngwe	11694	19 May 2010	Contravention of section 15A of the FSB Act
Bonny Charlene Pieters	34803	29 March 2010	Contravention of section 15A of the FSB Act
Bontle Administrators CC	25329	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bopanang Funeral Services CC	17148	19 May 2010	Contravention of section 15A of the FSB Act
Bophelo Trust Services (Pty) Ltd	23466	20 May 2010	Contravention of section 15A of the FSB Act
Botes Brokers CC	10841	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Botha Du Preez	8358	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Botha Makelaar BK	31107	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bouvest 2091 CC	25054	29 March 2010	Contravention of section 15A of the FSB Act
Boyana Samuel Shingange	21323	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Boz Financial Services CC	38762	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bracey Consulting CC	11129	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bradley & Kent (Pty) Ltd	802	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Bradley David	20860	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brainwave Projects 1937 CC	36554	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brandow Financial (Pty) Ltd	36732	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Breathe Holdings (Pty) Ltd	38116	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brian Charles Bosse	12124	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brian Mduduzi Mbatsana	36864	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brian Michael Papas	15842	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brian Myburgh	12977	19 May 2010	Contravention of section 15A of the FSB Act
Brian Peter Schoeman t/a BPS Insurance Brokers	18723	26 March 2010	Contravention of section 15A of the FSB Act
Brian Stanley John Don	14850	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Bridge-Net Finance (Pty) Ltd	20659	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Brokerhub CC	1846	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BS Beleggings CC t/a Medsure Brokers	20272	26 March 2010	Contravention of section 15A of the FSB Act
BS Ndaliso Investments CC	39783	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Bubele Baldwin Babale Stofile t/a Zintathu Bees Brokers	19284	20 May 2010	Contravention of section 15A of the FSB Act
Buks van Zyl Motors BK	11480	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Buro Administrateurs BK	23804	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Busane Medserve CC	22720	20 May 2010	Contravention of section 15A of the FSB Act
Businessure (Pty) Ltd	10612	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Busisiwe Mary Nkosi t/a BMN Brokers	18021	26 March 2010	Contravention of section 15A of the FSB Act
Buy An A Financial Services CC	23401	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Buysiwa Mavis Mgozeli	32943	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
BZK Financial Services CC	39218	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
C Dennis Motor Sales CC	11734	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
CAI Business Consultants CC	38098	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Calandria Thirty Three CC t/a Motor World	10170	19 May 2010	Contravention of section 15A of the FSB Act
Caledon Makelaars CC	8255	29 March 2010	Contravention of section 15A of the FSB Act
Calicom Trading (182) (Pty) Ltd	9456	19 May 2010	Contravention of section 15A of the FSB Act

Calreg CC	27320	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Calvin Kunene t/a Kunene Brokers	28707	20 May 2010	Contravention of section 15A of the FSB Act
Calwin Investments CC	17 062	19 May 2010	Contravention of section 15A of the FSB Act
Campbell Marketing Services (Pty) Ltd t/a Fritz Smith & Co	7031	19 May 2010	Contravention of section 15A of the FSB Act
Canaan Fana Mhlongo t/a CFM Insurance Brokers	8641	26 March 2010	Contravention of section 15A of the FSB Act
Candice Barton t/a Simon and Associates	14343	19 May 2010	Contravention of section 15A of the FSB Act
Cape Province Corporate Training CC	20091	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Capi Maxi Investments CC	4682	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Caratfin SA (Pty) Ltd	26239	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cardinal Financial Services CC	25582	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Carel Jacobus Sebastiaan Grove	11386	19 May 2010	Contravention of section 15A of the FSB Act
Carina Smit Brokers	1112	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Carl Louis Pieters	26583	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Carmen Linette Visagie t/a Carmen Visagie Brokers	31428	29 March 2010	Contravention of section 15A of the FSB Act

Caroline Lindiwe Tshabalala	24750	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Caroline Margaret James t/a Provincial Broker Services	16863	26 March 2010	Contravention of section 15A of the FSB Act
Carty van Niekerk & Company (Pty) Ltd	30275	29 March 2010	Contravention of section 15A of the FSB Act
Casper du Plessis	8094	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Catrakilis & Associates CC	9142	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cats Consulting (Pty) Ltd	2776	29 March 2010	Contravention of section 15A of the FSB Act
Catwalk Investment 133 (Pty) Ltd	18473	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cavell-Clarke CC	14235	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
CBM & M Ins. Brokers CC	12698	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cebekhulu Financila Services CC	35151	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cecil Michael Eugene James t/a Associates Medical Brokers	10483	29 March 2010	Contravention of section 15A of the FSB Act
Cecilia Haefele	13690	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ceder Makelaars BK	18298	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cedric Ishwarduthsingh Narrandes t/a CIN Financial Services	20748	26 March 2010	Contravention of section 15A of the FSB Act

Celani Msane t/a Msane Brokers	33963	29 March 2010	Contravention of section 15A of the FSB Act
Centurion Insurance Brokers CC	17935	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Certosa Trading 60 CC	17662	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ces Advise Services BK	28705	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Chailot Investments CC	22173	26 March 2010	Contravention of section 15A of the FSB Act
Charisse O Delia Chantel Lucas t/a Overberg Begrafnisdienste	17953	19 May 2010	Contravention of section 15A of the FSB Act
Charitoo Marketing CC	35133	29 March 2010	Contravention of section 15A of the FSB Act
Charl Ettiene La Grange	3844	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Charles Garai	11846	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Charles Marais t/a Charles Marais & Associates	22032	20 May 2010	Contravention of section 15A of the FSB Act
Charles Ravell	9965	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Charles Sogno t/a Charles Sogno Insurance Brokers	3967	19 May 2010	Contravention of section 15A of the FSB Act
Charlottenhaus CC	17156	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Charmaine Fouche t/a Charmaine Fouche Insurance Brokers	25801	20 May 2010	Contravention of section 15A of the FSB Act

Charmaine Yvette Botes t/a SA Legal & Financial Services	17561	19 May 2010	Contravention of section 15A of the FSB Act
Chartered Auto Underwriting Agency (Proprietary) Limited	13234	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Chemrisk Services (Pty) Ltd	23671	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cherry Blossom Trading 108	33684	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Choice Decisions 130 (Pty) Ltd	14465	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Choki - Du - Amantima Trading CC	38037	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Chris Gordon and Associates CC	17 052	19 May 2010	Contravention of section 15A of the FSB Act
Chris Slabbert Brokers CC	3451	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Chris Theo Jansen van Rensburg	11717	21 May 2010	Contravention of section 15A of the FSB Act
Chrisna Schoeman	35877	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Chrissies Insurance Brokers (Pty) Ltd	22963	20 May 2010	Contravention of section 15A of the FSB Act
Christa Vermeulen t/a Christa Vermeulen Versekerings Makelaar	17321	29 March 2010	Contravention of section 15A of the FSB Act
Christell Esterhuizen	16 768	19 May 2010	Contravention of section 15A of the FSB Act
Christiaan Floris Malan t/a A Malan and Associates	26728	26 March 2010	Contravention of section 15A of the FSB Act

Christiaan George Theron	17300	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christiaan Johann Swanepoel	11829	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christiaan Johannes Burger t/a Chris Burger Finansiële Dienste	6658	26 March 2010	Contravention of section 15A of the FSB Act
Christian De Wet Knoetze	15638	19 May 2010	Contravention of section 15A of the FSB Act
Christina Ferreira	13772	19 May 2010	Contravention of section 15A of the FSB Act
Christine Hohls	1343	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christo Liebenberg Financial Advisors CC	11000	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christo Petrus Kotze	13688	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christof George Ahrens	18440	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christoffel Jacobus Beukes t/a Cobus Beukes Finansiële Bestuur	21431	26 March 2010	Contravention of section 15A of the FSB Act
Christoffel Marthinus Petrus le Roux	10494	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christopher Adams Life Assurance Brokers CC	16441	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christopher Masimthembe Ngxola	15114	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Christopher William Borchers	19873	26 March 2010	Contravention of section 15A of the FSB Act

Christopher Zamani Mthiyane	22988	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Citizen Cover Insurance Administrators CC	25792	26 March 2010	Contravention of section 15A of the FSB Act
Citizen Insurance Brokers CC	14643	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Citrona Motors (Pty) Ltd	23780	20 May 2010	Contravention of section 15A of the FSB Act
Class A Trading 790 (Pty) Ltd t/a Progressive Admin Solutions	12891	29 March 2010	Contravention of section 15A of the FSB Act
Classic Trade 121 CC	8514	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Clear Creek Trading 34 (Pty) Ltd	34793	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Clemens Padiachy	7096	19 May 2010	Contravention of section 15A of the FSB Act
Clever Cover Financial Solutions CC	38329	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Clifford Raymond Jones t/a C.J.I Consultancy	15786	19 May 2010	Contravention of section 15A of the FSB Act
Clive Justin Mackenzie	9023	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Clive Preston Whyte Robertson	24204	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Clive Raymond Carney t/a First risk Insurance	36096	29 March 2010	Contravention of section 15A of the FSB Act
Close Up Deals 115 CC	16279	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Clyde Moodley t/a Fawn Financial Services	6738	19 May 2010	Contravention of section 15A of the FSB Act
CMR Insurance Brokers CC	14378	19 May 2010	Contravention of section 15A of the FSB Act
Coenraad Frederick Maree t/a Maree & Rogers Investments	17202	26 March 2010	Contravention of section 15A of the FSB Act
Coetzenburg Makelaars BK	8112	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Coin Risk Management (Pty) Ltd	18823	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Come What May Properties 15 (Pty) Ltd	39312	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Commercial and General Insurance Brokers (Pty) Ltd	2695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Compi Beleggings CC t/a C I T Sports Products	20139	26 March 2010	Contravention of section 15A of the FSB Act
Compion Makelaars BK	15225	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Comprehensive Truck Insurance Brokers CC	29720	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Comrade Nkosinathi Sifiso Ndlovu	36606	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Concorde Cars Buitenkant Street CC	9268	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Constandinous Peter Brooks	17941	19 May 2010	Contravention of section 15A of the FSB Act
Consulting & Interrelated Business Management CC	22201	20 May 2010	Contravention of section 15A of the FSB Act

Coogle Finance (Pty) Ltd	27038	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Copper Moon Trading 503 (Pty) Ltd	29938	29 March 2010	Contravention of section 15A of the FSB Act
Coralie Elizabeth Deas	5792	19 May 2010	Contravention of section 15A of the FSB Act
Cordia Fourie Makelaars BK	25012	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Corne Struwig All Cover Insurance Brokers	14485	19 May 2010	Contravention of section 15A of the FSB Act
Cornel Christiaan Bornman	21663	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cornelia Gertruida du Preez	33776	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cornelius Christiaan Harmse	16124	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cornelius Jansen Bornman Bezuidenhout	28043	20 May 2010	Contravention of section 15A of the FSB Act
Cornelius Petrus Louwrens Von Rumstedt De Lange t/a NDL Group Insurance Broker	10805	19 May 2010	Contravention of section 15A of the FSB Act
Corrie Keyter	5178	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cosmo Health (Pty) Ltd	19350	26 March 2010	Contravention of section 15A of the FSB Act
Counter Point CC	7265	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Courtelis Larsson & Associates CC	15332	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Courtier D Avenir Brokers CC	12812	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cradock Begrafnisdienste BK	12013	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Craig Douglas Goldstone	36965	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Creative Investment Options CC	16399	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Crossroads Brokers (Pty) Ltd	31070	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
CRU South Africa Investment Management CC	31683	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Crystal Sparkle Trading 57 CC	36608	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
CT Volschenk Beleggings (Pty) Ltd	18782	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Curcio Investments CC	20184	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
CWL Baard CC	16546	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cybed Trading 0059 CC	19510	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Cyprus Insurance Consultants CC	15403	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
D & D Makelaars CC	17931	19 May 2010	Contravention of section 15A of the FSB Act
D & J Risk Specialists CC	34238	29 March 2010	Contravention of section 15A of the FSB Act

DA Brokers And Wine Labels CC	29747	29 March 2010	Contravention of section 15A of the FSB Act
Dakhomela Insurance Brokers CC	24137	21 May 2010	Contravention of section 15A of the FSB Act
Dal Motor Investments CC	9567	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dale St Clair Carstens t/a Global St Clair	9377	26 March 2010	Contravention of section 15A of the FSB Act
Dalindyedo Mzantsi	32585	29 March 2010	Contravention of section 15A of the FSB Act
Dammad Financial Enterprises CC	3891	19 May 2010	Contravention of section 15A of the FSB Act
Dangors Car Sales CC	22708	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Danie Human t/a Danie Human Brokers	17808	26 March 2010	Contravention of section 15A of the FSB Act
Danie Rautenbach	10949	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Danie van der Westhuizen	28643	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Carlinsky	24172	20 May 2010	Contravention of section 15A of the FSB Act
Daniel Dale Makena	13533	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Gerhardus Williams	16206	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Jacobus Erasmus	22204	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Daniel Masubjane Mohlala	13381	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Moeketseng Mobu	34741	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Petrus Lubbe	17669	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daniel Skei Twala t/a Midlands Funeral Home	18786	19 May 2010	Contravention of section 15A of the FSB Act
Daniel Skei Twala t/a Midlands Funeral Home	18786	19 May 2010	Contravention of section 15A of the FSB Act
Daniel Torinyana Ngutshana t/a Ngutyana Broker Services	18430	26 March 2010	Contravention of section 15A of the FSB Act
Danny Chetty	13044	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Danron ACCounting Services CC	1392	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Danther Consulting CC	20666	26 March 2010	Contravention of section 15A of the FSB Act
Darmar Health Care Services CC	11383	19 May 2010	Contravention of section 15A of the FSB Act
Darryl Goodman t/a House of Fine Cars	25508	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dart Merchant Trade Finance (Pty) Ltd	28535	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Darwo Admin House (Pty) Ltd	35091	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Daryl Brandon Soobramoney t/a Jaho Financial Services	25732	20 May 2010	Contravention of section 15A of the FSB Act

Datri 43 CC t/a Erik Kotze Financial Services	27497	20 May 2010	Contravention of section 15A of the FSB Act
Dave Alston Motors CC	25759	20 May 2010	Contravention of section 15A of the FSB Act
David Bota Makara t/a DB Brokers	35280	29 March 2010	Contravention of section 15A of the FSB Act
David Deeble And Associates CC	20087	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
David Hermanus Maree t/a Harry Maree Makelaars	16 879	19 May 2010	Contravention of section 15A of the FSB Act
David Hermanus Maree t/a Harry Maree Makelaars	16879	19 May 2010	Contravention of section 15A of the FSB Act
David Hugh Berrow	24351	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
David Jacobus Viljoen	14744	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
David Jamieson	29420	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
David Peter Strydom Le Tape	26088	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
David Stephanus De Bruin t/a Fanie De Bruin Makelaars	4631	19 May 2010	Contravention of section 15A of the FSB Act
Dawie Bornman Makelaars BK	13169	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
DBA Trading Enterprise CC	32191	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dc Brokers CC	38282	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

DCA Insurance Brokers CC	4958	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
DCT Trading CC	38001	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dealer Car-Nect CC	33495	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Debora Elizabeth Augustyn t/a Bo- Karoo Begrafnisdienste	18787	19 May 2010	Contravention of section 15A of the FSB Act
Debora Elizabeth Augustyn t/a Bo-Karoo Begrafnisdienste	18787	19 May 2010	Contravention of section 15A of the FSB Act
Decent Peoples Funeral Parlour	39358	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Deco Trust	31054	29 March 2010	Contravention of section 15A of the FSB Act
Decor Trust Finansiële Bestuurs Dienste BK	12476	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Delta Blue Trading 192 CC	38852	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Demetrios Titan t/a Titan Investments	9135	19 May 2010	Contravention of section 15A of the FSB Act
Denis Vincent Woolley	19010	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dennis Edwin Frank Hearn	11922	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Denverdraft Administrators (Pty) Ltd	14186	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Denvor Booyesen	14682	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Deon du Plessis de Beer t/a Deon de Beer Makelaars	15566	19 May 2010	Contravention of section 15A of the FSB Act
Deon Latsky	12232	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Deon Roux t/a Deon Roux Makelaars	21026	20 May 2010	Contravention of section 15A of the FSB Act
Derek Arrow & Associates Incorporated	16581	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Derrick Wademan	13279	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Desire Samunda	38280	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Desmond Eldred Viggill t/a DES Wiggil Insurance Brokers	11903	19 May 2010	Contravention of section 15A of the FSB Act
Desmond Neville Burger t/a D & D Financial Services	20986	26 March 2010	Contravention of section 15A of the FSB Act
Develco CC	24091	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dewald Leon Nienaber	7459	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dhanabalan Naidoo	26325	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dhanasagrev Chetty	12099	19 May 2010	Contravention of section 15A of the FSB Act
Dhavarajaloo Pakiriy	2939	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dial Dynamics CC	34259	29 March 2010	Contravention of section 15A of the FSB Act

Diane Murial Holdsworth	9542	26 March 2010	Contravention of section 15A of the FSB Act
Dianne Natalie Coetzer	9835	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dick & Phillips CC	19045	20 May 2010	Contravention of section 15A of the FSB Act
Diederick Johannes Steyn	28040	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dignitas Solution CC	10874	19 May 2010	Contravention of section 15A of the FSB Act
Dignity Insurance Brokers CC	10991	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dimension Insurance Centurion (Pty) Ltd	9677	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dimitrios Nicolakos t/a Dimitrios Nicolakos Funeral Services	8618	26 March 2010	Contravention of section 15A of the FSB Act
Dinker Rama t/a Motorama	32423	29 March 2010	Contravention of section 15A of the FSB Act
Dipuo Ziphora Moyana t/a Ziphburial	11306	19 May 2010	Contravention of section 15A of the FSB Act
Dirk van der Berg Brokers	7101	26 March 2010	Contravention of section 15A of the FSB Act
Dirk van Schalkwyk	34197	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ditau Insurance Brokers CC	34179	29 March 2010	Contravention of section 15A of the FSB Act
Ditshaba Human Capital (Pty) Ltd	24191	20 May 2010	Contravention of section 15A of the FSB Act
DJ Mlalane Investment CC	18101	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS

			Act
DJM Insurance Brokers CC	7555	19 May 2010	Contravention of section 15A of the FSB Act
DKW Consulting Solutions CC	17119	19 May 2010	Contravention of section 15A of the FSB Act
DLMV Retail Enterprises CC	20648	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
DM Monageng Brokers CC	5593	19 May 2010	Contravention of section 15A of the FSB Act
DMT Consultants CC	16870	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dnh Makelaars CC	25469	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dobson Sannie Welcome t/a Dite Consultants	22187	20 May 2010	Contravention of section 15A of the FSB Act
Don Clark & Associates CC	2225	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Donald Peter Vermeulen t/a Vermeulen Group	16527	29 March 2010	Contravention of section 15A of the FSB Act
Donavan Gengiah	12088	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Donnelly Financial Services CC	33190	29 March 2010	Contravention of section 15A of the FSB Act
Don't Q Financial Solutions CC	19739	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dortyger Nr226 CC t/a Top Cars	31559	29 March 2010	Contravention of section 15A of the FSB Act

Dotcom Trading 352 (Pty) Ltd	4642	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Double M Financial Services CC	1520	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Double Ring Trading 187 (Pty) Ltd	13401	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Douglas Gerald Beck	12933	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dr Shuaib Moerat	5368	19 May 2010	Contravention of section 15A of the FSB Act
Dragon Motors CC	31741	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dream Weaver Financial Services CC	28100	26 March 2010	Contravention of section 15A of the FSB Act
Drikus Viljoen Makelaars CC	2493	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Duduzile Fikile Basi	37676	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dula O Lebelletse Funeral Purlour CC	14264	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dumisa Donald Ntintili	26049	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dumisani Joseph Sithole	22416	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dumisani Mcbride Socikwa	35281	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Dumsani and Sibusiso Funerals CC	22296	20 May 2010	Contravention of section 15A of the FSB Act

Dyssel Laubscher CC	34356	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
E & D Motors (Pty) Ltd	20163	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
E and M Investment Brokers CC	1669	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
E and S Motors CC	25642	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
E M Mokole Insurance and Medical Aid Consultants CC	15084	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
East Of Eden Trading 478 CC	23156	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
East Rand Broking Services CC	16486	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Eben Jacobs t/a Huguenot Finansiele Adviseurs	12005	19 May 2010	Contravention of section 15A of the FSB Act
Eben van der Merwe	37637	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ebsa Consulting (Pty) Ltd	17036	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ebsa Life Consulting CC	32103	20 May 2010	Contravention of section 15A of the FSB Act
ECE Health (Pty) Ltd	33038	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Econocom 462 CC	15593	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Edgemont Consulting (Pty) Ltd	3995	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Eduard Mostert	17550	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Edward Malherbe Cilliers t/a Prosperitas Makelaars	10451	19 May 2010	Contravention of section 15A of the FSB Act
Edward Nathan (Pty) Ltd	11292	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Edwin Mzwakue Ngomane t/a EM Ngomane Brokers	22098	20 May 2010	Contravention of section 15A of the FSB Act
Edwin Samuel Williamson	15550	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Eerste Geluk Motors BK	15817	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Efcon Capital (Pty) Ltd	18324	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Eight Miles 358 (Pty) Ltd	35056	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ekuphumleni Funeral Services CC	10776	19 May 2010	Contravention of section 15A of the FSB Act
El'Anaric Makelaars BK	12357	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Electracomp CC	18205	19 May 2010	Contravention of section 15A of the FSB Act
Elegant Line Trading 25 (Pty) Ltd	29191	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elegant Square Trading 80 CC	17291	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elias Sodari Masemola t/a Masemola Insurance Brokers	3360	29 March 2010	Contravention of section 15A of the FSB Act

Elite Funeral Society Adminstrators (Pty) Ltd	34423	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elizabeth Francina Botha	21023	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elizabeth Maria Schoevers	14058	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ella Anna De Lima	17739	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ella Nancy Denalane	20315	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elmarie Antoinette du Plessis	37278	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elmarie Engelbrecht Consultants CC	36134	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elmarie Von Wielligh t/a Elmarie Financial Services	35761	29 March 2010	Contravention of section 15A of the FSB Act
Elmos Financials CC	28138	26 March 2010	Contravention of section 15A of the FSB Act
Elona Jana Jonker	14549	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elrina Ronell van Wyk t/a Lormic Marketing	3822	19 May 2010	Contravention of section 15A of the FSB Act
Eltej Consultants CC	4327	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Elvis Ntokozo Hlela t/a Hlela & Associates	25240	20 May 2010	Contravention of section 15A of the FSB Act
EM Williamson	8653	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Emerald Marketing Services CC	8113	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Emerge Brokers CC	36156	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
EMJ Brokers CC	26557	20 May 2010	Contravention of section 15A of the FSB Act
Emmanuel Cilliers	12035	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Emzansi Business Enterprise CC	24507	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Enablis Financial Corporation Sa (Pty) Ltd	15240	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Enhanced Financial Investments (Pty) Ltd	9365	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Enigma Solutions (Pty) Ltd	1731	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ensindisweni Funeral Home CC	11795	19 May 2010	Contravention of section 15A of the FSB Act
Equilateral Trading CC t/a East Coast Motorlink	26311	29 March 2010	Contravention of section 15A of the FSB Act
Equilore Lease Dispute Settlement (Pty) Ltd	16183	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Equistar Underwriting Managers (Pty) Ltd	22338	20 May 2010	Contravention of section 15A of the FSB Act
Erasmus Financial Services CC	14380	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Erasmus Johannes Smit	9573	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Erf 3535 Bellville CC	5265	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Eric van Zyl Makelaars BK	12439	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ericka Geldenhuys	31129	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ernest Bandlelihle Shandu t/a African Culture Funeral Service	19165	29 March 2010	Contravention of section 15A of the FSB Act
Ernest Robinson	29108	29 March 2010	Contravention of section 15A of the FSB Act
Ernst & Young Port Elizabeth	19889	29 March 2010	Contravention of section 15A of the FSB Act
Ernst Hendrik Eloff	9373	19 May 2010	Contravention of section 15A of the FSB Act
Error Free Insurance Brokers	9982	26 March 2010	Contravention of section 15A of the FSB Act
ESG Brokers CC	6651	26 March 2010	Contravention of section 15A of the FSB Act
Esther Anisela Devar	30270	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Etrisia Potgieter	13199	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ettienne Anton Steyn	10481	19 May 2010	Contravention of section 15A of the FSB Act
Eugene Edwin Kikia t/a Eugene Kikia And Associates	21006	20 May 2010	Contravention of section 15A of the FSB Act
Eugene Mario van Graan t/a Market Finance Insurance Brokers	14170	19 May 2010	Contravention of section 15A of the FSB Act
Evan van Wyk t/a Evan van Wyk Broker Services	9983	19 May 2010	Contravention of section 15A of the FSB Act

EVB Underwriting Managers (Pty) Ltd	12579	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Eveready Insurance Brokers CC	15838	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Everest House Insurance and Investment Brokers CC	7268	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Evergreen Insurance Brokers CC	366	19 May 2010	Contravention of section 15A of the FSB Act
Everlasting Harvest Brokers CC	22280	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Everton Financial Strategies CC	26133	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ewald Oskar Jelinek	11932	19 May 2010	Contravention of section 15A of the FSB Act
Ewoud Ferdinand Malan t/a Ewoud Malan Insurance Brokers	10113	19 May 2010	Contravention of section 15A of the FSB Act
Exalon CC	2624	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Exclusive Access Trading 35 (Pty) Ltd	16697	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Execu-Sure	7224	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Expecton 2000 RSA (Pty) Ltd	3165	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Extra Dimension 1187 CC t/a Execufin	18541	19 May 2010	Contravention of section 15A of the FSB Act
Extra Dimensions 1089 CC	23407	20 May 2010	Contravention of section 15A of the FSB Act

Eyethu Undertakers CC	30594	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
F and D Mainline Auto CC	20137	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
F1 Financial Consultants CC	26467	20 May 2010	Contravention of section 15A of the FSB Act
Faadiyah Adams	33120	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fairbridge Arderne & Lawton (Pty) Ltd	18789	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Faith Funeral Home CC	27818	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Faleni Broker CC	21051	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fame and Fortune Financial Services (Pty) Ltd	21378	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fanny Madoka	37462	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fastrak Trading 409 CC t/a Fastsure Financial Services	23769	20 May 2010	Contravention of section 15A of the FSB Act
Federated Asset Management (Pty) Ltd	11822	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fedon International CC	3225	19 May 2010	Contravention of section 15A of the FSB Act
Felicity Johnson	16490	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Felton Oebell And Associates (Pty) Ltd	15229	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Ferdi Coetzer Finansiële Diens BK	16452	19 May 2010	Contravention of section 15A of the FSB Act
FFG Empowered Consultants (Pty) Ltd	19802	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
FFG Risk Solutions (Pty) Ltd	34086	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
FH de Kock Insurance Brokers CC t/a Prosure	2219	19 May 2010	Contravention of section 15A of the FSB Act
Fhatuwani Tombstone & Funeral Directors CC	37218	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fikiswa Irene Mlandu	16262	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fimiliaris Financial Solutions CC	19252	26 March 2010	Contravention of section 15A of the FSB Act
Fin Psychy Advisors CC	5768	19 May 2010	Contravention of section 15A of the FSB Act
Financial Services CC	31861	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Financial Services Network CC	5218	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Finansiele Adviseurs Noord-Oos	13563	19 May 2010	Contravention of section 15A of the FSB Act
Fintek Trust	23226	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fipa Trading CC	37294	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
First Cape Securities International (Pty) Ltd	19388	26 March 2010	Contravention of section 15A of the FSB Act

First Draw Trading 30 CC t/a GBD Personal Financial Services	26002	26 March 2010	Contravention of section 15A of the FSB Act
Firstvest Financial Services CC	39414	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fiscus Insurance Administrator CC t/a Fiscus Insurance Brokers	16865	19 May 2010	Contravention of section 15A of the FSB Act
Fiscus Insurance Administrator t/a Fiscus Insurance Brokers	16 865	19 May 2010	Contravention of section 15A of the FSB Act
Fisher Hoffman PKF (Newlands) Inc	17475	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fixtrade 275 (Pty) Ltd	17359	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Flip Botha Familie Trust	14561	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Florence Masinuko Manana	20218	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Floris van Tromp t/a Atlas Insurance Brokers	7467	19 May 2010	Contravention of section 15A of the FSB Act
Focbusman Consultant CC	15892	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
For U Financial and Funeral Services CC	19241	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ford Fumbile Fumba t/a FF Brokers	20541	20 May 2010	Contravention of section 15A of the FSB Act
Formosa Insurance Brokers (Plett) CC	12359	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fortune Sibongakonke Mzelemu	17758	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Forty 8 Finance (Pty) Ltd	8037	19 May 2010	Contravention of section 15A of the FSB Act
Forwardsure (Pty) Ltd	7796	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Fourcast Financial Services CC	35762	29 March 2010	Contravention of section 15A of the FSB Act
Frances Denton t/a Intex Brokers	12415	19 May 2010	Contravention of section 15A of the FSB Act
Francisca Likeledi Sepenya	21580	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois Adriaan Kruger	8740	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois Cornelius van Zyl	12061	19 May 2010	Contravention of section 15A of the FSB Act
Francois du Toit t/a du Toit Brokers	9830	19 May 2010	Contravention of section 15A of the FSB Act
Francois Emil Jacques Kleinhans	16693	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois Eugene Human	16316	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois Hendrik du Plessis	11093	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois Johannes Labuschagne	15148	19 May 2010	Contravention of section 15A of the FSB Act
Francois Malan	16966	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Francois van der Merwe	9506	26 March 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Frank Andrew Allard	36726	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Frankie Henry Christian Phillips	13387	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Franklin Dreyer and Associates CC	12076	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Frans Hendrik Jansen van Vuuren t/a Frans van Vuuren Makelaars	14407	19 May 2010	Contravention of section 15A of the FSB Act
Frans Martins van Wyk t/a F & S Makelaars Lewens	10543	29 March 2010	Contravention of section 15A of the FSB Act
Fraser John Alexander t/a Matrix Consultancy	15778	19 May 2010	Contravention of section 15A of the FSB Act
Frederick Jacobus Espag	16 641	19 May 2010	Contravention of section 15A of the FSB Act
Freefall Trading 14 (Pty) Ltd t/a Future Life Insurance	18760	19 May 2010	Contravention of section 15A of the FSB Act
Fridah Makananisa Mashudu t/a Mashudu Insurance Brokers	29914	29 March 2010	Contravention of section 15A of the FSB Act
Friedshelf 756 (Pty) Ltd	29679	20 May 2010	Contravention of section 15A of the FSB Act
Frikkie Filander	25092	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Frm And Partners (Pty) Ltd	29342	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Full Colour Financial Management CC	12785	19 May 2010	Contravention of section 15A of the FSB Act
Full Colour Financial Management CC	12785	19 May 2010	Contravention of section 15A of the FSB Act
Fulloutput 1153 CC	28982	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS

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Funesist CC t/a Funesist Life	35335	29 March 2010	Contravention of section 15A of the FSB Act
Futura Versekerings Dienste BK	2295	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Future Care Funeral Parlour CC	34247	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Future Insurance Brokers	18535	03 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
G 2 Y Motors CC t/a African Auto Extreme	34411	29 March 2010	Contravention of section 15A of the FSB Act
G Mawby Consulting Services CC t/a G P Consulting Services	6937	26 March 2010	Contravention of section 15A of the FSB Act
G1 Financial Planners CC	39499	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Galhart Consulting CC	20134	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Galius Trading CC	28807	29 March 2010	Contravention of section 15A of the FSB Act
Ganasen Naidoo	30819	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ganesh Sohan t/a Lifestyle Brokers	19164	20 May 2010	Contravention of section 15A of the FSB Act
Gaoswabisi Funeral Home CC	30956	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Garth Andrew Roberts	12163	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Garth William Peek	6973	19 May 2010	Contravention of section 15A of the FSB Act
Gary Brian Horne t/a GH Brokers	34016	29 March 2010	Contravention of section 15A of the FSB Act
Gate-Bih Enterprise CC	29224	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gather The Gold Trading 20 CC	32047	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gauteng National Brokers CC	3774	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gavin Blumenthal	12080	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gavin Emery Firth	2914	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gavin John Beadsworth	14382	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gavin Wisdom	9320	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gayi Sam Nkosi	38323	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gcinumthetho Cyprian Justice Ndwandwa t/a Centinel Brokers	23384	20 May 2010	Contravention of section 15A of the FSB Act
GD Pillay & Associates	12710	29 March 2010	Contravention of section 15A of the FSB Act
Geldmaak Motors BK	19949	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Geoffrey John Jantjies	15394	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Geopaul Trading CC	32832	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Geophrey Nguyuzza Gazide	34380	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
George Diederik van Wyk t/a George Van Wyk	15651	19 May 2010	Contravention of section 15A of the FSB Act
George Investments & Insurance Brokers CC	5351	26 March 2010	Contravention of section 15A of the FSB Act
George Visser	4217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Geowalt Investments CC	14532	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
GER Broking Services CC	3654	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerald Khoza t/a Essential Asset Managers	31338	29 March 2010	Contravention of section 15A of the FSB Act
Gerbrecht Elizabeth Keyser	15884	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerhard de Kock	13650	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerhard Sameul van Wyk t/a Lom Burial Schemes	14684	19 May 2010	Contravention of section 15A of the FSB Act
Gerhardus Jacobus Pretorius	25680	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerhardus Petrus van der Merwe	34022	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerrie Johan Agenbag	12027	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Gerson Thomas Nale Molongoa	17943	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gert Johannes Petrus Nel	17925	19 May 2010	Contravention of section 15A of the FSB Act
Gert Lourens Stokes t/a Lourie Stokes and Associates	17251	19 May 2010	Contravention of section 15A of the FSB Act
Gert Schoeman Insurance Brokers	7102	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gerwyn Wilsnagh t/a Gerwyn Wilsnagh Brokers	14144	19 May 2010	Contravention of section 15A of the FSB Act
Gezana Solomon Zitha	23069	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gezani Samson Maathonsi	16415	19 May 2010	Contravention of section 15A of the FSB Act
GIB Insurance Brokers (Cape) (Pty) Ltd	23756	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gideon Durand t/a D & D Financial Services	11554	29 March 2010	Contravention of section 15A of the FSB Act
Gideon Jacobus Robbertse t/a Gideon Robbertse Financial Advisors	14290	19 May 2010	Contravention of section 15A of the FSB Act
Gideon Jacobus Scheepers	14187	19 May 2010	Contravention of section 15A of the FSB Act
Gideon Jacobus van Zyl t/a Deon van Zyl Finansiële Adviesdienste	13461	19 May 2010	Contravention of section 15A of the FSB Act
Gideon Schalk Scholtz	8011	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gideon Steyn van Rooyen	17365	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Gift and Neo Funeral Home CC	22449	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gilbert Ernest Roth	14897	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gillard Auto Investments (Pty) Ltd	28561	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gingirikani Marketing CC	20064	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ginsberg Distribution Systems CC	8233	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
GJ Steyn	13948	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gladman Obrey Vukile Nkosenye Mlambo	16501	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Glass Tucker	12188	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
GLB Motors CC	17640	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Glen Leece-Jones	388	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Global Corporate Brokers (Pty) Ltd	24445	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Globevest Brokers (Pty) Ltd	4045	29 March 2010	Contravention of section 15A of the FSB Act
GM Thomas & Associate CC	1002	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
GNM Financial Services CC	32797	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Go Ahead Trading 527 CC	15768	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Godfrey Mark Wilson	26144	26 March 2010	Contravention of section 15A of the FSB Act
Goitseone Timothy Gaetsewe t/a Timothy Gaetsewe Brokerage	26930	20 May 2010	Contravention of section 15A of the FSB Act
Gold Reef Versekeringsmakelaars BK	30861	20 May 2010	Contravention of section 15A of the FSB Act
Golden Rewards 875 CC	34600	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Golden Rewards CC t/a XJ Gcilitshana Independent Insurance Brokers	27036	26 March 2010	Contravention of section 15A of the FSB Act
Goldtail Auto Dealers CC	8385	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Goncalo Consulting CC	19222	26 March 2010	Contravention of section 15A of the FSB Act
Good 2 Go Brokers CC	33986	29 March 2010	Contravention of section 15A of the FSB Act
Goodman Mzupela Siyongwana	34018	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gordon Russell Daniel t/a Gordon Daniel Financial Services	7943	26 March 2010	Contravention of section 15A of the FSB Act
Gordon Smillie Financial Services CC	12156	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gordon's Bay Outdoor Centre CC	11705	19 May 2010	Contravention of section 15A of the FSB Act
GP Assure Consultants CC	26518	20 May 2010	Contravention of section 15A of the FSB Act
GPJ Labuschagne En Genote BK	18846	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

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Gracelen Investments 211 CC t/a LB Brokers	16 712	19 May 2010	Contravention of section 15A of the FSB Act
Graham Massey	8137	26 March 2010	Contravention of section 15A of the FSB Act
Graham William Tharratt	21873	20 May 2010	Contravention of section 15A of the FSB Act
Grand Bridge Trading 93 CC t/a Grand Bridge Motors	31720	29 March 2010	Contravention of section 15A of the FSB Act
Grand Palace Trading 24 (Pty) Ltd	17763	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Grant Swartz	13693	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Grant Worth Trading CC	19140	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gray 4 Success CC	35384	29 March 2010	Contravention of section 15A of the FSB Act
Great North Brokers CC	8603	26 March 2010	Contravention of section 15A of the FSB Act
Great North Motors (Pty) Ltd	26110	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Greenwoods Insurance Brokers	4785	27 May 2010	Contravention of section 15A of the FSB Act
Gregory Alwyn Welcome	5980	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gregory Storm Vernon Margerma t/a Storm Financial Services	14925	21 May 2010	Contravention of section 15A of the FSB Act
Greystone Trading 578 CC	18691	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS

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GSK Credit Insurance Brokers CC	1730	19 May 2010	Contravention of section 15A of the FSB Act
GSK Global Financial Services (Pty) Ltd	15149	19 May 2010	Contravention of section 15A of the FSB Act
GTSE Health & Insurance Brokers CC	18522	26 March 2010	Contravention of section 15A of the FSB Act
Gugu Precious Zikhali	31764	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Gunston Lindile Mtshotshisa	31684	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
GWM Motor Vryburg CC	38381	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
H & E Versekeringsmakelaars BK	8179	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
H le Roux Finansiële Dienste BK	36301	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hamilton Thomas Davidson t/a HT Davidson & Associates	8279	19 May 2010	Contravention of section 15A of the FSB Act
Hannes Cloete Brokers	12713	19 May 2010	Contravention of section 15A of the FSB Act
Hannes Le Grange Insurance Brokers CC	4692	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Harnack & Associates (Pty) Ltd	14658	19 May 2010	Contravention of section 15A of the FSB Act
Harold Hiltner Lehmann	20072	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Harrison Chuene Masehela	15388	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Harry Gobane Mokoena	36250	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hastings Trading CC	35376	29 March 2010	Contravention of section 15A of the FSB Act
Hatlane Fourth Dimension Health (Pty) Ltd	17814	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Havmar Entertainment CC	10307	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hazel Hilderbraly	28178	20 May 2010	Contravention of section 15A of the FSB Act
HDL Financial Services CC	37557	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Health Unlimited Medical CC	11692	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hector Mduduzi Mthethwa t/a Hectcoll Insurance Brokers	34482	29 March 2010	Contravention of section 15A of the FSB Act
Heinrich Carl Lesch	5092	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Heinrich vd Heever t/a Heinrich vd Heever Brokers	14384	29 March 2010	Contravention of section 15A of the FSB Act
Heinrich Zerwich	8968	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Heizel Joyce Hlangwane	12717	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Helen Mchunu t/a Smomoza Brokers	31465	29 March 2010	Contravention of section 15A of the FSB Act

Helpfin Insurance Brokers CC	14582	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Austin	20360	26 March 2010	Contravention of section 15A of the FSB Act
Hendrik Christiaan Cornelius Wasserman	17198	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Frederik Janse Van Rensburg	5906	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Jacobus Coetzer	204	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Johannes Louw	12924	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Johannes van der Ahee	10446	19 May 2010	Contravention of section 15A of the FSB Act
Hendrik Johannes van Reenen	26030	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Juriaan van Zuydam	11918	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrik Stephanus Pienaar	23988	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hendrina Magrieta Venter	12888	19 May 2010	Contravention of section 15A of the FSB Act
Hennie Oosthuizen	14182	19 May 2010	Contravention of section 15A of the FSB Act
Henque 1183 CC t/a AB Motors	9526	19 May 2010	Contravention of section 15A of the FSB Act
Henque 1354 CC	8157	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Henque 4393 CC t/a AB Motors Maitland	9521	19 May 2010	Contravention of section 15A of the FSB Act
Henri and Marie Viljoen Makelaars BK	10402	19 May 2010	Contravention of section 15A of the FSB Act
Henry John Lesley Kingma	14611	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Henry William Hill	23886	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Henzel Apollis t/a EL Shaddai Makelaars	18701	19 May 2010	Contravention of section 15A of the FSB Act
Henzel Apollis t/a Shaddai Begrafnisdienste	15396	19 May 2010	Contravention of section 15A of the FSB Act
Herby Properties 13 CC	17605	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hercules Johannes du Preez	11884	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Herremias Cornelias Conradie t/a Harry Motors	11488	19 May 2010	Contravention of section 15A of the FSB Act
Hester Ceicilia Jansen van Rensburg t/a Hester van Rensburg Finansiële Adviseer	19070	26 March 2010	Contravention of section 15A of the FSB Act
HHS Equality Financial Services (Pty) Ltd	26719	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
High Point Trading 594 CC	33977	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Highveld East Motors (Pty) Ltd	19122	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hilda Nomusa Ngema	27889	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Hilmar Engineering CC	22610	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hlanzulula Polution Solution CC t/a Patsan Financial Services	34907	29 March 2010	Contravention of section 15A of the FSB Act
Hlela Financial Solutions (Pty) Ltd	6524	26 March 2010	Contravention of section 15A of the FSB Act
HlokoHloko Financial Services CC	32623	29 March 2010	Contravention of section 15A of the FSB Act
HMJP Van Zyl	15018	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hobson Gcinumtheto Ngubelanga H G Projects & Investments	11562	19 May 2010	Contravention of section 15A of the FSB Act
Home of Eden Financial Solutions CC	36223	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Honor and Glory Funeral Palour CC	26287	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Horizon Deep Funeral Parlour CC	12347	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Howard John Charles Silk	9605	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hugh Auckland & Associates CC	14325	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Hugo Van Zyl	12296	16 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Human and Financial Management 1 CC	37067	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ian Andrew Chambers t/a Chambers Consulting	2861	19 May 2010	Contravention of section 15A of the FSB Act

Ian Brodie	23269	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ian Robin Levy t/a Ian Levy and Associates	4281	19 May 2010	Contravention of section 15A of the FSB Act
Ian Roger Mark Geldenhuys	36720	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ian Roland Killian t/a Ian Killian Brokers	6563	26 March 2010	Contravention of section 15A of the FSB Act
Ian van Niekerk (Pty) Ltd	6452	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Iani Mackenzie	36534	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Identity Corporate Advisors (Pty) Ltd	25791	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ignatuis Michael Viljoen t/a IGMA Business Consultants	11435	19 May 2010	Contravention of section 15A of the FSB Act
Igugu Financial Projects	38553	03 August 2010	Contravention of Section 17(1), & 19 (1) of the FAIS Act
Ilifu Financial Services CC	20212	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ilona Chanoch & Associates	3663	29 March 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
IM Personal Financial Planners CC	17564	26 March 2010	Contravention of section 15A of the FSB Act
Imali Home Loans (Pty) Ltd	31025	29 March 2010	Contravention of section 15A of the FSB Act
Imali Trust 1 t/a Eehtam Trust	31256	20 May 2010	Contravention of section 15A of the FSB Act

IMC Investment Management and Consulting (Pty) Ltd	8717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Imowa Investments CC	16959	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Impact Plus Trading CC	13164	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Impilo Facility Management (Pty) Ltd	27728	26 March 2010	Contravention of section 15A of the FSB Act
Improlevel Investment CC t/a Amatola Insurance Brokers	17937	19 May 2010	Contravention of section 15A of the FSB Act
Impunzi Construction Ventures CC	29093	26 March 2010	Contravention of section 15A of the FSB Act
Imre Insurance Advisors CC	10310	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Imvusa Trading 1593 CC	31405	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Increamental Growth Financial Services CC	19012	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Indhren Andrew Morgan t/a Andrew Morgan Services	7286	26 March 2010	Contravention of section 15A of the FSB Act
Indlela Yami Trading CC	19373	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Indlemnyama Entrepreneurship CC t/a Prestige Funeral Scheme	28437	26 March 2010	Contravention of section 15A of the FSB Act
Infinity Brokers CC	5527	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ingelosi Trading 333 CC	32449	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Ingelosi Trading 7 CC t/a Tata Durban	32167	29 March 2010	Contravention of section 15A of the FSB Act
Ingenuity Financial Brokers	35653	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insignia Insurance Brokers (Pty) Ltd	7464	19 May 2010	Contravention of section 15A of the FSB Act
Insika Funeral Parlour CC	27908	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insika Yekhaya Consulting CC	20131	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insikayesizwe Financial Services (Pty) Ltd	21549	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insurance Connection Brokers (Pty) Ltd	7266	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insurance Savers Brokers CC	28913	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insure @ E (Pty) Ltd	12965	29 March 2010	Contravention of section 15A of the FSB Act
Insurecor CC	18931	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Insurewell Financial Advisors CC	21862	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Inteli-Fin Financial Advisors CC	35515	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Interactive Employee (Pty) Ltd	20222	20 May 2010	Contravention of section 15A of the FSB Act
Intra Financial Advisers (Pty) Ltd	10661	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Inventive Ways 132 CC	11490	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Invest Right Financial Solutions CC	37408	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Investco Wealth Management (Pty) Ltd	857	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Investor Pension & Investments Advisors CC	11099	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Invesure Financial Consultants CC	34710	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Invusa Trading 420 CC	33057	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Isambulo (Pty) Ltd Investments t/a Isambulo Insurance Brokers	22700	15 March 2010	Contravention of section 15A of the FSB Act
Ishco Financial Brokers CC	10862	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Isithembiso Investments Solutions CC	37454	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ismail Ayob Consulting (Pty) Ltd	9711	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ismail Ebrahim Rawat	18955	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ismail Gosain	12715	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ismail Mahomed Insure Financial Advisors	14423	19 May 2010	Contravention of section 15A of the FSB Act
Ismail Shaik Hassan Parker	16502	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

It 4 Me CC	38842	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ithala Tax Consultants CC	33366	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ivo Sidonio Agrela Celestino t/a Insurance Consultants	27789	20 May 2010	Contravention of section 15A of the FSB Act
IW Luzmore	1951	19 May 2010	Contravention of section 15A of the FSB Act
IWC Brokers CC	5362	19 May 2010	Contravention of section 15A of the FSB Act
Izandla Ziyagezana Construction Projects CC	26038	29 March 2010	Contravention of section 15A of the FSB Act
J & E Intermediaries CC	9669	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
J and L Brokerage and Services CC	30745	20 May 2010	Contravention of section 15A of the FSB Act
J Teixeira and Associates CC	30013	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
J van Rooyen	12600	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
JA Kotze Brokers	12914	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jabulani Msibi	25566	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jabulile France t/a Jabu Financial Services	20706	20 May 2010	Contravention of section 15A of the FSB Act
Jaco Steenkamp	39457	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Jacob Kitso Makgotla	9243	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacob Mandla Radebe	24501	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacob Petrus Myburgh t/a Jaap Myburgh Insurance Brokers	10820	19 May 2010	Contravention of section 15A of the FSB Act
Jacob Thankgole Motlhabane t/a Isis Brokers	18849	26 March 2010	Contravention of section 15A of the FSB Act
Jacobs and Sons Insurance And Investment Consultant CC	35705	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacobus Christoffel Erasmus	11205	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacobus Johannes van Zyl	14890	21 May 2010	Contravention of section 15A of the FSB Act
Jacobus Johannes Von Mollendorf	30895	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacobus Naude Stander	17866	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacobus Nicolaas van der Westhuizen	28347	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacobus Petrus Smith	18371	26 March 2010	Contravention of section 15A of the FSB Act
Jacques De Roubiac Loots	10270	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacques Edwards	17407	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jacques le Roux t/a Jacques le Roux Makelaars	16643	26 March 2010	Contravention of section 15A of the FSB Act

Jadwat Finance Company (Pty) Ltd	29842	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jakobus van der Merwe	11567	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
James Anderson	37363	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
James Booysen	19174	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
James Gary Thornhill-Fisher	13696	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jameson Mashabela	25893	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jan Adriaan de Villiers t/a Jan de Villiers Makelaars	22206	20 May 2010	Contravention of section 15A of the FSB Act
Jan Erasmus Brokers (Pty) Ltd	27983	29 March 2010	Contravention of section 15A of the FSB Act
Jan Ferry Joseph Loots t/a Ferry Loots Makelaars	26017	26 March 2010	Contravention of section 15A of the FSB Act
Jan Hinrich Von Der Hyde t/a Independent Financial Brokers	1439	20 May 2010	Contravention of section 15A of the FSB Act
Jan Johannes Frantz	2627	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jan Johannes George van Wyngaardt t/a van Wyngaardt Brokers	8818	19 May 2010	Contravention of section 15A of the FSB Act
Jan Stephanus Le Roux t/a Kaapsig Brokers	10964	19 May 2010	Contravention of section 15A of the FSB Act
Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers	271	19 May 2010	Contravention of section 15A of the FSB Act

JD Financial Services Trust	25681	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
JDJ Insurance Brokers	17388	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
JDM Funerals CC	18479	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jean Francois Arthur Joseph Jules De St Phillippe Desplaces t/a JD Brokers	899	26 March 2010	Contravention of section 15A of the FSB Act
Jeffrey John Pulker	5217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jeffrey Lebogang Khumalo	35848	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jeffrey N Swarts t/a Norencish Financial Services	11572	19 May 2010	Contravention of section 15A of the FSB Act
Jeffrey Paul Meiring t/a Meiring & Associates	5266	26 March 2010	Contravention of section 15A of the FSB Act
Jenny Litkie	10005	29 March 2010	Contravention of section 15A of the FSB Act
Jeremy Euan Mc Bryne	15753	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jerome Lucky Qwabe	29591	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jerry Joseph Baloyi	18396	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
JGKM Trading CC	30486	29 March 2010	Contravention of section 15A of the FSB Act
Jhansi Invesments CC	37692	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

JLE Brokers CC	30132	29 March 2010	Contravention of section 15A of the FSB Act
JN Transport CC t/a Kwikfix Motors	19953	26 March 2010	Contravention of section 15A of the FSB Act
JNP Financial Services CC	38444	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Joaquim Marques Mendes t/a JM Brokers	32125	29 March 2010	Contravention of section 15A of the FSB Act
Johan Adam Bosman t/a Bossie Bosman	20054	20 May 2010	Contravention of section 15A of the FSB Act
Johan Bastiaan van Wijk	16084	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Beck Versekerings en Beleggings Makelaars BK	8943	19 May 2010	Contravention of section 15A of the FSB Act
Johan Christiaan Kriek	25770	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Fourie	23185	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Frederick Kirsten	11367	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Greyling	10011	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Hendrik Heyns	11568	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Jordaan	14339	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Maphumulo	23776	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Johan Matthysen Beleggings BK	12142	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Pheiffer	11877	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johan Pieter Black	21636	26 March 2010	Contravention of section 15A of the FSB Act
Johan Rautenbach	26466	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johann Christiaan Kruger	11411	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johann Cornelissen Besigheid	18534	19 May 2010	Contravention of section 15A of the FSB Act
Johann Hendrik van Heerden	8827	19 May 2010	Contravention of section 15A of the FSB Act
Johanna Maria Louisa Aucamp	7644	26 March 2010	Contravention of section 15A of the FSB Act
Johannes Carel Jordaan t/a Azz Funeral Services	4726	19 May 2010	Contravention of section 15A of the FSB Act
Johannes Frederik van Dyk	4480	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johannes Gerhardus Smith t/a Gert Smith Brokers	15741	19 May 2010	Contravention of section 15A of the FSB Act
Johannes Hendrik Vorster	22408	26 March 2010	Contravention of section 15A of the FSB Act
Johannes Jacobus Nel	12031	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johannes Jakobus Kruger	19162	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Johannes Jochemus Cilliers t/a Cilliers Brokers	11350	19 May 2010	Contravention of section 15A of the FSB Act
Johannes Lawrence Mafethe	19363	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johannes Lodewikus Kruger	4029	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johannes Petrus van Der Walt t/a Johan van Der Walt Brokers	8944	26 March 2010	Contravention of section 15A of the FSB Act
Johannes Petrus van Niekerk t/a Hannes van Niekerk Versekerings Makelaars	1930	19 May 2010	Contravention of section 15A of the FSB Act
Johannes Senzo Kubheka	36906	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Johannes Wilhelm van Wyk	11875	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Frederick Swanepoel t/a Js Brokers	22687	20 May 2010	Contravention of section 15A of the FSB Act
John James Ward	28880	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Nhlapo	6146	19 May 2010	Contravention of section 15A of the FSB Act
John Nicholas Masengarb	12280	19 May 2010	Contravention of section 15A of the FSB Act
John Ogilve Douglas	21678	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Ray's Auto CC	24080	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Rhodes Armour	13644	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

John Robert Horne	35488	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Sharon Consulting CC t/a J S Consulting	14025	19 May 2010	Contravention of section 15A of the FSB Act
John Talbot Insurance Consultants CC	6311	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
John Thomas Spires t/a Tommy Spires	28148	26 March 2010	Contravention of section 15A of the FSB Act
John Witbooi Makelaars BK	22284	20 May 2010	Contravention of section 15A of the FSB Act
Jo-Mari Schulz	38100	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jonconkeith Insurance Brokers CC	37587	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jor-Sure BK	8900	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Joseph Albertus Gouws	10004	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Joseph Mabaso t/a Joseph Mabaso Advisers	12731	19 May 2010	Contravention of section 15A of the FSB Act
Joseph Wilhelm Boshoff	11023	19 May 2010	Contravention of section 15A of the FSB Act
Josephine Cyndrella Munyai	24859	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Josephus Daniel Johannes Lombard t/a Lombard Financial Services	2137	19 May 2010	Contravention of section 15A of the FSB Act
Josua Jacobus Viljoen	16106	19 May 2010	Contravention of section 15A of the FSB Act

J-Sef Properties and Financial Planning CC	37029	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Julian Jeremiah Govender	17334	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Junior Mhlongo	18432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jurgen Breimaier	24090	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jurgens Engelbrecht t/a Jurie Engelbrecht Investment Assurance Broker	19268	20 May 2010	Contravention of section 15A of the FSB Act
Jurie Engelbrecht Insurance Consultants CC	12213	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Jurie Johannes Human van Der Merwe	22412	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Justin du Plessis	7823	19 May 2010	Contravention of section 15A of the FSB Act
JW Minnie	1670	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
K and L House of Investments (Pty) Ltd	33144	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
K Evans & Associates CC	3504	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kae Kapa Kae Funerals CC	8738	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kagiso Funeral Parlour (Pty) Ltd	13078	19 May 2010	Contravention of section 15A of the FSB Act
Kaleidoscope Metals CC	27655	26 March 2010	Contravention of section 15A of the FSB Act

Karen Ingrid du Plessis	9520	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Karl Heinz Christiaan Haussman	7147	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Karl Helmuth Heinrich Gribnitz	6990	26 March 2010	Contravention of section 15A of the FSB Act
Karmel Financial Services CC	29258	20 May 2010	Contravention of section 15A of the FSB Act
Karshan Financial Services CC	28193	26 March 2010	Contravention of section 15A of the FSB Act
Kasbo Brokers CC	4131	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kasteel Motors CC	25994	20 May 2010	Contravention of section 15A of the FSB Act
Kava Financial Solutions CC	4879	19 May 2010	Contravention of section 15A of the FSB Act
KCR Motorcycle Fanatix CC	21195	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
KDS Financial Consultants (Pty) Ltd	14110	19 May 2010	Contravention of section 15A of the FSB Act
Keatsheno Empowerment Financial Services CC	39662	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kebone Brokers CC	26997	20 May 2010	Contravention of section 15A of the FSB Act
Keetsi and Associates CC	6091	26 March 2010	Contravention of section 15A of the FSB Act
Keith Michael Fester t/a KM Fester Consulting	29376	29 March 2010	Contravention of section 15A of the FSB Act
Keith William Atson	33592	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS

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Kevan Roland Slade	32276	29 March 2010	Contravention of section 15A of the FSB Act
Kevin Lance Nyland	28096	26 March 2010	Contravention of section 15A of the FSB Act
Key Truck and Car (Airport) (Pty) Ltd	10323	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kgwadi Ya Motse Brokerage CC	38505	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khanare Isaac Mataboge	3347	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khanyisile Bridget Mkize	30869	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khanyisile Simphiwe Sibiya	28983	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khanyokuhle Funeral Services CC	36125	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khanzi's Funeral & Travel CC	18792	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	19 May 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khonzani Irene Madlala	17851	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khorombi Justice Madia	36107	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Khululeka Call Centre (Pty) Ltd	21311	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Khuselwa Olga Malamlela t/a KOM Financial Services	27393	26 March 2010	Contravention of section 15A of the FSB Act
Kim Porter (Pty) Ltd	11494	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kiranco Investments CC	9697	19 May 2010	Contravention of section 15A of the FSB Act
Kisten Govender	6070	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Klaas Maesela Ratombo t/a Ratombo Financial Services	26046	26 March 2010	Contravention of section 15A of the FSB Act
KN Tsepisa Logistics (Pty) Ltd	7564	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Knezovich Broking Services CC	12368	19 May 2010	Contravention of section 15A of the FSB Act
Knowledge Simphiwe Dladla	35164	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
KO Phuthanang Funeral Services CC	7834	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kolekile Colbey Mkumla	33007	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Komani Funeral Schemes CC	36283	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kompas Finansiele Dienste BK	29202	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Koobentheran Govender	10577	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Koos du Plooy Consultancy CC	36435	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Kopanelo Financial Admin Services CC	6958	26 March 2010	Contravention of section 15A of the FSB Act
Kopanelo Wealth Management Solutions CC	36074	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kopano Square 2000 CC	14929	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Koro-Sure CC	25743	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kovacs Investments 413 (Pty) Ltd	21801	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kovacs Investments 491 (Pty) Ltd t/a AC Auto	17786	30 March 2010	Contravention of section 15A of the FSB Act
Kowie Gerrys	21998	26 March 2010	Contravention of section 15A of the FSB Act
Kresin Pillay	18642	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kruben Finansiele Dienste BK	32187	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Krugercope Insurance Consultants CC	33303	29 March 2010	Contravention of section 15A of the FSB Act
Kuehne & Nagel (Pty) Ltd	3079	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kwena Brokers CC	27495	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Kwinana Bulelani	37311	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
L Sethole Financial Planning CC t/a Altegang Financial Planning	11728	19 May 2010	Contravention of section 15A of the FSB Act

Lacey Meyer (Pty) Ltd t/a Meyers Bay Financial Services	11574	19 May 2010	Contravention of section 15A of the FSB Act
Lambert Francois Gresse Van Wyk	16760	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lambertus Petrus Vosloo t/a Vosloo & Windell Consultants	12401	19 May 2010	Contravention of section 15A of the FSB Act
Lamyeni Financial Services CC	22295	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lancaster Capital (Pty) Ltd	16794	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lance Michler And Associates CC t/a Non Stop Financial Services	17637	19 May 2010	Contravention of section 15A of the FSB Act
Langkloof Boerekooperasie	14809	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Last Chance Financial Services	37653	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lathitha Financial Services (Pty) Ltd	30217	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Laurel Ursula Brand	31060	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lavis Interiors CC	33118	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lawrence Bafana Nkosi t/a LB Nkosi Brokers	23282	29 March 2010	Contravention of section 15A of the FSB Act
Lawrence Macha Makola t/a Don Financial Services	9209	19 May 2010	Contravention of section 15A of the FSB Act
Lazarus Moya	36473	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Le Roux Brokers t/a Inprosure	7235	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Le Tigre Holdings (Pty) Ltd t/a The Le Tigre Group	8458	26 March 2010	Contravention of section 15A of the FSB Act
Lea Magdalena Venter t/a Rgi Brokers	23915	20 May 2010	Contravention of section 15A of the FSB Act
Leading Edge 136 CC	35758	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lebaleng Funeral Parlour CC	36650	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lebowa Folmann Selematsela	37978	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Leepile Insurance Brokers	8948	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LEF Trading Enterprise CC	18504	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lefa Brokers CC	28886	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Legal Sense (Pty) Ltd	26702	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Legal Soldier (Pty) Ltd	18538	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Legalbiz (Pty) Ltd	22090	26 March 2010	Contravention of section 15A of the FSB Act
Lehlohonolo Elias Motaung t/a Lehlohonolo Brokers	17719	26 March 2010	Contravention of section 15A of the FSB Act
Lekete Elvis Mokoena t/a Mokoena Brokers	6968	26 March 2010	Contravention of section 15A of the FSB Act

Lekgadimane Diamond Mokopane	6097	19 May 2010	Contravention of section 15A of the FSB Act
Lekoa African Rainbow Funeral Homes (Pty) Ltd t/a Lekoa Cemeteries	18088	19 May 2010	Contravention of section 15A of the FSB Act
Lengwasa Consulting CC	33724	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lenka Financial Services CC	23859	29 March 2010	Contravention of section 15A of the FSB Act
Leo Partis (Pty) Ltd	2067	20 July 2010	Failure to comply with a licensing condition 2.1, appointment of a key individual
Leon Bowles t/a Leon Bowles Brokers	19437	20 May 2010	Contravention of section 15A of the FSB Act
Leon Delagarda van Wyk	20632	20 May 2010	Contravention of section 15A of the FSB Act
Leon Janse van Vuuren	8973	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Leon Leeuwner	9536	19 May 2010	Contravention of section 15A of the FSB Act
Leon Malherbe t/a Leon Malherbe Brokers	3606	19 May 2010	Contravention of section 15A of the FSB Act
Lephuela Properties And Risk Solutions	36356	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Leps Trading Enterprise CC	33012	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lerato Otlotleng Matthews Leburu t/a Huhudi Funeral Parlour	2918	26 March 2010	Contravention of section 15A of the FSB Act
Les Assurance Brokers CC	18154	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Les Brokers CC	3027	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

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Lesaka Financial Services CC	25196	20 May 2010	Contravention of section 15A of the FSB Act
Leseding Brokers CC t/a Lesedi Brokers CC	8440	19 May 2010	Contravention of section 15A of the FSB Act
Lesley Alison Govender	7665	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lesley Ann Bester t/a The Financial Solution	15790	19 May 2010	Contravention of section 15A of the FSB Act
Leswalaize Jeremia Bogatsu	29046	26 March 2010	Contravention of section 15A of the FSB Act
Lia Holdings (Pty) Ltd	17268	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lilac Moon Trade and Investment 34 CC	36868	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lincoln's Car N Bakkie CC	30818	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Linda Mabaso t/a Mbuduma Financial Services	5949	19 May 2010	Contravention of section 15A of the FSB Act
Linda Maria Rheeder t/a Linrhe Financial Consultants	21230	26 March 2010	Contravention of section 15A of the FSB Act
Linda Pietersen	10615	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Linda Thokozile Ntoni	6383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lindamanje Trading CC t/a Lindamanje Brokers	34478	29 March 2010	Contravention of section 15A of the FSB Act

Lindile Albert Doko t/a LA Brokers	28611	26 March 2010	Contravention of section 15A of the FSB Act
Lindiwe Petronella Mbele	21563	20 May 2010	Contravention of section 15A of the FSB Act
Lindsay Louis Lofstedt	28691	20 May 2010	Contravention of section 15A of the FSB Act
Linroy Terry Peters	36037	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lionel Basson	18525	26 March 2010	Contravention of section 15A of the FSB Act
Lionel John Payne	23673	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lisette Rebelo	10578	29 March 2010	Contravention of section 15A of the FSB Act
Litha Language Consultants CC t/a Litha Management Services	7369	19 May 2010	Contravention of section 15A of the FSB Act
Livewell Financial Services (Pty) Ltd.	14229	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Livingston Leandy Incorporated	17675	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Livio Antonio Lazzari	12681	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Liwendy Financial Services CC	37391	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lloyds Distribution & Services CC	20056	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lluka Japie Ngati t/a Mohulatshi Brokers	26928	26 March 2010	Contravention of section 15A of the FSB Act

LM Insurance Brokers CC	11690	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LM Zwane Brokerage CC	28819	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LMAC Consultants (Pty) Ltd	15793	19 May 2010	Contravention of section 15A of the FSB Act
LMJ Funerals CC	28447	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LNM Insurance Brokers CC	24294	20 May 2010	Contravention of section 15A of the FSB Act
LNR Commercial Insurance Brokers (Pty) Ltd	18748	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Loekie Nel CC	7890	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Long Island Trading CC t/a Ligwalagwala Financial Services	1032	19 May 2010	Contravention of section 15A of the FSB Act
Long Life Financial Services CC	32588	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lorette Beyleveld	11012	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lorraine Jacobs t/a Serala Undertakers	18793	26 March 2010	Contravention of section 15A of the FSB Act
Lou Barnard Makelaars CC	18583	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louis Cornelius Van Rooyen t/a Amsure	12660	19 May 2010	Contravention of section 15A of the FSB Act
Louis Stephanus Kruger t/a Hazyview Makelaars	10830	19 May 2010	Contravention of section 15A of the FSB Act

Louis Trichardt Versekeringsmakelaars BK	26849	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louis Wallis	12000	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louisa Suzanne Jaftha	34138	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Louise Margaret Truter t/a Louise Truter	7436	20 May 2010	Contravention of section 15A of the FSB Act
Loure Basson	16259	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lourens C de Lange	12794	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lowveld Estate Solutions (Pty) Ltd	11666	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LPM Musa Events CC	39551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LR Sisinyi Financial Services CC	7738	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LSG Business Services (Pty) Ltd	10598	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
LTE Risk Managers CC	17320	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lubada LWA Vhathu and Associates CC	24433	20 May 2010	Contravention of section 15A of the FSB Act
Lucas Nkosinathi Zulu	35027	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lucid Advisory Services (Pty) Ltd	36001	29 March 2010	Contravention of section 15A of the FSB Act

Lucindi Annandale	14018	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lucre The Financial Collective CC	12248	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lukhope Chris Brokers CC	19784	26 March 2010	Contravention of section 15A of the FSB Act
Lushale Financial Solutions CC	30365	29 March 2010	Contravention of section 15A of the FSB Act
LVS Insurance Brokers	16108	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Lynoks Business Enterprise CC	22027	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
M & W Financial Services CC	15466	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
M Ceko Brokerage CC	22248	20 May 2010	Contravention of section 15A of the FSB Act
M M Mmuoe Brokers CC	4864	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maarten Jacobus Petrus Coetzee	16926	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maarwaana Moerat	26891	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mabandla Benjamin Ndloyo t/a Mutual Brokers	19397	20 May 2010	Contravention of section 15A of the FSB Act
Mabeba Funeral Parlour CC	20443	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Machele John Mosikatsana	29330	26 March 2010	Contravention of section 15A of the FSB Act

Madimetja Rufus Ramotebele	6096	26 March 2010	Contravention of section 15A of the FSB Act
Madoda Mbulelo Samson	24676	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Madwe Business Enterprises CC	37394	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maeteko Funeral Services CC	8683	22 April 2010	Contravention of section 15A of the FSB Act
Maeve Maroun	34409	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magasa Samuel Nkoane	11059	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magaye Cardinal Wallace Cele	21370	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magdalena Susanna van Rooyen t/a Uphongolo Funerals	19640	26 March 2010	Contravention of section 15A of the FSB Act
Magic Power Funeral Undertaker CC	23043	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Magrieta Isabella Jansen van Vuuren t/a MIJ van Vuuren Brokerage	18236	26 March 2010	Contravention of section 15A of the FSB Act
Mahlako Tepanyika	36417	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mahlomaholo Bothman Moreko	19250	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mahlomola Jerry Mogotsi	12473	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maila Funerals CC	9244	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Maine Clive Patrick Molibeli	18848	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mainsail Risk CC	31068	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MAK Financial Planners CC	36685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Makhanta Jimmy Maswangaye t/a Horizons Brokers	4791	19 May 2010	Contravention of section 15A of the FSB Act
Makhosi Ncwana t/a Chief Insurance Brokers	3669	19 May 2010	Contravention of section 15A of the FSB Act
Makhunga Investment Holdings CC	36151	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Makopo Risk Solutions CC	29489	20 May 2010	Contravention of section 15A of the FSB Act
Makunga Trading Enterprise CC	36676	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Malangeni Advisory Services CC	16 719	19 May 2010	Contravention of section 15A of the FSB Act
Malangeni Avisory Services CC	16719	19 May 2010	Contravention of section 15A of the FSB Act
Malcolm E Buxmann CC	30238	20 May 2010	Contravention of section 15A of the FSB Act
Malcolm Lizwe Mac Mavuma	7066	26 March 2010	Contravention of section 15A of the FSB Act
Malcolm Winston Hendricks	19393	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Malinda Llewelyn Nomvalo	38349	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Malock Makelaars CC	21034	20 May 2010	Contravention of section 15A of the FSB Act
Mamakazi Trading Enterprise CC	37595	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mamie Emma Mtupa	35552	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mamohola Financial Services CC	17714	19 May 2010	Contravention of section 15A of the FSB Act
Mamokgadi Financial Services CC	7347	26 March 2010	Contravention of section 15A of the FSB Act
Mandhla Mtembu	10693	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mandla & Njabulo Consultancy & Projects CC	35148	29 March 2010	Contravention of section 15A of the FSB Act
Mandla Admire Khumalo	33745	29 March 2010	Contravention of section 15A of the FSB Act
Mandlenkosi Godfrey Mkhize	35132	29 March 2010	Contravention of section 15A of the FSB Act
Mandlenkosi Sipho Afrika Sikhakhana	18347	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Manelly Risk Management CC	31849	29 March 2010	Contravention of section 15A of the FSB Act
Mangoegape Funeral Parlour CC	7958	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mangwevana John Radebe	33048	29 March 2010	Contravention of section 15A of the FSB Act
Manini Florence Paulus	25619	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Manthwadi Trading Enterprise CC	30000	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maphoko Distributors and Financial Services CC	21417	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maponya Nathaniel Masitenyane	24005	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marc Christopher Snyman t/a MCS Financial Services	14119	19 May 2010	Contravention of section 15A of the FSB Act
Maria Elizabeth Cassell t/a Cassell Brokers	3583	19 May 2010	Contravention of section 15A of the FSB Act
Maria Elizabeth Swart	16660	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maria Salome Snyders	30359	20 May 2010	Contravention of section 15A of the FSB Act
Maria Susanna Annandale	12247	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mariaan Makelaars BK	37038	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mariette Freeman t/a Freeman Brokers	15296	19 May 2010	Contravention of section 15A of the FSB Act
Mariri Trading 98 CC t/a Pelsers Brokers	18666	26 March 2010	Contravention of section 15A of the FSB Act
Marisa Dippenaar	34017	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marius Christo Strydom t/a Commercial Industrial Insurance Brokers	28140	26 March 2010	Contravention of section 15A of the FSB Act
Marius Etsebeth	11718	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Marius Maritz Makelaars	12405	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marius Wynand Rudolf de Klerk	9235	29 March 2010	Contravention of section 15A of the FSB Act
Marjohn Brokers CC	26200	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Payne Consulting CC	20086	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Thomas Heatlie	7963	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mark Walter Karsten t/a Mark Karsten and Associates	21886	26 March 2010	Contravention of section 15A of the FSB Act
Market Demand Trading 216 (Pty) Ltd t/a Uthango Financial Services	35759	29 March 2010	Contravention of section 15A of the FSB Act
Market Demand Trading 92 (Pty) Ltd	16807	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Market Trends CC	22028	20 May 2010	Contravention of section 15A of the FSB Act
Marshawes CC	17439	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Help Mekaar Begrafnis Skema	18531	26 March 2010	Contravention of section 15A of the FSB Act
Martha Jacqueline Jennepher Mokgalagadi t/a Ikageng Begrafnis Ondernemers	24341	20 May 2010	Contravention of section 15A of the FSB Act
Martha Mokgalagadi t/a Help Mekaar Begrafnis Skema	26779	26 March 2010	Contravention of section 15A of the FSB Act
Marthinus Albertus Pool t/a Manyana Brokers	25968	26 March 2010	Contravention of section 15A of the FSB Act

Marthinus Beyers Brink t/a Brink Financial Services	33290	29 March 2010	Contravention of section 15A of the FSB Act
Marthinus Johannes Zaayman t/a M J Zaayman Makelaars	14275	19 May 2010	Contravention of section 15A of the FSB Act
Marthinus Petrus de Jager	27354	26 March 2010	Contravention of section 15A of the FSB Act
Marthinus Petrus Loubser	10122	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martin Sidney Foster t/a Martin Foster Financial Planners	7938	19 May 2010	Contravention of section 15A of the FSB Act
Martinus Brokers	1723	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martinus Coenraad Viljoen t/a Ultimate Underwriter	3036	19 May 2010	Contravention of section 15A of the FSB Act
Martinus Malan	188	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Martinus Petrus Bezuidenhout	25248	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Marumo Mlangeni	31744	29 March 2010	Contravention of section 15A of the FSB Act
Masakane Coffin Society CC	19433	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Masakane Insurance Brokers CC	21076	20 May 2010	Contravention of section 15A of the FSB Act
Masello Business Enterprise CC	10413	19 May 2010	Contravention of section 15A of the FSB Act
Mashika Johannes Malahlela	7771	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mashoshoba Trading CC	33644	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Masibambaneni Funeral Undertaker CC	15690	19 May 2010	Contravention of section 15A of the FSB Act
Mathibedi Isaac Mogoane	22551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mathilda Cecelia Heine	3903	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mathseb Cattle And Meat Services CC t/a Dirisanang Ma-Africa Society	11312	19 May 2010	Contravention of section 15A of the FSB Act
Matilda van Burick t/a Matilda van Burick Financial Advisor	20531	26 March 2010	Contravention of section 15A of the FSB Act
Matladi Ruth Tsele	4992	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matona Risk Solutions	8893	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matorokisi Financial Services CC	37667	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	19 May 2010	Contravention of section 15A of the FSB Act
Matthew Livingstone Botha	39115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Matthew Viljoen Brokers CC	7433	29 March 2010	Contravention of section 15A of the FSB Act
Matthews Magubhana Ndubane	6041	19 May 2010	Contravention of section 15A of the FSB Act
Matthysen Funeral Services CC	18599	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Maudi Swinny Mogale	21822	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mava George Mkukwana t/a Pro-Elite Financial Services Solution	9515	26 March 2010	Contravention of section 15A of the FSB Act
Mawabo Onesmus Mahlaza	31680	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mawonga Ayliff Hanxa	22746	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Maxwell Eric Kohler	129	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mayfair Medical Solutions CC	34090	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbhobho Investments CC t/a First Class Funeral Services	9021	26 March 2010	Contravention of section 15A of the FSB Act
Mboneni Daniel Mntuwenkosi Mdluli	36944	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mboyi Health Care and Financial Consultants CC	29765	29 March 2010	Contravention of section 15A of the FSB Act
Mbulaheni Eric Raliwedza t/a Una Rine Insurance Brokers	20501	20 May 2010	Contravention of section 15A of the FSB Act
Mbulelo Thomas Thinta	23098	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbutana Joe Mamaile	28025	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mbuti Isaac Singela t/a Kutloano Health Care Services	23016	20 May 2010	Contravention of section 15A of the FSB Act
Mbuyiseni Henry Masuku	36438	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

MC Sithole Financial Services CC	5549	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MC2 Asset Management CC	34466	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mcdonald Matsalaneng Baabua	20404	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mchunu Financial Advisory Services CC	18615	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MCX Brokers CC	10041	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mduduzi Capricon Gazu	36656	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ME Ntshihlele	13718	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Medaphahlane Financial Advisors CC	26584	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Medfin Financial Services (Pty) Ltd t/a Medfin Services	19105	20 May 2010	Contravention of section 15A of the FSB Act
Medical Prefunders (Pty) Ltd	32260	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Melusiwami Trading Enterprise CC	38391	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Melvin Eric Petersen	18853	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mentor Healthcare Planning (Pty) Ltd	13358	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meraka Consultants CC	20741	20 May 2010	Contravention of section 15A of the FSB Act

Mercari Financial Services (Pty) Ltd	777	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mercury Insurance Solutions (Pty) Ltd	17405	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Merimate Trade 1017 CC	29678	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meriting Financial Services CC	35962	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Meriting Finguard (Pty) Ltd	31292	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Merle Verna Hollenberg	26199	30 March 2010	Contravention of section 15A of the FSB Act
Meshack Tshidiso Duiker	27622	20 May 2010	Contravention of section 15A of the FSB Act
Metro Financial Services CC	18605	26 March 2010	Contravention of section 15A of the FSB Act
Mgabadelo Trading Enterprise (Pty) Ltd	36482	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mhlangaveza Funeral Services CC	36121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Angela Brokers CC	17201	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Brunette	24840	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Dodds t/a M Dodds and Associates	2465	19 May 2010	Contravention of section 15A of the FSB Act
Michael Edward De Gouveia t/a Priceless Motors	20755	26 March 2010	Contravention of section 15A of the FSB Act

Michael Edwards Melville Matthews	15222	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Ettienne Griessel	28394	26 March 2010	Contravention of section 15A of the FSB Act
Michael Frederick Sumption t/a D & L Insurance Brokers	2682	19 May 2010	Contravention of section 15A of the FSB Act
Michael Luden CC	20983	26 March 2010	Contravention of section 15A of the FSB Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	29 March 2010	Contravention of section 15A of the FSB Act
Michael Norman Carlisle Leach	3334	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michael Sindile Poni t/a Professional Advisory Services	18097	19 May 2010	Contravention of section 15A of the FSB Act
Michelle Gwyneth Booyens	19253	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Michiel Brits	13519	19 May 2010	Contravention of section 15A of the FSB Act
Micromath Trading 794 CC t/a Dynamic Brokers	10726	19 May 2010	Contravention of section 15A of the FSB Act
Microzone Trading 857 CC t/a Subban Financial Brokers	33246	29 March 2010	Contravention of section 15A of the FSB Act
Midland Brokers CC	14081	19 May 2010	Contravention of section 15A of the FSB Act
Mike Gale	4172	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Milprops 349 CC	4177	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Minroc Funeral Parlour CC	12412	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

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Miroa Alpheus Malekana	34582	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mirror Short Term Solutions (Pty) Ltd	32447	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MIT Financial Services CC	24894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mitchell Crane MCColl	39181	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MK Mobile CC	31258	29 March 2010	Contravention of section 15A of the FSB Act
Mkhari Funeral Directors (Pty) Ltd	19731	26 March 2010	Contravention of section 15A of the FSB Act
Mlindi Samson	18704	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Jerome Hlophe	34593	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Keswa	32093	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mlungisi Mniya	22996	20 May 2010	Contravention of section 15A of the FSB Act
Mmanthopa Construction and Projects 6 CC	39044	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mmboswobeni Eric Ratshiungo	34403	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MMC Insurance Brokers CC	13871	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mnandi Insurance Brokers (Pty) Ltd	25018	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mncedisi Protas Dlepu	18696	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MNE Solutions CC	34131	29 March 2010	Contravention of section 15A of the FSB Act
Mngambi & Sons Funeral Services CC	24345	20 May 2010	Contravention of section 15A of the FSB Act
Mnumzana Jan Ncetani	28468	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mochakane Mzanempi Petrus Khumalo t/a Mmp Khumalo Financial Services	10824	19 May 2010	Contravention of section 15A of the FSB Act
Moche Financial Services	10044	19 May 2010	Contravention of section 15A of the FSB Act
Modus Insurance Brokers CC	18383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moeketsi Joseph Ntsiea	15058	19 May 2010	Contravention of section 15A of the FSB Act
Moepagauta Trading Enterprise 10 CC	38363	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mogaetsho Isiah Nicholas Poee	25515	20 May 2010	Contravention of section 15A of the FSB Act
Mogamat Jappie	12763	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mogomotsi DM Advisory Services CC	36253	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mohlamme Funeral Services	33113	13 July 2010	Contravention of Section 17(1), & 19 (1) of the FAIS Act

Moholo Investments (Pty) Ltd	30142	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mohubuke Funeral Parlour CC	2774	26 March 2010	Contravention of section 15A of the FSB Act
Moila Funeral Directors CC	8736	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mokase Health Care Plan CC	36328	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Momento Brokers CC	30323	20 May 2010	Contravention of section 15A of the FSB Act
Mondli Marion Dlamini	35121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Monemo Consulting (Pty) Ltd	27723	20 May 2010	Contravention of section 15A of the FSB Act
Moneo Financial Planners (Pty) Ltd	35324	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Money Box Investments 60 (Pty) Ltd	27310	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Money Mosaic (Pty) Ltd	28465	20 May 2010	Contravention of section 15A of the FSB Act
Monica Barbara Syfert	11680	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Monique Volschenk	20046	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Montaque Gardens Insurance Brokers CC t/a Provincial Insurance Brokers	1986	19 May 2010	Contravention of section 15A of the FSB Act
Monye-Le-Shako Community Development CC	15280	19 May 2010	Contravention of section 15A of the FSB Act

Moorivier Makelaars Bloemfontein (Edms) Bpk	14864	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moorivier Makelaars Ottosdal CC	32363	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moolfin Management (Pty) Ltd	40	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moonstone Trading CC	12482	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moosa Ismail Ally t/a VIP Estate and Insurance	5574	19 May 2010	Contravention of section 15A of the FSB Act
Morga Govender & Associates CC	15013	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Morne Campher	27974	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Morne Thomas Fourie	14647	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mortgage Group (Pty) Ltd	28746	29 March 2010	Contravention of section 15A of the FSB Act
Moses Mntungwa	35940	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moses Mzayifani Ndlovu	22671	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Moshiga Telecommunications CC	29849	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mosira Shadrack Petrose Motsatse t/a MSP-Motsatsa Financial Services	35131	29 March 2010	Contravention of section 15A of the FSB Act
Motaung Funeral Undertakers CC	17161	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mothusi Matthews Lenyatsa	36579	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motiff Financial Services CC	14295	29 March 2010	Contravention of section 15A of the FSB Act
Motla Funeral Parlour CC	13201	19 May 2010	Contravention of section 15A of the FSB Act
Motlhaodi William Malgas	29251	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motor Mecca Brits (Pty) Ltd	20568	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Motshidisi Funeral Services CC	25880	26 March 2010	Contravention of section 15A of the FSB Act
Motsoaledi Howard Motau	18456	26 March 2010	Contravention of section 15A of the FSB Act
Motubatse David Matlala t/a M D Matlala Brokers	17149	19 May 2010	Contravention of section 15A of the FSB Act
Mountainsea Insurance Broker CC	16846	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mountbatten Insurance Consultants (Pty) Ltd	13593	19 May 2010	Contravention of section 15A of the FSB Act
Mpati Funeral Services CC	27990	30 March 2010	Contravention of section 15A of the FSB Act
Mpeta Insurance Brokers CC	26528	26 March 2010	Contravention of section 15A of the FSB Act
Mpolokeng Funeral Parlour CC	25900	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mpumelelo Bekisisa Roland Nxasana	4523	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

MRA Motors CC	30135	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Msikho Property Investment CC	31204	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MT Funeral Parlour CC	13076	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mt Insurance Brokers CC	31349	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
MTB Tourism Services CC	8547	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mtshana Trading Enterprise CC t/a MTE Financial Services	33333	29 March 2010	Contravention of section 15A of the FSB Act
Mthabane and Bahlabane Trading Enterprise CC	26805	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mtoyindoda M Ngwexana t/a Ncedisizwe Funeral Undertakers	17554	19 May 2010	Contravention of section 15A of the FSB Act
Mtoyindoda M Ngwexana t/a Ncediswe Funeral Undertakers	17554	19 May 2010	Contravention of section 15A of the FSB Act
Mtsweni Bismarck	3376	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mufaro Brokers CC	6841	26 March 2010	Contravention of section 15A of the FSB Act
Mukasi Joe Trading Enterprise CC	36675	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Multilayer Trading 419 CC t/a Zwelihle Funeral Home	11432	19 May 2010	Contravention of section 15A of the FSB Act
Multiple Financial Services CC	23469	29 March 2010	Contravention of section 15A of the FSB Act

Muriel Jacoline Oosthuizen t/a Millennium Financial Advisory Services and Brokers	20950	26 March 2010	Contravention of section 15A of the FSB Act
Murnau Motors Rustenburg (Pty) Ltd	20388	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musa Doctor Ngubane	38356	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musitek (Pty) Ltd	16825	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Musundwa Samson Ratombo	22031	26 March 2010	Contravention of section 15A of the FSB Act
Mutual Interests (Pty) Ltd	13964	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mutual Ties Brokers CC	19032	26 March 2010	Contravention of section 15A of the FSB Act
Muziwamandla Joel Musawenkosi Gumede	39320	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Muziwonke Trading Enterprise CC t/a Thabizolo Brokers	35038	29 March 2010	Contravention of section 15A of the FSB Act
Mvuleni Phillip Mdayi	21803	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mwelela Trading CC t/a Mwelela Funeral Services	24772	20 May 2010	Contravention of section 15A of the FSB Act
Mxolisi Mdhluli	11835	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
My Label Trading CC	37681	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Myalezi Maloti Brokers CC	39249	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Mzansi Insurance Brokers CC	37114	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzanywa Bonginkosi Mkhize t/a Ons Brokers	26989	20 May 2010	Contravention of section 15A of the FSB Act
Mzanzi Risk Management CC	32947	29 March 2010	Contravention of section 15A of the FSB Act
Mzao Financial Solutions (Pty) Ltd	20011	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzikayise Meagwick Gavu t/a Ms Consultants	18279	19 May 2010	Contravention of section 15A of the FSB Act
Mzileni & Associates CC	27222	26 March 2010	Contravention of section 15A of the FSB Act
Mzizi Funeral Directors CC	13091	19 May 2010	Contravention of section 15A of the FSB Act
Mzoleli Wiseman Joko	17163	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzukiseni Investment Resources CC	5780	26 March 2010	Contravention of section 15A of the FSB Act
Mzukisi Reginald Mdeliswa	26770	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzwabantu Raymond Vandala t/a Vandala Brokers	26798	26 March 2010	Contravention of section 15A of the FSB Act
Mzwandile Calvert Lokotwayo	12738	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Mzwandile Joseph Mpofu	29717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N & E Emergency Assistance Centre CC t/a NEEAC	34724	29 March 2010	Contravention of section 15A of the FSB Act

N Botlhoko Business Consultant CC	19432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N J R Du Plessis Computer A and Electronics CC t/a NJR Begrafnisdienste	15398	19 May 2010	Contravention of section 15A of the FSB Act
NA Mhlongo & Associates CC	13847	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nacora Insurance Brokers (Pty) Ltd	1135	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Naidoo Investment Trust	17247	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nandisa Brokers CC t/a Nandisa Insurance Brokers	30086	20 May 2010	Contravention of section 15A of the FSB Act
Naomi Esther de Beer t/a Deon de Beer Makelaars	15567	19 May 2010	Contravention of section 15A of the FSB Act
Nare Healthcare Services CC	11264	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Narisha Ramkelawan t/a Mutual Lite Brokers	25973	26 March 2010	Contravention of section 15A of the FSB Act
Nasara Insurance Brokers CC	20705	26 March 2010	Contravention of section 15A of the FSB Act
Naseema Hassim Essa	26559	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nash Motors CC t/a Nash Nissan	9932	19 May 2010	Contravention of section 15A of the FSB Act
Nasrulla Enus	15454	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nassau Makelaars BK	12747	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

National Pride 279 (Pty) Ltd t/a Eden Connect	28268	20 May 2010	Contravention of section 15A of the FSB Act
National Road Accident Cover (Pty) Ltd	30882	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
National Teachers Union	19170	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Natrisk Brokers CC	9944	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Natures Health Products (Pty) Ltd	36433	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Naushaads Car Sales CC	27995	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nayagar Financial Services	26313	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nazeem Adams	33006	29 March 2010	Contravention of section 15A of the FSB Act
Ncabane Funeral Services CC	36122	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ncanana Funeral Services CC t/a Zockey Business Enterprises	22927	20 May 2010	Contravention of section 15A of the FSB Act
NCD Brokers CC	16057	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ncongwane Funeral Services CC	22925	20 May 2010	Contravention of section 15A of the FSB Act
Ndabambi Thomas Sithole	33722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndabezinhle Tembane t/a SN Financial Brokers	20240	20 May 2010	Contravention of section 15A of the FSB Act

Ndala Brokers CC	37971	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndizwa Timothy Shobede	39439	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndlandlalati Financial Consulting CC	38974	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ndlelanhle Funeral Services CC t/a Zweli Financial Services	11791	19 May 2010	Contravention of section 15A of the FSB Act
Neelandran Chetty t/a Universal Consultants	17387	19 May 2010	Contravention of section 15A of the FSB Act
Neels Ras Motors CC	28200	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
N-E-Fg Custodian Services (Pty) Ltd	25242	20 May 2010	Contravention of section 15A of the FSB Act
Neil Howard Gellman	25383	29 March 2010	Contravention of section 15A of the FSB Act
Neil Kelly t/a Kelly Financial Services	6745	19 May 2010	Contravention of section 15A of the FSB Act
Nelisa Mlisana	39361	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nelson Lepulana Selwana t/a Nape Brokers	34271	29 March 2010	Contravention of section 15A of the FSB Act
Nelspruit Auto Motors (Pty) Ltd	28594	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Neo Quintin Shale t/a Rainbow Health Care Broker	34143	29 March 2010	Contravention of section 15A of the FSB Act
Neville Eksteen Brokers Trust	17382	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Neville Hide & Associates Incorporated	16601	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Neville Trevor Tegg	8466	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
New Dimension Insurance Brokers CC	7376	19 May 2010	Contravention of section 15A of the FSB Act
New Future Financial Services CC	15777	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
New Germany Brokers and Estate Agents CC t/a Atlantic Broker Services	34850	29 March 2010	Contravention of section 15A of the FSB Act
New Heights Iz(Pty) Ltd t/a Eagle Financial Services	19661	20 May 2010	Contravention of section 15A of the FSB Act
Ngazimbi Michael Sithole	17950	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ngiphamandla Construction and Project CC	38185	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ngoako Reginald Moagi t/a Banareng Financial Solutions	27053	20 May 2010	Contravention of section 15A of the FSB Act
Nhlalala Marketing and Finance CC	33406	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nhlanhla Alexis Dlamini	20665	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicklaus Modise Seerane	29819	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nico Lotz t/a Uni-Vest	27492	26 March 2010	Contravention of section 15A of the FSB Act
Nico Odendaal CC	36830	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Nico Roy Human t/a Coastal Financial Services	20391	20 May 2010	Contravention of section 15A of the FSB Act
Nicol Buchner t/a Nicol Brokers	13660	29 March 2010	Contravention of section 15A of the FSB Act
Nicolaas Everhardus Louw t/a Euvard Louw Brokers	5936	26 March 2010	Contravention of section 15A of the FSB Act
Nicolaas Johannes Human	4871	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicolaas Johannes Solomon Kock	20931	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nicolaas Pieter Windell t/a Vosloo & Windell	12375	19 May 2010	Contravention of section 15A of the FSB Act
Nicolette Erasmus t/a Erasmus Consulting	27083	26 March 2010	Contravention of section 15A of the FSB Act
Nikingcebo Investments (Pty) Ltd	35453	29 March 2010	Contravention of section 15A of the FSB Act
Nikki Patience Yenge t/a Nikkie	26324	26 March 2010	Contravention of section 15A of the FSB Act
Ninscor Two CC	16467	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nirendra Roopchund t/a Roopchund Financial Services	18046	19 May 2010	Contravention of section 15A of the FSB Act
Nirmala Pather t/a Tyde Insurance Brokers	7614	26 March 2010	Contravention of section 15A of the FSB Act
Njabuloyethu Financial Services CC	39315	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nkahloleng Aubrey Phasha	38551	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nkhangweni Netshisaulu t/a Horizon Insurance Brokers	12750	19 May 2010	Contravention of section 15A of the FSB Act

Nkoana Assurance Brokers CC	6136	29 March 2010	Contravention of section 15A of the FSB Act
Nkoana Matome Hendrick & Associates CC t/a MHN Legal Costs Assistance	4182	19 May 2010	Contravention of section 15A of the FSB Act
Nkoane Healthcare Consultant CC t/a Healthcare Consultant	20687	20 May 2010	Contravention of section 15A of the FSB Act
Nkosenhle Wilberforce Mbokazi	22906	20 May 2010	Contravention of section 15A of the FSB Act
Nkosinathi Nathi Sosibo	21182	26 March 2010	Contravention of section 15A of the FSB Act
Nkoyono Peter Tema t/a Tema Financial Services	12033	19 May 2010	Contravention of section 15A of the FSB Act
NLA Life (Pty) Ltd	11220	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
NLK Brokers CC	7987	19 May 2010	Contravention of section 15A of the FSB Act
NMH Consultancy CC	5694	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	20 May 2010	Contravention of section 15A of the FSB Act
Noluthando Khomo t/a Khomo Financial Services	9863	19 May 2010	Contravention of section 15A of the FSB Act
Nomalungisa Qadi	37544	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nomusa Shirley Molefe	21459	20 May 2010	Contravention of section 15A of the FSB Act
Nomvula Risk Services (Pty) Ltd	38817	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nontaba Yvonne Mpofu	23679	20 May 2010	Contravention of section 15A of the FSB Act

Norman Ephraim Vilakazi	38820	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
North Ridge Alliance (Pty) Ltd	28917	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Northern Jungle Trading 123 (Pty) Ltd t/a Dlamini & Associates	25144	20 May 2010	Contravention of section 15A of the FSB Act
Northern Jungle Trading 81 (Pty) Ltd t/a Gerrie van Vuren Financial Services	24723	29 March 2010	Contravention of section 15A of the FSB Act
Northpark Trading 91 (Pty) Ltd t/a Prosure FRC	7474	19 May 2010	Contravention of section 15A of the FSB Act
Nouveau Investments and Insurance Brokers CC	15865	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Novazz Funeral Services CC	11425	19 May 2010	Contravention of section 15A of the FSB Act
Nozicelo Abrahams	33798	29 March 2010	Contravention of section 15A of the FSB Act
Nqobile Victor Zungu t/a Nqobile Insurance Brokers	24346	20 May 2010	Contravention of section 15A of the FSB Act
NSA Developments CC t/a NSA Financial	7849	26 March 2010	Contravention of section 15A of the FSB Act
Nsele Trading 17 (Pty) Ltd	22676	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntabankulu Brian Matshaya	35632	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntamane Funeral Services	29535	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nthabiseng Elizabeth Maklein	18108	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Nthabiseng Henrietta Lekoro	20369	29 March 2010	Contravention of section 15A of the FSB Act
Nthentole Financials and Investments CC	30606	20 May 2010	Contravention of section 15A of the FSB Act
Nthobi Norah Mokgothadi	5495	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntinga Health & Financial Services CC	32188	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntirocapital Investment CC	39009	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntokozo Khoza	26021	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntokozo Ndebele	32283	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ntombizine Confidence Tiyo	38585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nucleus Investments (Pty) Ltd	1858	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nuqood Financial Services (Pty) Ltd	37914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nu-Vision Financial Consultants CC	12486	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nuwejaar Amos Mofokeng	12483	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nwabisa Abrahams	37607	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nwali Insurance Brokers CC	11001	19 May 2010	Contravention of section 15A of the FSB Act

Nyaweni Jan Lebyana	35138	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Nzaliseko Brokers (Pty) Ltd	35575	29 March 2010	Contravention of section 15A of the FSB Act
Obakeng Jack Motsaathebe	12335	19 May 2010	Contravention of section 15A of the FSB Act
OCL Holdings (Pty) Ltd	15761	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ogwini Funeral Directors CC	15295	19 May 2010	Contravention of section 15A of the FSB Act
Omar Farouk Mahomed Suleman	10317	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Omni Insure (Pty) Ltd	36937	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Omnilife CC	38517	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Onderdak Versekerings Makelaars BK	37877	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
One Logix (Pty) Ltd t/a Vehicle Delivery Services & Gologix Media Express	19185	20 May 2010	Contravention of section 15A of the FSB Act
Oracle Risk Solutions CC	21548	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Orandia (Pty) Ltd	19290	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oranje Meganies (Pty) Ltd	20148	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Orient Estates CC	37492	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Orsum Cars Corporation CC	28781	26 March 2010	Contravention of section 15A of the FSB Act
Osiris Communications (Pty) Ltd t/a Bounty Services	21321	30 March 2010	Contravention of section 15A of the FSB Act
OTT International Holdings CC	34562	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Otto La Grange	7154	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Outcomes Management Consultants (Pty) Ltd	24403	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
OV Makelaars (Edms) Bpk	16146	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Owen Victor Parvess	10517	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oxygen Healthcare (Pty) Ltd	24319	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Oyisha Product Distributors CC	23100	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P and S Projects and Cash Loans CC	7754	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P S Financials	17945	03 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
P5 Brokers CC	37280	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pale Orange CC	24069	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Palm Burial Services CC	31303	29 March 2010	Contravention of section 15A of the FSB Act

Pamela Ann Evans	28033	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paradigm Insurance Brokerage CC	18341	26 March 2010	Contravention of section 15A of the FSB Act
Patience Nomonde Pakati-Ndlebe	37429	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patricia Nokulunga Makunga	27013	26 March 2010	Contravention of section 15A of the FSB Act
Patrick Donald Rossouw	24253	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patrick Jeremy Eric Hill	12115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Patrick Mtolo (Pty) Ltd	29214	26 March 2010	Contravention of section 15A of the FSB Act
Patrick Vulindlela Maju	39398	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Allan Kuhn	30087	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Andrew Winder	18839	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Bezuidenhout t/a Bezuidenhout Makelaars	28397	29 March 2010	Contravention of section 15A of the FSB Act
Paul Ernest Preen	29265	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul George Skelton	21262	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Paul Siphiri Maboya t/a P S Brokers	17842	19 May 2010	Contravention of section 15A of the FSB Act

Paul Solani Khosa t/a Rihanyo Marketing	31272	29 March 2010	Contravention of section 15A of the FSB Act
Paul Soobramoney Financial Services CC	39381	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PDK Business Services CC t/a PDK Financial Services & Insurance Brokerage	8059	19 May 2010	Contravention of section 15A of the FSB Act
PDR Management Services CC	2322	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peace Investments & Life Consultants CC	11587	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pearl Coral 1370 CC	31662	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pearly Gate Funeral Services CC	18685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pecinia Brokers CC	11067	29 March 2010	Contravention of section 15A of the FSB Act
Pegasus Equine Insurance Brokers CC	3578	19 May 2010	Contravention of section 15A of the FSB Act
Peoplescar (Pty) Ltd t/a Max4cars	11373	19 May 2010	Contravention of section 15A of the FSB Act
Percy Thabo Tladi	29660	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Perfecsure Lewens Makelaars (Pty) Ltd	6845	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Perumal Gordon Govindsamy	9443	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter Bernard Cramer	16158	19 May 2010	Contravention of section 15A of the FSB Act

Peter Erhard Bolton	16045	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter John Farham	5381	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter John Gyngell	25671	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Peter Karvelas	14967	21 May 2010	Contravention of section 15A of the FSB Act
Petronella Aletta Botha t/a Lorraine Botha Makelaars	25784	29 March 2010	Contravention of section 15A of the FSB Act
Petronella Elisabeth Viljoen t/a Briny Viljoen Brokers	13721	19 May 2010	Contravention of section 15A of the FSB Act
Petronella Johanna Meyer t/a Anel Meyer Makelaars	16 885	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Johannes Swart t/a Funcorp	18 920	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Johannes Swart t/a Funcorp	18920	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Cornelius Pelser	15765	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Fourie t/a Fourie Brokers	11106	19 May 2010	Contravention of section 15A of the FSB Act
Petrus Geliemus Johannes Myburgh t/a Tygerberg Motors	27551	20 May 2010	Contravention of section 15A of the FSB Act
Petrus Kogana	23138	03 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Petrus Lafrus Uys Badenhorst t/a Piet Badenhorst	22553	21 May 2010	Contravention of section 15A of the FSB Act
Petrus Wouter Hugo	16149	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

PFM Financial Services (Pty) Ltd	18 870	19 May 2010	Contravention of section 15A of the FSB Act
PFM Financial Services (Pty) Ltd	18870	19 May 2010	Contravention of section 15A of the FSB Act
P-Four Marketing (Pty) Ltd	28776	20 May 2010	Contravention of section 15A of the FSB Act
Phahana Funeral Parlour CC	29206	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phakamani Superman Mthembu	31507	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phanuel Azwifaneli Tshikosi	21883	20 May 2010	Contravention of section 15A of the FSB Act
Phash General Trading CC	8914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pheiffer and Souma Auto's CC	19959	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pheneas Bhekisisa Majola	17815	26 March 2010	Contravention of section 15A of the FSB Act
Phiko Thomas Sithole	7029	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phikolomzi Durnford John Tshona t/a Mcmonies Financial Brokers	20729	20 May 2010	Contravention of section 15A of the FSB Act
Phil Precious Auto Sales CC	17840	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phillip Arnold Knight	29743	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phillip Thabo Moela t/a Moela's Brokers	3259	19 May 2010	Contravention of section 15A of the FSB Act

Phindile Nkomozake	34698	29 March 2010	Contravention of section 15A of the FSB Act
Phola Marketing Services CC	20994	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phumelela Advisory Services CC	36918	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phutanang Burial Society and Funeral Services CC	13310	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Phuti Josias Mabitsela t/a PE Brokers	7425	29 March 2010	Contravention of section 15A of the FSB Act
Phuti Linah Mabotja	15614	29 March 2010	Contravention of section 15A of the FSB Act
PIC Brokers (Pty) Ltd	13165	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pierre Hennie Van Zyl	22107	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pierre Langenhoven t/a P.M Langenhoven Brokers	11140	19 May 2010	Contravention of section 15A of the FSB Act
Pierre Wilsenach	15606	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Piet Sethunya Mabogoane t/a PS Mabogoane Brokers	29408	20 May 2010	Contravention of section 15A of the FSB Act
Pieter Botha Steenkamp	15384	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Johannes Dempers	16504	19 May 2010	Contravention of section 15A of the FSB Act
Pieter Johannes Pieterse	15637	19 May 2010	Contravention of section 15A of the FSB Act

Pieter Rademan	18529	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Snyman	11590	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter van Zyl	13288	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pieter Wouter de Wet t/a De Wet Brokers	11539	19 May 2010	Contravention of section 15A of the FSB Act
Pine's Business Enterprises CC t/a Pines Funeral Parlour	35276	29 March 2010	Contravention of section 15A of the FSB Act
Pinnafrica Insurance Limited	11677	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PJM Insurance Brokers CC	38209	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PJV Versekering & Belasting Konsultante BK	20529	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
PKA Financial Services CC	34039	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Placidus Mphaphudi	20608	27 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Plum Portfolio Solutions (Pretoria) (Pty) Ltd	13840	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pogiso Patrick Moreosele t/a Ponelelopele	17337	19 May 2010	Contravention of section 15A of the FSB Act
Pointbreak Financial Planning (Pty) Ltd	29644	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ponelo Pele Tombstone and Funeral Services CC	32900	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Portfolio Analysts Gauteng (Pty) Ltd	16383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pranesh Kanhai t/a Premier Financial Consultants	11803	19 May 2010	Contravention of section 15A of the FSB Act
Pravitha Sewsanker t/a PPS Investment	21717	26 March 2010	Contravention of section 15A of the FSB Act
Precious Prospect Trading 20 (Pty) Ltd	16695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preferred Assurance & Financial Services CC	1674	26 March 2010	Contravention of section 15A of the FSB Act
Preggie Govender & Associates CC	512	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prem Masangwana t/a Prem Brokers	15950	19 May 2010	Contravention of section 15A of the FSB Act
Prep & Plan Financial Services CC	13860	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preseelan Moonsamy	21874	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Preshanti Ragoonanan t/a Preshanti Insurance Brokers	5572	26 March 2010	Contravention of section 15A of the FSB Act
Presley Pillay	39685	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Primecall Financial Services CC	17339	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prinjet CC	37051	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prisca Kgasoe t/a Essential Investment Holdings	12493	29 March 2010	Contravention of section 15A of the FSB Act

Prithviraj Murilal Neerahoo t/a Dannhauser Insurance Agency	28146	26 March 2010	Contravention of section 15A of the FSB Act
Private Label Promotion (Pty) Ltd	26444	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prive Insurance Consultants (Pty) Ltd	2233	29 March 2010	Contravention of section 15A of the FSB Act
Procesit Financial Services (Pty) Ltd	8047	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Professional Wealth Management CC	22043	26 March 2010	Contravention of section 15A of the FSB Act
Profund Portfolio Management CC	16064	19 May 2010	Contravention of section 15A of the FSB Act
Progress Unlimited Insurance Brokers CC	9473	19 May 2010	Contravention of section 15A of the FSB Act
Progress Unlimited Insurances Brokers CC	9473	19 May 2010	Contravention of section 15A of the FSB Act
Progressive Trust And Management Company (Pty) Ltd	16740	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prosperity Funeral Administrators (Pty) Ltd	12679	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Prostart Investment (Pty) Ltd	1900	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Protectus Brokers CC	12494	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Proxima Life (Pty) Ltd	14079	29 March 2010	Contravention of section 15A of the FSB Act
PS 2026 Investments CC t/a All Roder Motors	26057	26 March 2010	Contravention of section 15A of the FSB Act

PST Brokers and Properties CC	38509	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Puballan Reddy	16321	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pugo Financial and Investment Services CC	28217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pule Maluleke Investment Holdings (Pty) Ltd	27009	26 March 2010	Contravention of section 15A of the FSB Act
Purely Precious CC	29577	20 May 2010	Contravention of section 15A of the FSB Act
Puseletso Anicia Rabotapi	21436	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Putter Independant Assurance Brokers CC	13915	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pyramid Financial Advisors CC	14904	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Pyramid Freight (Pty) Ltd t/a UTI Retail Distribution	15024	19 May 2010	Contravention of section 15A of the FSB Act
PZC Multi Commercial Consultants (Pty) Ltd	21287	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Q-Club Value Added Products (Pty) Ltd	16191	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
QR Consulting CC	21184	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Quantam Torque Car Sales CC	22231	20 May 2010	Contravention of section 15A of the FSB Act
Quantify Financial Solutions CC	35261	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Queenstown Insurance and Investments Consultants CC	14044	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Quentin John Bagshaw-Smith	10902	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raaj Tewary Associates CC	9367	26 March 2010	Contravention of section 15A of the FSB Act
Rachel City Trading CC	27196	29 March 2010	Contravention of section 15A of the FSB Act
Rachelida Elizabeth Johanna Dry	18856	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Radmar Financial Services (Pty) Ltd t/a Rosa du Plessis Brokers	14388	29 March 2010	Contravention of section 15A of the FSB Act
Rafeeka Motor Holdings	35233	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ragel Piedt t/a Paradise Funeral Undertaker	21603	26 March 2010	Contravention of section 15A of the FSB Act
Raglan Anthony Isaacs	18948	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raidance Investment 505 CC t/a Porticio Portfolio Consultance	15121	22 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rainbow Business Brokers CC	8121	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajendrakumar Singh	28587	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajesh Nundhlall	9248	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rajsons Insurance & Investment Consultants CC	9713	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Rakgaowe Shadrack Monnakgotla	15482	19 May 2010	Contravention of section 15A of the FSB Act
Ramaele Corporate Solutions (Pty) Ltd	18528	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramagarasoa Daniel Lesito	35356	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramaph Financial Services (Pty) Ltd	34922	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramashidi Trading Enterprise CC	21495	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramile April Lesikara	12315	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramokgoma Funeral Services CC	13027	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ramotse Funeral Services CC	8741	19 May 2010	Contravention of section 15A of the FSB Act
Randtip 85 CC t/a Ascot Thusano Funerals	18595	19 May 2010	Contravention of section 15A of the FSB Act
Raoul Martin	21203	20 May 2010	Contravention of section 15A of the FSB Act
Rapheal Hermanus Dumas	10060	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rashiraj Rathilal	9249	19 May 2010	Contravention of section 15A of the FSB Act
Rasmus Elardus Erasmus Duvenage t/a Rassie Duvenage Brokers	16579	26 March 2010	Contravention of section 15A of the FSB Act
Raymond Bongani Mkhize	5131	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Raymond Frittelli	21875	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Joseph Arendse	13702	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Lukas	17555	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Raymond Wilken	14124	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RBS Health Management (Pty) Ltd	18092	19 May 2010	Contravention of section 15A of the FSB Act
Realfin CC	33885	29 March 2010	Contravention of section 15A of the FSB Act
Realfin Short Term Insurance Brokers CC	33887	29 March 2010	Contravention of section 15A of the FSB Act
Realfin Solutions CC	33886	29 March 2010	Contravention of section 15A of the FSB Act
Re-Connect Research and Advisory Services (Pty) Ltd	35760	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Redeemed Investments CC	35831	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Redwood Risk Services CC	22837	20 May 2010	Contravention of section 15A of the FSB Act
Reef City Investment CC	3316	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Refiloe Tryphina Mothami	21016	20 May 2010	Contravention of section 15A of the FSB Act
Regiments Capital (Pty) Ltd	16831	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Remmoho Funerals CC	12154	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renamile Burial and Funeral Services CC	36198	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renels Group (Pty) Ltd	29954	22 July 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Renga Naidoo t/a Hatton Insurance Brokers	17199	26 March 2010	Contravention of section 15A of the FSB Act
Renisha Devi Nundhlall t/a R & R Financial Brokers	15101	19 May 2010	Contravention of section 15A of the FSB Act
Rensburg Sheppards Investment Management Ltd	23841	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Retha van Huyssteen	28256	20 May 2010	Contravention of section 15A of the FSB Act
Retrospective Trading 519 CC	3702	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Revelation Financial Services CC	17045	29 March 2010	Contravention of section 15A of the FSB Act
Revolve Personal Advisors (Pty) Ltd	27481	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rhett Newton	35196	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RHI Motors CC	31295	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Riaan Sallie t/a Riaan Sallie and Associates	3857	19 May 2010	Contravention of section 15A of the FSB Act
Riaz Khan Financial Services CC	9850	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Riba Brilliant Marketing CC	32275	29 March 2010	Contravention of section 15A of the FSB Act
Rich Rewards Trading 52 (Pty) Ltd	16728	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Richard Desmond de Villiers Prinsloo	20358	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Richard Moroe	36636	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rietsanger Beleggings 4 (Edms) Bpk	28894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rijnveld Brokers (Pty) Ltd	7054	26 March 2010	Contravention of section 15A of the FSB Act
Rimac Investments C t/a Queens Financial Services	25112	20 May 2010	Contravention of section 15A of the FSB Act
Rimac Investments CC t/a Queens Financial Services	25112	20 May 2010	Contravention of section 15A of the FSB Act
Rio De La Platta CC	35687	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rio Ridge Makelaars BK	26373	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Risk Trans Insurance Brokers (Hillcrest) (Pty) Ltd	34872	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ritchie Motors (Pty) Ltd	27390	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ritztrade 1088 CC	26322	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RJB Financial Advisory Services CC	33340	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

RK Insurance Advisors CC	3436	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMB Properties (Pty) Ltd	8373	19 May 2010	Contravention of section 15A of the FSB Act
RMB Specialised Lines Holdings (Pty) Ltd	27816	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMB Specialised Lines Ltd	27815	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RMS Broking Services CC	28975	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robert James David Walker	1421	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robert Twigge Brokers CC	11853	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Robin Mathew Greenan t/a Greenan Brokers	35484	29 March 2010	Contravention of section 15A of the FSB Act
Rod Fouche Associates CC	8614	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rodney James Prince t/a Princeton Brokers	25935	26 March 2010	Contravention of section 15A of the FSB Act
Roedolph Jakobus Laubscher t/a Ronrosa Motorsentrum	27616	20 May 2010	Contravention of section 15A of the FSB Act
Roelf Prinsloo	15770	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roger Wilco 117 CC t/a Augusta Motors	26399	09 February 2010	Contravention of section 15A of the FSB Act
Rohit Ramjathan	13729	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Ron Marsh Motors	27217	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ronald Brown	10951	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ronelle Taute	26717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Rosina Valerie Greenwood t/a PR Financial Advisory Services	17200	26 March 2010	Contravention of section 15A of the FSB Act
Rospa Trading 31 CC	13747	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roxannes Autos CC	13815	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Roy Harrypersadh	12501	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Royal Jabulani Mqwati	11191	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Royal Square Investments 129 CC	19485	26 March 2010	Contravention of section 15A of the FSB Act
Royas Philemon Mambane t/a MRP Brokers	28238	20 May 2010	Contravention of section 15A of the FSB Act
Rozani and Rozani Consulting CC	17767	19 May 2010	Contravention of section 15A of the FSB Act
RP Comprehensive Marketing Agency CC	4073	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
RSF Motors (Sales) (Pty) Ltd t/a Autotec Motor Dealers Group	9119	19 May 2010	Contravention of section 15A of the FSB Act
Rubeeya Kling	18518	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Rudolph Gerhardus Venter	11830	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Runis Campher	14921	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S Fourie and Associates Financial Management Services CC	14953	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S J Koen t/a S Koen	8210	26 March 2010	Contravention of section 15A of the FSB Act
S J Kruger	2015	19 May 2010	Contravention of section 15A of the FSB Act
S M Financial Services CC	16307	26 March 2010	Contravention of section 15A of the FSB Act
S Mangold and Company (Pty) Ltd	26115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SA Independent Insurance Brokers CC	10831	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saampro Easy Surance (Pty) Ltd	7965	29 March 2010	Contravention of section 15A of the FSB Act
Sabelo Trevor Tsotso t/a Tsotso Financial Solutions	35355	29 March 2010	Contravention of section 15A of the FSB Act
Sabitha Gungapursat	25247	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saffas Mohapi Funeral Home CC	18460	19 May 2010	Contravention of section 15A of the FSB Act
Sagree Govender t/a Kaylee Properties	21708	20 May 2010	Contravention of section 15A of the FSB Act
Sahadev Naidu	21081	21 May 2010	Contravention of section 15A of the FSB Act

Sahibdeen Funeral Policies	38750	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saiba Insurance Brokers CC	6849	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Saint Lerato Kganyago	39385	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samakhado Funeral Services CC	3354	19 May 2010	Contravention of section 15A of the FSB Act
Samdu Brokers CC	17175	26 March 2010	Contravention of section 15A of the FSB Act
Samporele Financial & Advisory Services (Pty) Ltd	23682	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samuel Isaac Shongwe t/a Samuel Shongwe	1379	19 May 2010	Contravention of section 15A of the FSB Act
Samuel Mbulelo Jevu	22744	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Samuel Peretz Myers	13205	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sanele Financial Services CC	22174	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sasolburg Makelaars CC	14105	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Satch Jean Funeral Home CC t/a L A Jean Funeral Home	10431	19 May 2010	Contravention of section 15A of the FSB Act
Savjon Motors CC	23015	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
S'celo Hercules Vilakazi	33372	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Sea-Cape Auto CC t/a C Cape Motor Group	31901	29 March 2010	Contravention of section 15A of the FSB Act
Seamus Brian Connell t/a Contilo	28297	20 May 2010	Contravention of section 15A of the FSB Act
Sean Patrick Falconer	21881	26 March 2010	Contravention of section 15A of the FSB Act
Sean Scott-Crossley	9537	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Season Star Trading 257 CC	21705	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sebesho & Seloane Funerals CC	31714	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sec-U-Sure CC	31957	29 March 2010	Contravention of section 15A of the FSB Act
Sedibeng Funeral Undertakers CC	12150	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sedibeng Health & Life Advisory Services (Pty) Ltd	27752	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sedima Stanley Sebola t/a Matome Insurance Brokers	26990	20 May 2010	Contravention of section 15A of the FSB Act
Seelen Naidoo & Associates CC	16079	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Segale Phillip Molefe t/a Phillip and Associates Brokers t/a Phillip and Associates Brokers	14893	21 May 2010	Contravention of section 15A of the FSB Act
Sekgwari Solomon Rakoto	35749	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sekhavela Trading CC	34592	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Selabi Financial Services CC	17069	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Select Product Facilitation (Pty) Ltd	35866	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Selkirk Underwriting Managers (Pty) Ltd	11318	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sene Patricia Manthata	28806	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sentinel Accountants & Auditors	17164	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sesona Financial Service CC	37924	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sethomi Brokerage CC	38504	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SF Makelaars Trust	16050	29 March 2010	Contravention of section 15A of the FSB Act
SFB Marketing Brokers CC	37797	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shadumo Solomon Makoela t/a Victory Insurance Brokers	19116	29 March 2010	Contravention of section 15A of the FSB Act
Shales Investments CC	7952	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shaun Waso	31855	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shawn Jacques Goosen	3869	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sheffield Insurance Consultant	3232	19 May 2010	Contravention of section 15A of the FSB Act

Shele Construction CC	37768	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shelfco 240 Investments (Pty) Ltd	12467	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sherotha Financial Services CC	35542	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shevgem Investments CC	18857	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shiva Shiva Trading CC	38595	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sholaine Management Consultants CC t/a Tele Direct	35042	29 March 2010	Contravention of section 15A of the FSB Act
Shoprite Checkers (Pty) Ltd	7732	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shreynikka Trading Enterprises CC	10659	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shunmugam Govindasamy	8455	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Shunt Management Group CC	8529	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siamang Consulting Services CC	24811	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siba Consulting CC	2623	19 May 2010	Contravention of section 15A of the FSB Act
Sibanyoni Brokers CC	35168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sib-Capegro Insurance Brokers CC	15847	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Sibongile Lorrein Khoza	35404	29 March 2010	Contravention of section 15A of the FSB Act
Sibongile Margaret Zondi	9708	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibongile Mtshali	31632	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibongile Prisca Mzimela	28105	20 May 2010	Contravention of section 15A of the FSB Act
Sibusiso Abel Mabele t/a Futurewise	18482	26 March 2010	Contravention of section 15A of the FSB Act
Sibusiso Consulting (Pty) Ltd	28474	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sibusiso Hilton Mhlanga	37042	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sifiso Freedom Gumede	37491	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sign and Seal Trading 46 (Pty) Ltd	31962	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sikhumbuzo Ricky Sithole	29158	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Silver Falcon Trading 100 (Pty) Limited t/a Ketsia Corporate Advisory Services	15276	19 May 2010	Contravention of section 15A of the FSB Act
Silver Solutions 567 CC	38803	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Silver Stars 252 CC	31380	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Simon Mahlasela Koba	21352	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Simon Mbeki Mnyandu	20494	20 May 2010	Contravention of section 15A of the FSB Act
Simplex Financial Services CC t/a Sim-Pro Medical and Services	25822	26 March 2010	Contravention of section 15A of the FSB Act
Sindiswa Manyamalala	24100	20 May 2010	Contravention of section 15A of the FSB Act
Sinvent Investments 61 (Pty) Ltd	26552	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sipho Goodwill Masina t/a Goodwill Financial Brokers	9431	26 March 2010	Contravention of section 15A of the FSB Act
Sipho Hensfort Mthembu	18742	19 May 2010	Contravention of section 15A of the FSB Act
Sipho Vincent Khanyile	20990	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sipiwe Jeffrey Mthokozisi Gambushe	24381	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siqomu Israel Nxele t/a Senziwa Brokers	29768	20 May 2010	Contravention of section 15A of the FSB Act
Siseko Se-Afrika Investments (Pty) Ltd	34065	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siseko Sibanga	23416	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sitembiso Masenyane Isaac Pheto	17024	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sivalingum Chetty t/a Links Chetty and Associates	8107	19 May 2010	Contravention of section 15A of the FSB Act
Siya Phezulu Trading CC	35979	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Siyahwell Marketing And Supplier CC	34970	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siyanqoba (Pty) Ltd	5059	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siyaphila Medical Consultants CC	18257	19 May 2010	Contravention of section 15A of the FSB Act
Siyawela Financial Services CC	32541	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Siza Insurance Brokers (Pty) Ltd	37366	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sizani Financial Services CC t/a Sizani Insurance Brokers	34796	29 March 2010	Contravention of section 15A of the FSB Act
Sizwe Llwellyn Sifunda	8333	19 May 2010	Contravention of section 15A of the FSB Act
Sizwe Medical Fund	22383	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sizwe Science Support CC	18692	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SJW Motors (Pty) Ltd	8860	19 May 2010	Contravention of section 15A of the FSB Act
Skhikhi Communications CC	34521	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SKN Medical Aid Brokers CC	23159	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Skyworx Financial Services CC	38920	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SLA Financial Accountants CC	10528	19 May 2010	Contravention of section 15A of the FSB Act

SM Progress Financial Services CC	36011	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Smith Parry CC	8847	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Smutsonia CC	15159	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Society Helper Project CC	12544	19 May 2010	Contravention of section 15A of the FSB Act
Sofua Funeral Services CC	9293	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Solly Noor Mohamed	16600	26 March 2010	Contravention of section 15A of the FSB Act
Sonnia Fox Risk Management CC	39271	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Soobramoney Perimal t/a Mannie's Financial Planning Services	971	26 March 2010	Contravention of section 15A of the FSB Act
Sophia Elizabeth Coetzer	37791	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sorto Paul Govuzela	12505	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South African Life Benefits Specialists (Pty) Ltd	27738	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South African Property Guarantee Exchange (Pty) Ltd	2373	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
South Bank Motors CC	18669	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Southern Ambition 1565 CC	37484	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Southern Union Insurance Brokers (Pty) Ltd	3414	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Soutpansberg Brokers CC	18845	26 March 2010	Contravention of section 15A of the FSB Act
Souvenir Finansiele Dienste BK	37186	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Spectrum Finance (Pty) Ltd	27882	26 March 2010	Contravention of section 15A of the FSB Act
Speedy Morris & Associates CC	12574	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SPM Direct Holdings (Pty) Ltd	20464	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Srinivasa Govender	1409	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stan Ferguson & Associates CC	12506	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stanford Mphahlele Brokers CC	22752	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stanmad Project	36831	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Starfair 200 CC t/a Ekapa Consultancy	33982	29 March 2010	Contravention of section 15A of the FSB Act
Steenberg Asset Management (Pty) Ltd	25066	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Steering Autoworld CC	18757	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stefan Daniel Erasmus t/a S E Makelaars	23689	20 May 2010	Contravention of section 15A of the FSB Act

Stefan Schoeman Financial Advisor CC t/a Stefan Schoeman Financial Advisor	13345	19 May 2010	Contravention of section 15A of the FSB Act
Stephan Prinsloo	19790	26 March 2010	Contravention of section 15A of the FSB Act
Stephanie du Plessis	20718	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Abraham le Roux	15779	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Christiaan Hermanus Rautenbach t/a Fanus Rautenbach Brokers	10705	19 May 2010	Contravention of section 15A of the FSB Act
Stephanus Du Plessis	21166	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Frederick Otto	7453	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Gerhardus Roothman	14268	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephanus Jordaan	14434	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephen Eric Nienaber	15048	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stephen Ferreira	2115	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Steven Paxton	23836	20 May 2010	Contravention of section 15A of the FSB Act
Steward Enterprises 002 CC t/a Financial Hub	10592	19 May 2010	Contravention of section 15A of the FSB Act
Stewart and Company Chartered Accountants Inc	16285	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Stips Marketing (Pty) Ltd t/a Dynamic Direct Marketing	35980	04 May 2010	Contravention of section 15A of the FSB Act
Stoffels & Tyobeka Accounting Services CC t/a Stoffels and Tyobeka	14394	19 May 2010	Contravention of section 15A of the FSB Act
Stonevest CC	29432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Stoploss Insurance Brokers (Pty) Ltd	3117	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strike A Rose Trading CC	36740	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strini Naidoo	4302	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Strinivasa Jaggiah Naidoo	25438	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Suchuthandaran Kim Pillay t/a S K Pillay Insurance Brokers	23608	20 May 2010	Contravention of section 15A of the FSB Act
Sudac Business Accounts CC	32814	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunil Singh and Associates CC	9559	19 May 2010	Contravention of section 15A of the FSB Act
Sunlife Financial Advisors CC	21235	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunrush Financial Services CC	34912	29 March 2010	Contravention of section 15A of the FSB Act
Sun-Sure Brokers CC	2645	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sunthanam Agambaram Moodley t/a Peerles Insurance Brokers	15009	21 May 2010	Contravention of section 15A of the FSB Act

Super Country Funerals CC	25908	26 March 2010	Contravention of section 15A of the FSB Act
Surecare Brokers CC	9612	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sureright Administrators CC	10607	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sureschander Misra	20563	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susan Fouche	14425	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susan van der Westhuizen	37795	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susanna Bester	10967	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Susanna Maria Elizabeth Jansen van Rensburg	32430	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
SVN Financial Services CC	4868	19 May 2010	Contravention of section 15A of the FSB Act
Sydney Hilton Cloete	13708	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sylvan Sadasivan Subban	8211	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Sylvia Sindisiwe Zondi	18217	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Syvest Financial CC	4722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tabisa Sapula	37666	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Talita Tryphine Dingiswayo	4566	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tamol Investments (Pty) Ltd	20371	04 August 2010	Contravention of Section 17(4), 13(2) & 12 (c) of the General code of conduct.
Tanagra Nombulelo Ntetha	28479	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tangeni Financial Services (Pty) Ltd	26411	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tantaswa Giyose	3860	19 May 2010	Contravention of section 15A of the FSB Act
Taset 86 CC	37053	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tata Ma Properties (Pty) Ltd	28331	20 May 2010	Contravention of section 15A of the FSB Act
Tax Efficient (Pty) Ltd	3121	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Taxfin Asset Management CC	15518	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tears In Heaven Investments CC	13869	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tebogo Samuel Jaaroud t/a Jaaroud Insurance Brokers	3329	19 May 2010	Contravention of section 15A of the FSB Act
Techni Auto Valet CC t/a Techni Auto	26026	29 March 2010	Contravention of section 15A of the FSB Act
Techno Brokers CC	7065	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tele Buzz CC	33331	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Teleioo Manufacturing (Pty) Ltd	12386	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Telescope Financial Services (Pty) Ltd	35089	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tembinkosi Elvis Majola t/a TE Financial Service Broker	25004	20 May 2010	Contravention of section 15A of the FSB Act
Tembinkosi Terence Mketi	26901	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tendai Nyadombo	26918	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tendani Calvin Ramano t/a Ramano Financial Advisors	20734	20 May 2010	Contravention of section 15A of the FSB Act
Teresa Jane Cross	11310	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tespam Trading CC	36874	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TFC Consultants CC	6432	19 May 2010	Contravention of section 15A of the FSB Act
Thabani Bhabha Radebe	37153	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thabile and Mtho Trading Enterprise CC	34393	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thabo Eddie Mei	24871	20 May 2010	Contravention of section 15A of the FSB Act
Thabo Erasmus Thaba t/a TT Brokers	25744	20 May 2010	Contravention of section 15A of the FSB Act
Thabo Mathews Maungwa	6389	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Thabo Patrick Moorosi t/a Tauries	29048	26 March 2010	Contravention of section 15A of the FSB Act
Thakha Business Support Service CC	5359	19 May 2010	Contravention of section 15A of the FSB Act
Thamela Advocate Gumbi	35559	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thammie Moses Gazide	38023	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thamsanqa Israel Ndlovu t/a The CUB	36549	29 March 2010	Contravention of section 15A of the FSB Act
Thandanani Funeral & Burial Society CC	7539	19 May 2010	Contravention of section 15A of the FSB Act
Thandazwa Brenda Mpinga	36434	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thandi Funeral Home CC	14707	21 May 2010	Contravention of section 15A of the FSB Act
Thandi Ndlovu t/a T and D Business Enterprize	18837	19 May 2010	Contravention of section 15A of the FSB Act
Thandiwe Loretta Ngcobo t/a Thandiwe Investments	27080	26 March 2010	Contravention of section 15A of the FSB Act
Thapelo Funeral Services CC	1504	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thari Jacob Huma	17504	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thasneen Govender	38938	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thavarani Pillay	12890	19 May 2010	Contravention of section 15A of the FSB Act

The Business Zone 1650 CC	29942	20 May 2010	Contravention of section 15A of the FSB Act
The Guswe Transport and Farming Services CC	38889	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Hanifa Akoob Family Trust	31315	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Rob Roy Investments CC	37578	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
The Trade Route 113 (Pty) Ltd	25996	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Themba La-Ma Yengwayo Financial Services Provider CC	20795	30 March 2010	Contravention of section 15A of the FSB Act
Themba Mungwe t/a Mdolomba Assurance Brokers	5239	19 May 2010	Contravention of section 15A of the FSB Act
Themba Winston Kumalo	18824	26 March 2010	Contravention of section 15A of the FSB Act
Thembaletu Financial Solutions (Pty) Ltd	1682	19 May 2010	Contravention of section 15A of the FSB Act
Thembelihle Funeral Parlour CC	33062	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thembi Masuku Business Enterprises CC	26536	20 May 2010	Contravention of section 15A of the FSB Act
Thembinkosi Godfrey Mlambo	28133	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thembinkosi Godfrey Ngidi t/a Sanethemba Funeral Services	11417	19 May 2010	Contravention of section 15A of the FSB Act
Thembinkosi Mduduzi Kenneth Ngubane	37722	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Thembisile Beauty Nsele	20861	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Themdo Funerals Directors	17194	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodora Nomzi Landzela t/a Landzela Financial Services	34719	29 March 2010	Contravention of section 15A of the FSB Act
Theodore Becker	25283	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodorus Coetzee	5432	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theodorus Kleinhans	23364	20 May 2010	Contravention of section 15A of the FSB Act
Theophilus Mooketsi	18 867	19 May 2010	Contravention of section 15A of the FSB Act
Theophilus Mooketsi	18867	19 May 2010	Contravention of section 15A of the FSB Act
Theron du Plessis Cradock Incorporated	17500	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thetha Trading 63 CC	38236	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thethani Customer Care and Communications (Pty) Ltd	27838	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Theunis Jacobus van Heerden Lochner t/a Lochner & Associates	16297	26 March 2010	Contravention of section 15A of the FSB Act
Thivhulawi Daniel Maduwa	32894	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thokozani Sipho Gumede t/a TS Approved Budget	22715	20 May 2010	Contravention of section 15A of the FSB Act

Tholwana Consulting (Pty) Ltd	33681	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thomas Daniel Viljoen	22519	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thomas Ignatius Dyzel	11687	19 May 2010	Contravention of section 15A of the FSB Act
Thomas Mario Arries	39544	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thufai-Vic Trading CC	36592	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thuto Lesedi Funeral Home CC	26840	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Thuto-Bokamoso Health & Financial Services CC	32655	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tiger Ingwe Contractors CC t/a Dam Brokers	35226	29 March 2010	Contravention of section 15A of the FSB Act
Tim Jones & Associates CC	16469	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tinanet Trading CC	38928	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tirelo Funeral Benefits	7492	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Titanic Funeral Services CC	9265	19 May 2010	Contravention of section 15A of the FSB Act
TJR Finansiële Dienste (Edms) Bpk	14748	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TKZ Insurance Brokerage CC	12511	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Tlakza General Trading CC	36774	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tlhoriso David Mohlakoana t/a Tshireletsong Financial Brokers	21075	20 May 2010	Contravention of section 15A of the FSB Act
TLPB Funeral Parlour CC	26446	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TM Matabane t/a MM Future Insurance Brokers	18535	20 April 2010	Contravention of section 15A of the FSB Act
TM Plus Trading Enterprise CC	30186	29 March 2010	Contravention of section 15A of the FSB Act
TMTL Financial Management	37727	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TNT Management Services CC	14848	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topaz Financial Planning Services CC	11888	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topfin Brokers Vryburg CC	7471	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Topsure CC	11516	19 May 2010	Contravention of section 15A of the FSB Act
Tradestuff 2210 CC	19712	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tradevest 374 CC	31084	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tradewinds Financial Solutions CC	3444	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Traluz Insurance Brokers CC	37567	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Transworld Financial Strategies CC	22314	20 May 2010	Contravention of section 15A of the FSB Act
Trevor Carty Financial Planners (Pty) Ltd	12766	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Triangle Eagle Financial Services CC	13653	19 May 2010	Contravention of section 15A of the FSB Act
Trifecta Trading 144 (Pty) Ltd t/a Agresure	15425	19 May 2010	Contravention of section 15A of the FSB Act
Tristen Air CC	28697	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tropical Motors CC	10681	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tropical Motors Witrivier CC	26145	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Trump Financial Advisors CC	29719	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshedimosetso Investment Brokers CC	30374	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshenolo Idlett Majara	24440	20 May 2010	Contravention of section 15A of the FSB Act
Tshepang Funeral Undertaker CC	13981	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepano Funeral Services CC	9818	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepiso Funeral Home CC	22364	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tshepiso Selahle	25363	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Tshidisegang Funeral Services	16544	19 May 2010	Contravention of section 15A of the FSB Act
Tshifularo Gerson Mufeba	19918	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tsie Joel Mabina t/a TJ Mabina Brokers	35278	29 March 2010	Contravention of section 15A of the FSB Act
Tsoepele Advisory Services CC	2928	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tumi and Gomo Funeral Services CC	38675	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Tummah (Pty) Ltd	10921	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Turako Financial Services Pty (Ltd)	33506	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Two Oceans Brokers	7161	26 March 2010	Contravention of section 15A of the FSB Act
Tyrone B Hopwood and Associates (Pty) Ltd	37921	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
TZZ Financial and Advisory Services CC	29969	20 May 2010	Contravention of section 15A of the FSB Act
U Life Insurance Administrators	3753	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ubuntu Bam Trading Enterprise CC	39549	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
U-Direct Financial Services CC	7667	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ugcoko Trading CC t/a Ugcoko Financial Brokers	31134	29 March 2010	Contravention of section 15A of the FSB Act

Uitenhage Funeral Homes CC	18989	26 March 2010	Contravention of section 15A of the FSB Act
Ukwaanda Corporate Healthcare CC	20509	20 May 2010	Contravention of section 15A of the FSB Act
Ukwanda Funeral Solutions CC	25176	29 March 2010	Contravention of section 15A of the FSB Act
Ulrich William Jonker t/a Supreme Versekeringsmakelaars	8212	26 March 2010	Contravention of section 15A of the FSB Act
Umasingcwabisane Wesizwe CC	37279	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umshashadu Multi-Services CC	22046	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umsunguli Brokers CC	39184	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Umzumbe Financial Services CC t/a Masizisize Family Care Scheme	7493	19 May 2010	Contravention of section 15A of the FSB Act
Uniboard Finansiële Dienste (Edms) Bpk	13489	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Unify Africa (Pty) Ltd	166	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Uni-Investments of SA CC	7616	29 March 2010	Contravention of section 15A of the FSB Act
United Risk Management CC	31806	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Unitysure Investment Brokers CC	17841	26 March 2010	Contravention of section 15A of the FSB Act
Univem (Pty) Ltd	14753	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Uranus Security & Project CC	19108	20 May 2010	Contravention of section 15A of the FSB Act
Uti South Africa (Pty) Ltd	35087	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Uyabusa Investment CC	35554	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vaal Funeral Protector CC	23895	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valiant Insurance Brokers CC	26316	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valinor Trading 206 CC t/a Lezmin Brokers	25036	29 March 2010	Contravention of section 15A of the FSB Act
Valucorp 59 CC	18501	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Valuline 155 (Pty) Ltd	39212	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Van Dyk Cilliers Consulting CC	32686	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Van Rooyen Viljoen & Associate CC	12233	19 May 2010	Contravention of section 15A of the FSB Act
Vantage Financial Consulting CC	33271	29 March 2010	Contravention of section 15A of the FSB Act
Vasuthevan Krishnaswami Pillay	36350	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vaughan Finance CC	39568	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Veeran Kisten t/a Venture Insurance Brokers	7514	19 May 2010	Contravention of section 15A of the FSB Act

Ventersburg Funeral Services CC t/a Flamingo Funeral Services	24342	20 May 2010	Contravention of section 15A of the FSB Act
Venture In Profit Risk Services CC	17390	26 March 2010	Contravention of section 15A of the FSB Act
Venturemark Motor Trading (Pty) Ltd	36670	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Verdwaal Funeral Parlour CC	16732	26 March 2010	Contravention of section 15A of the FSB Act
Verisure (Pty) Ltd	18862	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Verona Motors CC	22438	20 May 2010	Contravention of section 15A of the FSB Act
Vibe Financial Consultants CC	31621	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Ellis Solomon	6878	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Jabulani Motshwene	19585	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Masango t/a Negotiated Employee Benefits	17 002	19 May 2010	Contravention of section 15A of the FSB Act
Victor Masango t/a Negotiated Employee Benefits	17002	19 May 2010	Contravention of section 15A of the FSB Act
Victor Mavin Mongwe	6168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Victor Nkosinathi Matikiti t/a VN Matikiti Insurance Brokers	21135	20 May 2010	Contravention of section 15A of the FSB Act
Victors Marvin Mongwe ,T/A Brokerrage	6168	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Vigen ABKarian & Associates CC	20747	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Viko Trading 95 CC t/a Future Indemnity	25027	20 May 2010	Contravention of section 15A of the FSB Act
Vinay Karransingh t/a Standard Loans & Financial Services	28110	20 May 2010	Contravention of section 15A of the FSB Act
Vinon Trading Enterprise CC	38779	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Viren Sewganesh	34511	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Virena Indardav Naidoo t/a Adloco Financial Solutions	25221	20 May 2010	Contravention of section 15A of the FSB Act
Virgi-Gus Investments Holdings CC	20019	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vivekanand Sewparsadh	5705	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vukani Mangwane Trading CC	37895	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vundade Silora t/a Taxi Burial Association	28898	26 March 2010	Contravention of section 15A of the FSB Act
Vusi Aaron Zitha	37097	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vusimuzi Melvin Mndau	25249	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vusisizwe Business and Wealth Consultants CC	35467	29 March 2010	Contravention of section 15A of the FSB Act
Vusumuzi Steven Christian Khumalo t/a VSC Khumalo	20708	26 March 2010	Contravention of section 15A of the FSB Act

Vusumzi Elias Mpatani	35695	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Vutomi You Funeral CC t/a Vutomi Brokers	34181	29 March 2010	Contravention of section 15A of the FSB Act
Vuyani Maxwell Zuzani Investments CC	20022	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
VW Commercial Vehicles (Palm Motors) CC	31145	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
W van Schoor & Associates CC	5733	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
W. Butler Broker Services CC	4531	29 March 2010	Contravention of section 15A of the FSB Act
W2JK Auto CC	10510	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
WA Lubbe & A Lubbe t/a Lubbes Auto Centre	22439	26 March 2010	Contravention of section 15A of the FSB Act
Walter Bonginkosi Ngcobo	39109	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Ward Financial Services Trust	18320	29 March 2010	Contravention of section 15A of the FSB Act
Wax & Wane CC t/a Durandt Consulting	2168	26 March 2010	Contravention of section 15A of the FSB Act
Wayne Benjamin	39146	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wayne John Landsberg t/a Landsberg Personal Finance	28249	20 May 2010	Contravention of section 15A of the FSB Act
WEA Smith Financial Services CC	37789	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Wealth Connect (Pty) Ltd	31693	20 May 2010	Contravention of section 15A of the FSB Act
Wealth Frontiers (Pty) Ltd	13722	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wealthwise CC	37130	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wedsure Underwriting Managers (Pty) Ltd	21371	20 May 2010	Contravention of section 15A of the FSB Act
Weekend Financial Services CC	30746	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Welcome Marcus t/a Marcus Brokerage Services	27240	20 May 2010	Contravention of section 15A of the FSB Act
Wellington Mphathelwa Nombona	10097	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Werner Hennie Strydom t/a Strydom Finansiële Dienste/Brokerage	5559	29 March 2010	Contravention of section 15A of the FSB Act
Wesnel Risk Analysts CC	23717	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
West Point Trading 255 CC	22982	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Western Cape Van Rental (Pty) Ltd	23006	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Westminster Insurance Brokers (Pty) Ltd	1783	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Westraadt	5751	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Whirlaway Trading 242 CC t/a Win Tech Auto	17456	26 March 2010	Contravention of section 15A of the FSB Act

Wik En Weeg Investment 13 CC t/a Maxisure Brokers	4124	29 March 2010	Contravention of section 15A of the FSB Act
Wilco Insurance Brokers CC	13969	19 May 2010	Contravention of section 15A of the FSB Act
Wildbreak lii CC	12847	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilfred Frederik Vorbeck	16690	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilhelm Fouche t/a Fouche Versekerings Makelaars	24245	20 May 2010	Contravention of section 15A of the FSB Act
Wilhelmina Johanna Dorothea Swanepoel	18318	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willasteyn CC t/a Kalahari Motormark	21190	20 May 2010	Contravention of section 15A of the FSB Act
Willem Hendrik Lategan Heckroodt	28081	20 May 2010	Contravention of section 15A of the FSB Act
Willem Hendrik Marais	2163	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Howard Pretorius t/a Willem Pretorius Investment Services	11661	29 March 2010	Contravention of section 15A of the FSB Act
Willem Jacobus Nienaber	10646	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Jacobus Petrus van Tonder	23852	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willem Maartens Coetzee t/a WMC Finansiële Dienste	11604	29 March 2010	Contravention of section 15A of the FSB Act
William Andreas du Plooy	21265	20 May 2010	Contravention of section 15A of the FSB Act

William Andrew Mckinnon	31859	29 March 2010	Contravention of section 15A of the FSB Act
William Bray Southwood t/a Billy Southwood Makelaars	9673	19 May 2010	Contravention of section 15A of the FSB Act
William Britten t/a BB Financial Advisors and Insurance Consultants	16408	29 March 2010	Contravention of section 15A of the FSB Act
Willie de Beer BK	6946	19 May 2010	Contravention of section 15A of the FSB Act
Willwright & Associates CC	2157	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Willy's Family Funeral CC	15957	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wilson Sphamandla Kweyama	19151	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Windy Tetiswa	32555	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winnefred Marie Viljoen	14452	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winskor 123 (Pty) Ltd	19699	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Winston Pillay And Associates CC	37251	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wisdom Property Administration (Pty) Ltd	26180	26 March 2010	Contravention of section 15A of the FSB Act
Wiseman Sithembiso Zikhali	16515	19 May 2010	Contravention of section 15A of the FSB Act
Wiseman Solomzi	18377	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Wolfgang Helmut Engelbrecht	22812	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wonder Tlhamo Brokers CC	25134	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Workers Financial Consultants (Pty) Ltd	21441	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Workers Life Line (Pty) Ltd	9895	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
World Net Logistics (Pty) Ltd	10736	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Wouter Stefanus Marais	14831	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xanadu Properties 239 (Pty) Ltd t/a S A Financial Services	14774	21 May 2010	Contravention of section 15A of the FSB Act
XDSL Trading 526 (Pty) Ltd t/a DRW Financial Services	23211	20 May 2010	Contravention of section 15A of the FSB Act
Xihlovo Financial Service CC	38212	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xolani Richard Hlengwa	17857	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Xpert Financial Consulting CC	27834	20 May 2010	Contravention of section 15A of the FSB Act
Xtreme Insurance Brokers CC	8242	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Y and J Insurance Brokers CC	11142	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yasheen Engelbrecht	26919	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

Yazeed Attwood	15714	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yebo Funeral Services CC	14004	19 May 2010	Contravention of section 15A of the FSB Act
Yellow Sunshine Trading (Pty) Ltd t/a Pro Cars	24067	20 May 2010	Contravention of section 15A of the FSB Act
Yentl Theron	29357	26 March 2010	Contravention of section 15A of the FSB Act
Yourtrade 330 CC	30920	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yudishtir Singh	12358	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Yvonne Elizabeth Moses t/a Drankenstein Funerals	14704	21 May 2010	Contravention of section 15A of the FSB Act
ZA Trans Logistics (Pty) Ltd	8563	19 May 2010	Contravention of section 15A of the FSB Act
Zahra Motors CC t/a Tri Star Car Sales	31856	29 March 2010	Contravention of section 15A of the FSB Act
Zakhanya Trading CC	31267	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zakheleni Management Services (Pty) Ltd	33914	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zalani Investment CC	18 866	19 May 2010	Contravention of section 15A of the FSB Act
Zambe Life CC	38534	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zamile Griffiths Solomon t/a Peleg Agency	18879	26 March 2010	Contravention of section 15A of the FSB Act

Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	29 March 2010	Contravention of section 15A of the FSB Act
Zanca Holdings (Pty) Ltd t/a Zancafin	17288	19 May 2010	Contravention of section 15A of the FSB Act
Zandisile Ernest Mgobo	34745	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zanokhanyo Trading CC	19117	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ZB Management and Financial Services CC	20686	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zeblon Vusi Ndlovu	28379	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zeederberg Financial Brokers	7868	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zelco Motors CC	19289	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zilungiselele Trading and Projects CC	34648	13 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zimbali Insurance Brokers & Investments CC	10996	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zinhle Nokwazi Zulu	34019	29 March 2010	Contravention of section 15A of the FSB Act
Zinhle Sebanda t/a Jahwe Brokers	33709	29 March 2010	Contravention of section 15A of the FSB Act
Zodidi Francis Mbovou	30467	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zolile Desmond Mjoka t/a Tolo Brokers	21581	20 May 2010	Contravention of section 15A of the FSB Act

Zone Concepts and Marketing CC	1393	12 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
Zonkizizwe Insurance Brokers (Pty) Ltd	12519	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act
ZS Insurance Brokers CC	17379	26 March 2010	Contravention of section 15A of the FSB Act
Zukisani Financial Solution	36977	25 August 2010	Contravention of section 15A of the FSB Act and sections 17(1) & (4), & 19 of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 2 of 2010.

G E ANDERSON

Deputy Registrar of Financial Services Providers
