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## BOARD NOTICES

### BOARD NOTICE 16 OF 2011

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR SUSPENSION</b>
BPW Grobler Investments CC t/a Grobler Auto	19261	14 December 2010	Contravention of sections 17(1) and 17(4) of the FAIS Act
Dean Richard Bolton t/a Gainsford Investments	900	10 January 2011	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and section 19(2)(b)(iii) of the FAIS Act
Khuthalan Funeral Home CC	17892	12 January 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act and section 10 of the General Code of Conduct for Authorised Financial Services Providers and Representatives
Nayagar Financial Services	26313	24 August 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pierre Brink Finance CC t/a Galaxy Brokers	12936	12 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thulasizwe Enock Zaca t/a Insimbi Financial Services	30494	14 December 2010	Contravention of sections 8 (1)(b) and 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 2 of 2011.

A handwritten signature in black ink, consisting of a large capital 'A' followed by a stylized 'E' and a series of loops and flourishes.

**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 17 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****LIFTING OF SUSPENSION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR LIFTING SUSPENSION</b>
Africa Properties East Coast CC t/a East Coast Brokers	36355	17 December 2010	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Archimedes Brokers CC	28300	17 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Asfin Makelaars BK	13679	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bessinger Financial Planning CC	12215	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
BLA Healthcare Consulting CC	35847	6 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bophelo Benefit Services (Pty) Ltd	23466	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
C Dennis Motor Sales CC	11734	11 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charl Ettiene La Grange t/a La Grange and Associates	3844	10 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Choki - Du - Amantima Trading CC	38037	8 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Equistar Underwriting Managers (Pty) Ltd	22338	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the

			FAIS Act
Ewald Oskar Jelinck	11932	11 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francisca Likeledi Sepenya t/a FT Brokers	21580	6 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Henry William Hill	23886	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hercules Johannes Du Preez t/a Herklaas Du Preez Brokers	11884	10 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HHS Equality Financial Services (Pty) Ltd t/a Equality Financial Services	26719	20 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hlokoheko Financial Services CC	32623	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Human and Financial Management 1 CC t/a HFM	37067	14 December 2010	The licensee has now complied with section 15A of the FSB Act and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insika Yekhaya Consulting CC	20131	30 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insikayesizwe Financial Services (Pty) Ltd	21549	5 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
IT 4 ME CC t/a DC Short-Term	38842	20 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Joseph Mabaso t/a Joseph Mabaso Advisers	12731	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kgwadi Ya Motse Brokerage CC	38505	7 December 2010	The licensee has now complied with section 17(4) of the FAIS Act
Kunjani Insurance Brokers CC	25743	11 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Langkloof Boerekooperasie	14809	14 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Lloyds Distribution & Services CC t/a Royalty Brokers	20056	7 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LSG Business Services (Pty) Ltd t/a LSG Insurance Services	10598	3 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Madoda Mbulelo Samson	24676	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maphoko Distributors And Financial Services CC	21417	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Maritz Makelaars t/a Marius Maritz Makelaars	12405	14 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moepagautla Trading Enterprise 10 CC	38363	14 December 2010	The licensee has now complied with section 15A of the FSB Act
Nayagar Financial Services	26313	13 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ndala Brokers CC	37971	14 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nicklaus Modise Seerane	29819	11 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	20 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntabankulu Brian Matshaya t/a Simnikiwe Brokerage / Financial Solutions Unlimited	35632	10 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
OCL Holdings (Pty) Ltd	15761	10 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
P and S Projects and Cash Loans CC	7754	6 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter John Gyngell	25671	17 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rainbow Business Brokers CC	8121	8 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

RMB Specialised Lines Holdings (Pty) Ltd	27816	14 December 2010	The licensee has now complied with section 15A of the FSB Act and section 17(4) of the FAIS Act
Sabitha Gungapursat	25247	21 December 2010	The licensee has now complied with section 15A of the FSB Act and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Selabi Financial Services CC	17069	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and section and 19(2)(b)(iii) of the FAIS Act
Shele Construction CC	37768	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sifiso Freedom Gumede t/a Isihlangu Insurance Brokers	37491	11 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sikhumbuzo Ricky Sithole	29158	14 December 2010	The licensee has now complied with section 15A of the FSB Act and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SKN Medical Aid Brokers CC	23159	13 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Strini Naidoo t/a Audit Tax	4302	3 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sureclub Value Added Products (Pty) Ltd	16191	20 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Teresa Jane Cross t/a Butler Financial Services	11310	14 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tespam Trading CC t/a MV Tapula Brokers	36874	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabani Bhabha Radebe t/a Isiqalo Holding	37153	12 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thammie Moses Gazide	38023	1 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Theodorus Coetzee t/a Theo Coetzee Makelaars	5432	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tristen Air CC t/a MRI Financial Services	28697	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Tshepiso Selahle	25363	15 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Valiant Insurance Brokers CC	26316	21 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zakhanya Trading CC	31267	11 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Zandisile Ernest Mgobo t/a St Cyprians	34745	14 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 2 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*



**BOARD NOTICE 18 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PROVISIONAL SUSPENSION OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have provisionally suspended under section 9(3)(a) of the FAIS Act the licence of the following licensee with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR PROVISIONAL SUSPENSION</b>
Purple Rain Properties No 15 (Pty) Ltd t/a Realcor Cape	31351	3 January 2011	Contravention of sections 8(1)(a) and 13(2)(b) of the FAIS Act and section 10(1) of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003

This Notice is called the Notice on Provisional Suspension of Authorisation, No 1 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*



**BOARD NOTICE 19 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PROVISIONAL WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have provisionally withdrawn under section 9(3)(a) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR PROVISIONAL WITHDRAWAL</b>
Mpirical Risk Solutions (Pty) Ltd t/a @Risk Solutions	17604	7 January 2011	Contravention of sections 8(1)(a) and 17(4) and 19(2)(b)(iii) of the FAIS Act and section 2 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("General Code of Conduct")
Valuline 155 (Pty) Ltd t/a Fusion Guarantees	39212	7 January 2011	Contravention of sections 7(1) and 8(1)(a) and 19(2)(b)(iii) of the FAIS Act and section 2 of the General Code of Conduct

This Notice is called the Notice on Provisional Withdrawal of Authorisation, No 1 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 20 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR WITHDRAWAL</b>
3rd Party Administration Services (Pty) Ltd	13023	20 December 2010	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
4-Life Direct (Pty) Ltd	21320	20 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
786 Cavendish CC	18646	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
98 Degrees Financial Services (Pty) Ltd	21932	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A D Goodman & Associates	11542	13 December 2010	Contravention of section 15A of the FSB Act
A Re Kopaneng Funeral Society CC	26111	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A4 Funeral Parlour KZN CC t/a A4 Funeral Services	8571	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Aaron Botolo t/a Botolo Insurance Brokers	12427	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aarti Deonarain Mahabeer t/a Multilife Insurance Brokers	36750	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abagibeli Insurance Administrators (Pty) Ltd	14670	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abdool Haq Goolam Mahomed	16500	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abednigo Bongani Sithole t/a AB Sithole Investment	27811	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abel Hermanus Johannes Venter t/a Arrie Venter Brokers	3913	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abel Kamela Mogalanyane t/a Abey K Investment Services	26797	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abinas July Mahlaule t/a Abby Brokers	18664	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ABL Fin 1 CC t/a Value Vehicles	31039	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abraham Johannes Du Plooy	21497	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Abraham Johannes Latsky t/a Latsky Brokers	13744	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
Abram Ramakgabane Mogotsi	24517	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Academy Of Achievers (Pty) Ltd t/a Finvision Financial Services	21810	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Accounting 4 Financial Services CC	13802	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ACFP Academy For Financial Planning t/a ACFP	32828	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ACS Funeral Brokers CC	24687	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ada Broker Services CC	8819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adelle Robinson	36809	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adeo Schoeman t/a Adeo Schoeman Makelaarsdienste	7521	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adept Financial Services CC	37390	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Adriaan Gerhardus Botha t/a Vredenhof Brokers	10084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Adriaan Gerhardus Deyzel	10839	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adriattie Smith t/a Healthcare Solutions	26034	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aecern Investment Services CC	1425	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Africhic 86 (Pty) Ltd	33904	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Afrinternational Risk Solutions (Pty) Ltd	22796	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AJK De Stadler & Associates CC t/a De Stadler & Associates	12398	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alan Hill	33823	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albert Edward Elliott	18991	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albert Mokoka t/a Mokoka Financial Services	4103	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Albertus Jacobus Saayman t/a AJ Saayman	27115	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alchrist Trust t/a BC Labuschagne	6423	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Alet Swartz t/a Alet Swartz Brokers	13958	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aletta Davie t/a LD Brokers	11052	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alexander Godwin & Associates CC	13826	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Alexander Robert Campbell t/a Campbell & Associates	31732	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alfred Jezews Van Der Merwe t/a Medical Aid Solutions	1415	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alfred Smith t/a Alfie Smith Brokers	3469	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alice De Wet	17932	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alison Ruth Smekal t/a Financial Hub	7899	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alistair Damon Makelaars BK	8288	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alkema Insurance Shop CC	12688	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Allplan Makelaars Bk	29001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AL-Noor Risk Solutions South Africa (Pty) Ltd	30646	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Alpenos Joseph Edwards t/a AJE Brokers	28784	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Amatola Brokers CC	6399	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ambient Financial Services (Pty) Ltd	28420	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Andre Charl Koster t/a CKD & Associates	11872	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Coetzee t/a Highlands Brokers	35194	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Francois Bartleman t/a Bartleman Makelaars	6479	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Francois De Villiers	7753	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Jacobus Janse Van Rensburg t/a A. Van Rensburg Brokers	11996	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrea Cabula	17989	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andreas Chaong Mokoena t/a Andreas Mokoena Health and Life Brokers	18613	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Dondo Hlongwane	26769	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Andrew Isaks t/a Deo Gloria	21601	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Noel Gideon t/a Service Sure	24816	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andrew Robert Mittendorf	15849	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Johannes Fourie	38660	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Andries Johannes Janse Van Rensburg t/a Andrew Van Rensburg Brokers / Makelaars	8198	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Sheleni Moya t/a A S Brokers	34928	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Stephanus Van Wyk t/a Thekweni Marketing	15889	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angelica Jones Insight Financial Advisory Services CC t/a Angelica Jones Insight Financial Advice	6668	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angeline Gertrude Nel t/a Nel Makelaarsdienste	6414	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ann Benedictor Redford	12176	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anna Christina Maria Van Aswegen t/a Christa Van Aswegen	18011	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Anna Sophia Robbertse t/a AS Robbertse Makelaars	17117	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anna Susanna Mullin t/a Sizani Financial Services	28264	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Annetta Christina Aletta Lombard t/a Coffin City Funerals	18179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ANS Motors CC t/a Starlite Auto	26615	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony Carl Schorn t/a Tony Schorn Brokers	9067	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony Mark Green t/a Anthony Green Brokers	9582	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Antoinette Monica Chetty t/a Durban Burial Society	21629	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Harvey Pretorius	29041	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Johann Dreyer t/a Wyllie & Associates	16551	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Van Rooyen Assurance Brokers	7215	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Antonio Moi t/a PG Financial Advisory Services	7999	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Aon Lesotho (Pty) Ltd	17001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AR Financial Services CC	31861	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Areaganeng Masakhane Funeral Home CC	13090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arebolokaneng Burial Society CC	38101	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Armachel Broker Services CC	38420	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Arnesen James Financial Services CC	36972	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arnoldus Rudolph Uys	29841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Artpac Lending Services (Pty) Ltd	8221	20 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Artwell & Associates CC	17863	13 December 2010	Contravention of section 15A of the FSB Act
Arumugam Archary t/a Bala Archary Insurance Brokers	18940	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ash Brokers CC	9591	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ASN Car Corp CC	34150	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Attie Van Wyk Brokers CC	7966	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Aubrey Arendse & Sons Family Funerals Cc	14818	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auto Ecosse CC	18996	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auto Max	27617	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Autobest Motorden t/a AutoBest	11345	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Autorama CC	25437	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auxis (Pty) Limited	13908	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Avoir Consulting CC	35612	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Awesia Risk Managers (Pty) Ltd	28194	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B & G General Marketing And Insurance Brokers Cc	16155	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B1 Funeral Brokers CC	9693	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Babatane Zakhele Xande t/a Medic Alert Brokers	19398	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Bafrika Trading CC	38080	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Balakrishna Naidoo t/a B Naidoo and Associates	1355	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Balobedu Business Enterprises CC t/a Balobedu Funerals Directors	8689	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barden Investment Brokers CC	10816	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Daniel Louis De Bruin t/a De Bruin Makelaars	7651	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Hermanus Van Graan t/a BH Van Graan Makelaars	8837	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bareno Investments 1001 (Pty) Ltd	11637	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barnabas Sundrum Baliah t/a Everite Brokers	17537	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barry Keith Speed t/a BKS Insurance Brokers	14266	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Batsiela Obed Tsela t/a Eyethu Funeral Services	32764	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bazel Logistics Cc	35634	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BB Funerals CC t/a JM Funerals	8486	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BCKT Investments CC t/a Fairdeal Motor Co	19812	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bekky Solomon Shandu t/a Service For All	35692	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Belisa Brokers CC	34225	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ben Bojabotshega t/a Bojabotshegas Financial Services	37482	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ben Van Coller Beleggings En Versekerings Makelaars Bk	13432	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benadie Snyman t/a BS Makelaarsdienste / Broker Services	17246	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benjamin Arthur Saunders t/a Benab Funerals	14676	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Benjamin Tuelo Gabokhutle t/a B T Gabokhutle and Associates	23531	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Berea West Brokers CC	24206	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bernard Azwindini Ramushweu	21859	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bernhard Jacobus Bronn t/a Hexvallei Agentskappe	28301	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhekukwenza Patrick Majola t/a Uncedo Funeral Services	17384	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhekuyise Fortune Dladla t/a Future Solutions	35146	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhele And Madlula Brokers CC	38313	13 December 2010	Contravention of section 15A of the FSB Act
Bhoyi Clifford Zondi t/a BC Brokers	39678	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Bhula Orlan Nhlumayo t/a Somadoda Brokers	32168	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Big Sky Funeral Directors CC	16411	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bike Choice CC t/a Power Sport and Auto	19773	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bikesure (Pty) Ltd	23436	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bipendra Amrat Prag t/a Prag Financial Services	18096	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Birjanand Sew Sewpaul t/a BS Sewpaul & Associates	26263	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bisoonal Sew Ramdeen t/a Surelife Insurance Brokers	3152	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bitline SA 697 CC t/a Habitat Financial Services	1824	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bitline Sa 811 CC	11672	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Black Eagle Financial Services CC	17391	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blade Financial Services (Pty) Ltd	16156	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blau Diamond Reserves (Pty) Ltd	30426	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blue Dot Properties 1255 CC t/a Blue Dot Cars	32268	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blue Nightingale Trading 248 (Pty) Ltd t/a GTM Bell Tax & Consulting Services	15665	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bmt Risk Management CC	16468	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bohlale Black Economic Empowerment Solutions (Pty) Ltd	19583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Boikie Silence Mashego t/a Boikemo Brokers	23160	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Boitumelo Ernest Bezent t/a Bezent Financial Services	35353	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bondel Auto (Pty) Ltd	27377	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonginkosi Zwane t/a Zwane Financial Services	36092	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonke-Bonke Funeral Services & Investment CC	34695	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bongwe Relationship Solutions CC t/a Bongwe	11694	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonny Charlene Pieters	34803	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bopanang Funeral Services CC	17148	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Botes Brokers CC	10841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Botha Makelaar Bk	31107	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bouvest 2091 CC	25054	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Boyana Samuel Shingange	21323	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bracey Consulting CC	11129	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act



Bradley David t/a David Bradley Insurance	20860	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brandow Financial (Pty) Ltd	36732	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Brian Mduduzi Mbatsana t/a TSB Brokers	36864	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Brian Myburgh	12977	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brian Peter Schoeman t/a BPS Insurance Brokers	18723	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Brokerhub CC	1846	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BS Beleggings CC t/a Medsure Brokers	20272	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bubele Baldwin Bababale Stofile t/a Zintathu Bees Brokers	19284	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Buks Van Zyl Motors Bk t/a Montagu Toyota	11480	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Busane Medserve CC	22720	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Busisiwe Mary Nkosi t/a BMN Brokers	18021	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

CAI Business Consultants CC	38098	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Calandria Thirty Three CC t/a Motor World	10170	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caledon Makelaars CC	8255	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calicom Trading (182) (Pty) Ltd	9456	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calvin Kunene t/a Kunene Brokers	28707	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Calwin Investments CC	17062	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Campbell Marketing Services (Pty) Ltd t/a Fritz Smith & CO.	7031	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Canaan Fana Mhlongo t/a CFM Insurance Brokers	8641	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Candice Barton t/a Simon and Associates	14343	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cape Province Corporate Training CC	20091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Capi Maxi Investments CC t/a AJ Forde Financial Planning	4682	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Caratfin SA (Pty) Ltd	26239	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cardinal Financial Services CC	25582	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carel Jacobus Sebastiaan Grove	11386	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carina Smit Brokers t/a Carina Smit Brokers	1112	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carl Louis Pieters	26583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caroline Lindiwe Tshabalala t/a Impendulo Brokerage	24750	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caroline Margaret James t/a Provincial Broker Services	16863	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Carty Van Niekerk & Company (Pty) Ltd	30275	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cats Consulting (Pty) Ltd	2776	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Catwalk Investment 133 (Pty) Ltd	18473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cavell-Clarke CC	14235	13 December 2010	Contravention of section 15A of the FSB Act
Cebekhulu Financila Services CC	35151	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Cecil Michael Eugene James t/a Associates Medical Brokers	10483	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ceder Makelaars Bk	18298	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cedric Ishwarduthsingh Narrandes t/a CIN Financial Services	20748	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Celani Msane t/a Msane Brokers	33963	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Centurion Insurance Brokers CC	17935	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CES Advise Services Bk	28705	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chailot Investments CC t/a Africa Finance	22173	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charisse O Delia Chantel Lucas t/a Overberg Begrafnisdienste	17953	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charitoo Marketing CC	35133	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles Garai t/a Charles Garai Brokers	11846	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles Ravell t/a Inner Circle Brokers	9965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Charlottenhaus CC t/a UIB Services	17156	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charmaine Fouche t/a Charmaine Fouche Insurance Brokers	25801	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charmaine Yvette Botes t/a SA Legal & Financial Services	17561	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cherry Blossom Trading 108 t/a Medical Aid Specialists	33684	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Choice Decisions 130 (Pty) Ltd t/a Amalgamated Insurance Management Services	14465	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chris Gordon And Associates CC	17052	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chris Theo Jansen Van Rensburg	11717	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chrisna Schoeman t/a Griffin International	35877	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chrissies Insurance Brokers (Pty) Ltd	22963	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christell Esterhuizen	16768	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christiaan Floris Malan t/a Malan and Associates	26728	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
Christiaan Johann Swanepoel t/a CJS Offshore Financial Solutions	11829	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christiaan Johannes Burger t/a Chris Burger Finansiële Dienste	6658	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christian De Wet Knoetze	15638	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christina Ferreira	13772	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christine Hohls t/a BMTK Brokers	1343	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christo Petrus Kotze	13688	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christoffel Jacobus Beukes t/a Cobus Beukes Finansiële Bestuur	21431	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christopher Masimthembe Ngxola t/a Christopher Masimthembe Ngxola Financial Consultants	15114	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Class A Trading 790 (Pty) Ltd t/a Progressive Admin Solutions	12891	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Classic Trade 121 CC t/a N Sure One	8514	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Clear Creek Trading 34 (Pty) Ltd	34793	13 December 2010	Contravention of section 15A of the FSB Act, section 19(2)(b)(iii) of the FAIS Act

Clemens Padiachy	7096	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clifford Raymond Jones t/a CJI Consultancy	15786	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clive Preston Whyte Robertson	24204	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clive Raymond Carney t/a First Risk Insurance	36096	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Clyde Moodley t/a Fawn Financial Services	6738	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CMR Insurance Brokers CC	14378	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Coenraad Frederick Maree t/a Maree & Rogers Investments	17202	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Compi Beleggings CC t/a CIT Sports Products	20139	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Compion Makelaars Bk	15225	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Comprehensive Truck Insurance Brokers CC	29720	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Concorde Cars Buitenkant Street CC t/a Concorde Cars	9268	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Constandinious Peter Brooks	17941	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Consulting & Interrelated Business Management CC	22201	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Coogole Finance (Pty) Ltd t/a Financial Solutions Group	27038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Copper Moon Trading 503 (Pty) Ltd	29938	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cordia Fourie Makelaars Bk	25012	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Corne Struwig t/a All Cover Insurance Brokers	14485	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornel Christiaan Bornman	21663	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelia Gertruida Du Preez t/a Tazzmania	33776	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelius Jansen Bornman Bezuidenhout	28043	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cornelius Petrus Louwrens Von Rumstedt De Lange t/a NDL Group Insurance Broker	10805	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Courtier D Avenir Brokers CC	12812	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cradock Begrafnisdienste Bk	12013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Craig Douglas Goldstone t/a Goldstone Brokers	36965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crossroads Brokers (Pty) Ltd	31070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CRU South Africa Investment Management CC	31683	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crystal Sparkle Trading 57 CC t/a Duma Financial Services	36608	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
CT Volschenk Beleggings (Pty) Ltd t/a Weskaap Delta Weskaap Chevrolet	18782	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CWL Baard CC	16546	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cybed Trading 0059 CC	19510	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cyprus Insurance Consultants CC	15403	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
D.M.T Consultants CC t/a Kwashield Consultants	16870	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DA Brokers and Wine Labels CC	29747	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daconta Investments 1 (Pty) Ltd t/a Omni Insure (Pty) Ltd	36937	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dal Motor Investments CC t/a Taurus Motors	9567	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dale St Clair Carstens t/a Global St Clair	9377	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dalindiyedo Mzantsi	32585	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dammad Financial Enterprises CC	3891	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dangors Car Sales CC	22708	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danie Human t/a Danie Human Brokers	17808	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danie Van Der Westhuizen	28643	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Carlinsky	24172	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Dale Makena t/a Majada Corporate Affairs Health Care	13533	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Gerhardus Williams t/a Williams Funeral Undertakers	16206	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Jacobus Erasmus t/a Magna Brokers	22204	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Skei Twala t/a Midlands Funeral Home	18786	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Daniel Torinyana Ngutshane t/a Ngutyana Broker Service	18430	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danther Consulting CC	20666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Darwo Admin House (Pty) Ltd	35091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daryl Brandon Soobramoney t/a Jaho Fiancial Services	25732	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Datri 43 CC t/a Erik Kotze Financial Services	27497	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dave Alston Motors CC	25759	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Bota Makara t/a DB Brokers	35280	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Hermanus Maree t/a Harry Maree Makelaars	16879	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Jacobus Viljoen	14744	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DC Brokers CC	38282	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
DCA Insurance Brokers CC	4958	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dealer Car-Nect CC	33495	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Debora Elizabeth Augustyn t/a Bo - Karoo Begrafnisdienste	18787	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Decent Peoples Funeral Parlour t/a D.P.F.P Funerals	39358	13 December 2010	Contravention of section 17(4) of the FAIS Act
Deco Trust	31054	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Demetrios Titan t/a Titan Investments	9135	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Denverdraft Administrators (Pty) Ltd	14186	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Denvor Booysen t/a Community Financial & Funeral Services	14682	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Du Plessis De Beer t/a Deon De Beer Makelaars	15566	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Latsky	12232	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Deon Roux t/a Deon Roux Makelaars	21026	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Derek Arrow & Associates Incorporated	16581	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Derrick Wademan t/a Derrick Wademan Associates	13279	13 December 2010	Contravention of section 15A of the FSB Act

Desire Samunda	38280	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Desmond Eldred Viggill t/a Des Wiggill Insurance Brokers	11903	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Desmond Neville Burger t/a D & D Financial Services	20986	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dewald Leon Nienaber t/a Amicos	7459	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dhanasagren Chetty	12099	20 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dhavarajaloo Pakiriy t/a TAC Financial Services	2939	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dial Dynamics CC	34259	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Diane Murial Holdsworth	9542	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dick And Phillips CC	19045	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Diederick Johannes Steyn	28040	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dignity Insurance Brokers CC	10991	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Dimension Insurance Centurion (Pty) Ltd	9677	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dimitrios Nicolakos t/a Dimitrios Nicolakos Financial Services	8618	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dinker Rama t/a Motorama	32423	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dipuo Ziphora Moyana t/a ZiphBurial	11306	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dirk Van Der Berg Brokers	7101	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dirk Van Schalkwyk t/a 3DC	34197	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ditau Insurance Brokers CC	34179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ditshaba Human Capital (Pty) Ltd	24191	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DJM Insurance Brokers CC	7555	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DLMV Retail Enterprises CC t/a DLMV Financial Services	20648	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DM Monageng Brokers CC	5593	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Don Clark & Associates CC t/a Don Clark & Associates CC	2225	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Donald Peter Vermeulen t/a Vermeulen Group	16527	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Donnelly Financial Services CC	33190	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Don't Q Financial Solutions CC	19739	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dortyger Nr226 CC t/a Top Cars	31559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dotcom Trading 352 (Pty) Ltd t/a Personal Wealth Solutions	4642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Double M Financial Services CC t/a Double M Accountants	1520	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Double Ring Trading 187 (Pty) Ltd t/a Palisade Financial Planning	13401	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Douglas Gerald Beck t/a Investment Planning Services	12933	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dr Shuaib Moerat	5368	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dream Weaver Financial Services CC	28100	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act



Drikus Viljoen Makelaars CC	2493	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Duduzile Fikile Basi t/a D Basi Financial Services	37676	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dula O Lebelletse Funeral Purlour CC	14264	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisa Donald Ntintili t/a DD Ntintili & Associates	26049	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisani Joseph Sithole t/a Essential Financial Services	22416	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisani McBride Socikwa	35281	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumsani And Sibusiso Funerals CC	22296	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dyssel Laubscher CC t/a Dyssel Laubscher Financial Services	34356	13 December 2010	Contravention of section 17(4) of the FAIS Act
E & D Motors (Pty) Ltd t/a Ottery Toyota	20163	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E And M Investment Brokers CC t/a E and M Brokers	1669	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E And S Motors CC	25642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

E M Mokole Insurance And Medical Aid Consultants CC	15084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
E.M. Williamson t/a Marico Makelaars	8653	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
East Of Eden Trading 478 CC	23156	13 December 2010	Contravention of section 17(4) of the FAIS Act
East Rand Broking Services CC	16486	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eben Jacobs t/a Hugnot Finansiële Adviseurs	12005	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eben Van Der Merwe t/a Probess Life	37637	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ebsa Consulting (Pty) Ltd	17036	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
ECE Health (Pty) Ltd	33038	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Econocom 462 CC t/a Andele Makelaars	15593	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Edward Malherbe Cilliers t/a Prosperitas Makelaars	10451	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Edward Nathan (Pty) Ltd	11292	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Edwin Samuel Williamson t/a Williamson & Associates	15550	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Eerste Geluk Motors Bk	15817	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EFCON Capital (Pty) Ltd	18324	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eight Miles 358 (Pty) Ltd t/a 8 Miles	35056	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ekuphumleni Funeral Services CC	10776	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
El' Anaric Makelaars Bk	12357	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Electracomp Cc	18205	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elegant Line Trading 25 (Pty) Ltd t/a TVR Brokerage	29191	13 December 2010	Contravention of section 17(4) of the FAIS Act
Elegant Square Trading 80 CC t/a Excelsus	17291	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elias Sodari Masemola t/a Masemola Insurance Brokers	3360	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elizabeth Francina Botha t/a Betsie Botha Insurance Brokers	21023	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ella Anna De Lima t/a 100% Financial Services and Life Assurance	17739	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ella Nancy Denalane	20315	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elmarie Antoinette Du Plessis t/a High Net Services	37278	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Elmarie Von Wielligh t/a Elmarie Financial Services	35761	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elmos Financials CC	28138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elrina Ronell Van Wyk t/a Lormic Marketing	3822	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eltej Consultants CC t/a E Luiz & Associates	4327	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elvis Ntokozo Hlela t/a Hlela and Associates	25240	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emerald Marketing Services CC	8113	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emerge Brokers CC t/a Emerge Brokers	36156	13 December 2010	Contravention of section 15A of the FSB Act
EMJ Brokers CC	26557	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emmanuel Cilliers t/a Mannie Cilliers Brokers	12035	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Employee Benefit Solutions (Pty) Ltd Trust	2867	10 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Employee Benefit Solutions (Pty) Ltd Trust	2867	10 January 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Emzansi Business Enterprise CC t/a Emzansi Funeral Services	24507	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Enhanced Financial Investments (Pty) Ltd	9365	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ensindisweni Funeral Home CC	11795	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Equilateral Trading CC t/a East Coast Motorlink	26311	13 December 2010	Contravention of section 15A of the FSB Act, and sections 19(2)(b)(iii) of the FAIS Act
Equillore Lease Dispute Settlement (Pty) Ltd	16183	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Erasmus Johannes Smit t/a E.J. Smit Makelaars	9573	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ericka Geldenhuys t/a Compricare	31129	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ernest Bandlelihle Shandu t/a African Culture Funeral Service	19165	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernest Robinson	29108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernst & Young Port Elizabeth	19889	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ernst Hendrik Eloff	9373	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Error Free Insurance Brokers	9982	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ESG Brokers CC	6651	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Esther Anisela Devar t/a Esther Devar Financial Planning Services	30270	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Etrisia Potgieter t/a E Potgieter Financial Services	13199	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eugene Mario Van Graan t/a Market Finance Insurance Brokers	14170	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Evan Van Wyk t/a Evan Van Wyk Broker Services	9983	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EVB Underwriting Managers (Pty) Ltd	12579	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eveready Insurance Brokers CC	15838	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Evergreen Insurance Brokers CC	366	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Everlasting Harvest Brokers CC	22280	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ewald Oskar Jelinck	11932	20 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ewoud Ferdinand Malan t/a Ewoud Malan Insurance Brokers	10113	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Exalon CC t/a Equilibrium Financial Services	2624	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Exclusive Access Trading 35 (Pty) Ltd	16697	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Execu-Sure	7224	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Extra Dimension 1187 CC t/a Execufin	18541	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Extra Dimensions 1089 CC	23407	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eyethu Undertakers CC	30594	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
F1 Financial Consultants CC	26467	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fairbridge Arderne & Lawton (Pty) Ltd	18789	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Faleni Broker CC t/a Brokers and Financial Services	21051	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fanny Madoka	37462	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Fastrak Trading 409 CC t/a Fastsure Financial Services	23769	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fedon International CC	3225	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Felton Oebell And Associates (Pty) Ltd	15229	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ferdi Coetzer Finansiële Dienste BK	16452	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fhatuwani Tombstone & Funeral Directors CC	37218	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fikiswa Irene Mlandu	16262	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fimiliaris Financial Solutions CC	19252	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fin Psychy Advisors CC	5768	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Finansiele Adviseurs Noord-Oos Vrystaat Bk	13563	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fipa Trading CC	37294	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) of the FAIS Act
First Draw Trading 30 CC t/a GBD Personal Financial Services	26002	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Firstvest Financial Services CC	39414	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Fiscus Insurance Administrators CC t/a Fiscus Insurance Brokers	16865	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fisher Hoffman PKF (Newlands) Inc.	17475	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fixtrade 275 (Pty) Ltd t/a Engelbrecht & Associates	17359	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Floris Van Tromp t/a Atlas Insurance Brokers	7467	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
For U Financial And Funeral Services CC	19241	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Formosa Insurance Brokers (Plett) CC t/a Formosa - Bitou Insurance Brokers	12359	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Forty8 Finance (Pty) Ltd	8037	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fourcast Financial Services CC	35762	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frances Denton t/a Intex Brokers	12415	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Adriaan Kruger t/a Francois Kruger Tax Consultants and Accountant	8740	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Francois Cornelius Van Zyl	12061	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Du Toit t/a Du Toit Brokers	9830	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Emil Jacques Kleinhans t/a F Kleinhans Brokers	16693	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Johannes Labuschagne	15148	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Francois Van Der Merwe	9506	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frank Andrew Allard t/a F.A. Allard & Sons Funeral Undertakers	36726	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frankie Henry Christian Phillips t/a Ivy Phillips Brokers	13387	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Franklin Dreyer And Associates CC	12076	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frans Hendrik Jansen Van Vuuren t/a Frans Van Vuuren Makelaars	14407	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frans Martins Van Wyk t/a F & S Makelaars Lewens	10543	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fraser John Alexander t/a Matrix Consultancy	15778	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Frederick Jacobus Espag	16641	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Freefall Trading 14 (Pty) Ltd t/a Future Life Insurance	18760	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fridah Makananisa Mashudu t/a Mashudu Insurance Brokers	29914	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Friedshelf 756 (Pty) Ltd	29679	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frikkie Filander t/a Filander Begrafnisdienste	25092	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
FRM And Partners (Pty) Ltd	29342	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Full Colour Financial Management CC	12785	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fulloutput 1153 CC t/a Mabcor Financial Services	28982	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Future Care Funeral Parlour CC	34247	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
G 2 Y Motors CC t/a African Auto Extreme	34411	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

G. Mawby Consulting Services CC t/a GP Consulting Services	6937	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
G1 Financial Planners CC	39499	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ganasen Naidoo	30819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ganesh Sohan t/a Lifestyle Brokers	19164	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gaowabisi Funeral Home CC	30956	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Garth William Peek	6973	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gary Brian Horne t/a GH Brokers	34016	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gate-Bih Enterprise CC	29224	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gather The Gold Trading 20 CC t/a Luxury Car Sales	32047	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gavin Blumenthal t/a Health and Wealth Insurance Brokers	12080	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gayi Sam Nkosi t/a Masisizane Brokers	38323	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Gcinumthetho Cyprian Justice Ndwandwa t/a Sentinel Brokers	23384	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GD Pillay & Associates	12710	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Geldmaak Motors Bk t/a M & J Motors	19949	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Geoffrey John Jantjies t/a John Perdo Funeral Consultants & Services	15394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Diderik Van Wyk t/a George Van Wyk	15651	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Investments & Insurance Brokers CC	5351	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Visser t/a George Visser Brokers	4217	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ger Broking Services CC	3654	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerald Khoza t/a Essential Asset Managers	31338	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerhard De Kock t/a De Kock Fin	13650	13 December 2010	Contravention of section 15A of the FSB Act
Gerhard Sameul Van Wyk t/a Lom Burial Schemes	14684	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerhardus Jacobus Pretorius t/a Cars For Africa	25680	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Gert Johannes Petrus Nel	17925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gert Lourens Stokes t/a Louis Stokes & Associates	17251	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerwyn Wilsnagh t/a Gerwyn Wilsnagh Brokers	14144	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gezana Solomon Zitha t/a Esseneffe Brokers	23069	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Robbertse t/a Gideon Robbertse Financial Advisors	14290	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Scheepers	14187	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Jacobus Van Zyl t/a Deon Van Zyl Finansiele Adviesdienste	13461	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Schalk Scholtz t/a Donnie Scholtz Makelaars	8011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Steyn Van Rooyen	17365	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gift And Neo Funeral Home CC	22449	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gilbert Ernest Roth	14897	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Gillard Auto Investments (Pty) Ltd	28561	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
GJ Steyn t/a Pro Active Financial Services	13948	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Glass Tucker	12188	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GLB Motors CC	17640	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Globevest Brokers (Pty) Ltd	4045	13 December 2010	Contravention of section 15A of the FSB Act
GM Thomas & Associate CC t/a Bloodstock Insurance Brokers	1002	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Godfrey Mark Wilson	26144	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gold Reef Versekerings Makelaars Bk	30861	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Golden Rewards 875 CC	34600	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Golden Rewards CC t/a XJ Gciltshana Independent Insurance Brokers	27036	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Goldtail Auto Dealers CC	8385	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Goncalo Consulting CC	19222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Good 2 Go Brokers CC	33986	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Goodman Mzupela Siyongwana t/a De-Luxe Insurance Brokers	34018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gordon Russell Daniel t/a Gordon Daniel Financial Services	7943	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gordon's Bay Outdoor Centre CC	11705	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GPJ Labuschagne En Genote Bk	18846	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GP Assure Consultants CC	26518	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gracelen Investments 211 CC t/a LB Brokers	16712	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Graham Massey	8137	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Grand Bridge Trading 93 CC t/a Grand Bridge Motors	31720	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gray 4 Success CC	35384	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Great North Brokers CC	8603	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Great North Motors (Pty) Ltd	26110	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gregory Alwyn Welcome t/a Wellsure Financial Advisors	5980	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gregory Storm Vernon Margerman t/a Storm Financial Services	14925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Greystone Trading 578 CC t/a Michael Drummond & Associates	18691	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GSK Credit Insurance Brokers CC	1730	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GSK Global Financial Services (Pty) Ltd	15149	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GTSE Health & Insurance Brokers CC	18522	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gugu Precious Zikhali t/a Gugu Financial Services	31764	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gunston Lindile Mtshotshisa t/a GL Mtshotshisa & Associates	31684	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

GWM Motor Vryburg CC	38381	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
H & E Versekeringsmakelaars Bk t/a Prestige Makelaars	8179	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harnack & Associates (Proprietary) Limited	14658	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harold Hilter Lehmann	20072	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harry Gobane Mokoena t/a Nohas Financial Broker	36250	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Hatlane Fourth Dimension Health (Pty) Ltd t/a Hatlane Corporate Healthcare	17814	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Havmar Entertainment CC t/a M & M Brokers	10307	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hazel Hilderbraly	28178	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Health Unlimited Medical CC t/a Century Med Health	11692	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Heinrich Vd Heever t/a Heinrich VD Heever Brokers	14384	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Heinrich Zerwich t/a Pepe Brokers	8968	13 December 2010	Contravention of section 15A of the FSB Act
Hendrik Austin	20360	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Frederik Janse Van Rensburg t/a Frikkie Van Rensburg Versekering Makelaars	5906	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Hendrik Johannes Louw t/a HJLConsult	12924	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Johannes Van Reenen t/a Hennie Van Reenen Financial Services	26030	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Juriaan Van Zuydam	11918	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrik Stephanus Pienaar t/a Hennie Pienaar Brokers	23988	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hendrina Magrieta Venter	12888	20 December 2010	Contravention of section 15A of the FSB Act
Henk en Marie Viljoen Makelaars Bk	10402	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hennie Oosthuizen	14182	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henque 1183 CC t/a AB Motors	9526	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henque 4393 CC t/a AB Motors Maitland	9521	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henry John Lesley Kingma t/a Les Kingma and Associates	14611	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Henzel Apollis t/a EL Shaddai Begrafdienste	15396	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Henzel Apollis t/a EL Shaddai Makelaars	18701	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Herby Properties 13 CC t/a Philpro Financial Services	17605	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Herremias Cornelias Conradie t/a Harry's Motors	11488	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hester Ceicilia Jansen Van Rensburg t/a Hester Van Rensburg Finansiële Adviseer	19070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HHS Equality Financial Services (Pty) Ltd t/a Equality Financial Services	26719	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hlanzulula Polution Solution CC t/a Patsan Financial Services	34907	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hlokohloko Financial Services CC	32623	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hobson Gcinumtheto Ngubelanga t/a H.G. Projects & Investments	11562	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Honor And Glory Funeral Palour CC	26287	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hugh Auckland & Associates CC	14325	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Human And Financial Management 1 CC t/a HFM	37067	13 December 2010	Contravention of sections 17(4) of the FAIS Act
I M C Investment Management And Consulting (Pty) Ltd	8717	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
I M Personal Financial Planners CC	17564	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ian Andrew Chambers t/a Chambers Consulting	2861	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Robin Levy t/a Ian Levy and Associates	4281	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Roland Killian t/a Ian Killian Brokers	6563	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Van Niekerk (Pty) Ltd	6452	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Iani Mackenzie t/a Mackenzie Financial Planning	36534	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Identity Corporate Advisors (Pty) Ltd	25791	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ignatuis Michael Viljoen t/a IGMA Business Consultants	11435	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ilona Chanoch & Associates	3663	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imali Home Loans (Pty) Ltd	31025	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imali Trust 1 t/a Eehtam Trust	31256	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Impact Plus Trading CC t/a Impact Financial Services	13164	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Improlevel Investment CC t/a Amatola Insurance Brokers	17937	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Impunzi Construction Ventures CC	29093	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imre Insurance Advisors CC t/a Coastal Insurance Advisors	10310	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Increamental Growth Financial Services CC	19012	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indhren Andrew Morgan t/a Andrew Morgan Financial Services	7286	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indlela Yami Trading CC t/a Inspectacar Durban	19373	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indlemnyama Entrepreneurship CC t/a Prestige Funeral Scheme	28437	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ingelosi Trading 333 CC	32449	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ingelosi Trading 7 CC t/a Tata Durban	32167	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ingenuity Financial Brokers	35653	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insignia Insurance Brokers (Pty) Ltd	7464	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Insika Funeral Parlour CC	27908	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insikayesizwe Financial Services (Pty) Ltd	21549	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Insure @ E (Pty) Ltd	12965	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurecor CC	18931	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurewell Financial Advisors CC	21862	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Interactive Employee Benefits (Pty) Ltd	20222	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Inventive Ways 132 CC t/a G.V. Auto Sales	11490	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Investco Wealth Management (Pty) Ltd	857	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Invusa Trading 420 CC t/a Nkomazi Funeral Services	33057	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ishco Financial Brokers CC	10862	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Isithembiso Investments Solutions CC	37454	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Ismail Ayob Consulting (Pty) Ltd t/a ICONSA	9711	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Ebrahim Rawat t/a I Rawat & Associates	18955	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Mahomed t/a Imsure Financial Advisors	14423	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Shaik Hassan Parker	16502	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ithala Tax Consultants CC	33366	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ivo Sidonio Agrela Celestino t/a Tivoli Insurance Consultants	27789	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IW Luzmore	1951	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IWC Brokers CC	5362	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Izandla Ziyagezana Construction Projects CC	26038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J And L Brokerage And Services CC	30745	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J S Mkhize and Associates Insurance Brokers t/a J C Funerals	9894	15 December 2010	Contravention of section 8(1)(a) – (b) of the FAIS Act

J Teixeira And Associates CC	30013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J Van Rooyen	12600	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JA Kotze Brokers	12914	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jabulile France t/a Jabu Financial Services	20706	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacob Petrus Myburgh t/a Jaap Myburgh Insurance Brokers	10820	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacob Thankgole Motlhabane t/a Isis Brokers	18849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Christoffel Erasmus t/a J.C. Erasmus and Vennote	11205	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jacobus Johannes Van Zyl	14890	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Naude Stander t/a Naude Stander Makelaars	17866	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Nicolaas Van Der Westhuizen t/a Nico Van Der Westhuizen Financial Services	28347	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Petrus Smith	18371	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Jadwat Finance Company (Pty) Ltd t/a Motorcity Finance	29842	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jakobus Van Der Merwe t/a J Van Der Merwe Makelaars	11567	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
James Gary Thornhill-Fisher t/a James Thornhill-Fischer & Associates	13696	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Adriaan De Villiers t/a Jan De Villiers Makelaar	22206	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Erasmus Brokers (Pty) Ltd	27983	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Ferry Joseph Loots t/a Ferry Loots Makelaars	26017	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Hinrich Von Der Heyde t/a Independent Financial Brokers	1439	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Johannes Frantz t/a Jannie Frantz Brokers	2627	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Johannes George Van Wyngaardt t/a Van Wyngaardt Brokers	8818	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JD Financial Services Trust	25681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jean Francois Arthur Joseph Jules De St Phillipe Desplaces t/a JD Brokers	899	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Jeffery N Swarts t/a Norencish Financial Services	11572	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeffrey John Pulker t/a Jeff Pulker Chartered Accountant SA	5217	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeffrey Lebogang Khumalo	35848	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jenny Litkie	10005	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jeremy Euan Mc Bryne t/a MC Brynes Insurance Brokers	15753	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JGKM Trading CC	30486	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jhansi Invesments CC t/a Hiralal Insurance Brokers	37692	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
JLE Brokers CC	30132	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JN Transport CC t/a Kwikfix Motors	19953	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Adam Bosman t/a Bossie Bosman	20054	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Bastiaan Van Wijk	16084	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Johan Christiaan Kriek	25770	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Frederick Kirsten	11367	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Greyling t/a Greyling & Moore Makelaars	10011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Hendrik Heyns	11568	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Johan Jordaan t/a Jorries Jordaan Makelaars	14339	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Pieter Black	21636	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Rautenbach t/a J.R Financial Services	26466	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johann Cornelissen Besigheids Trust	18534	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johann Hendrik Van Heerden	8827	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johanna Maria Louisa Aucamp	7644	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Carel Jordaan t/a A2Z Funeral Services	4726	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Johannes Gerhardus Smith t/a Gert Smith Brokers	15741	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Hendrik Vorster	22408	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Jakobus Kruger t/a Kruger Financial Services	19162	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Lawrence Mafethe t/a Maefethe Financial Services	19363	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Petrus Van Der Walt t/a Johan Van Der Walt Brokers	8944	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Petrus Van Niekerk t/a Hannes Van Niekerk Versekerings Makelaars	1930	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johannes Senzo Kubheka t/a JS Brokers	36906	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Nhlapo	6146	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Nicholas Masengarb t/a Phoenix Group Advisors	12280	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Ogilve Douglas t/a John Douglas Brokers	21678	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Ray'S Auto CC t/a Auto Select	24080	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

John Rhodes Armour t/a Armour & Associates	13644	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Robert Horne t/a JR Horne	35488	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Sharon Consulting CC t/a JS Consulting	14025	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Talbot Insurance Consultants CC t/a John Talbot	6311	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John Witbooi Makelaars Bk	22284	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jo-Mari Schulz	38100	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jor-Sure Bk t/a Jorfin	8900	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Joseph Wilhelm Boshoff	11023	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josephine Cyndrella Munyai t/a Good Samaritan Brokers	24859	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josephus Daniel Johannes Lombard t/a Lombard Financial Services	2137	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Josua Jacobus Viljoen	16106	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Julian Jeremiah Govender t/a Safe and Secure Insurance Brokers	17334	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Junior Mhlongo t/a Elangeni Investments	18432	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jurgen Breimaier t/a Volksie Service Centre	24090	13 December 2010	Contravention of section 17(4) of the FAIS Act
Jurgens Engelbrecht t/a Jurie Engelbrecht Investment & Assurance Broker	19268	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JW Minnie t/a Oryx Financial Services	1670	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
K And L House Of Investments (Pty) Ltd	33144	13 December 2010	Contravention of sections 19(2)(b)(iii) of the FAIS Act
K Evans & Associates CC	3504	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kae Kapa Kae Funerals CC t/a Northern Funerals	8738	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kagiso Funeral Parlour (Pty) Ltd	13078	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kaleidoscope Metals CC	27655	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karen Ingrid Du Plessis t/a Du Plessis & Associates	9520	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karl Heinz Christiaan Haussman t/a P and B International	7147	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karl Helmuth Heinrich Gribnitz	6990	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Karshan Financial Services CC	28193	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kasbo Brokers CC	4131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kasteel Motors CC	25994	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kava Financial Solutions CC	4879	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
KDS Financial Consultants (Pty) Ltd	14110	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keatsheno Empowerment Financial Services CC	39662	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Kebone Brokers CC	26997	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keetsi And Associates CC	6091	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keith Michael Fester t/a KM Fester Consulting	29376	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kevan Roland Slade	32276	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Kevin Lance Nyland	28096	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Key Truck and Car (Airport) (Pty) Ltd	10323	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanyokuhle Funeral Services CC t/a Redeemer Umbrella Scheme	36125	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanzi's Funeral & Travel CC t/a Khanzi's Funeral & Insurance Services	18792	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khonzani Irene Madlala t/a Irene Madlala Insurance Brokers	17851	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kiranco Investments CC	9697	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kisten Govender	6070	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Knezovich Broking Services CC	12368	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kolekile Colbey Mkumla t/a Bosako Insurance Brokers	33007	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Koos Du Plooy Consultancy CC	36435	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act

Kopanelo Financial Admin Services CC	6958	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kopano Square 2000 CC t/a Kopano Insurance Brokers	14929	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kovacs Investments 413 (Pty) Ltd t/a Accensure Financial Planning	21801	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kovacs Investments 491 (Pty) Ltd t/a AC Auto	17786	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kowie Gerrys	21998	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kresin Pillay	18642	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Krugercope Insurance Consultants CC	33303	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwena Brokers CC	27495	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwinana Bulelani	37311	13 December 2010	Contravention of section 17(4) of the FAIS Act
L Sethole Financial Planning CC t/a Altegang Financial Planning	11728	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lacey Meyer (Pty) Ltd t/a Meyers Bay Financial Services	11574	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Lambert Francois Gresse Van Wyk	16760	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lancaster Capital (Pty) Ltd	16794	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lance Michler And Associates CC t/a Non Stop Financial Services	17637	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lathitha Financial Services (Pty) Ltd	30217	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Laurel Ursula Brand t/a Contact Investment Services	31060	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lazarus Moya T/A Magama Brokers	36473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Le Roux Brokers t/a Inprosure	7235	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Le Tigre Holdings (Pty) Ltd t/a The Le Tigre Group	8458	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lea Magdalena Venter t/a RGI Brokers	23915	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leading Edge 136 CC	35758	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lebaleng Funeral Parlour CC	36650	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act



Leepile Insurance Brokers	8948	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LEF Trading Enterprise CC	18504	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Legalbiz (Pty) Ltd	22090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekete Elvis Mokoena t/a Mokoena Brokers	6968	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekgadimane Diamond Mapokane	6097	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lekoa African Rainbow Funeral Homes (Pty) Ltd t/a Lekoa Cemeteries	18088	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lenka Financial Services CC	23859	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Bowles t/a Leon Bowles Brokers	19437	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Delagarda Van Wyk	20632	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Janse Van Vuuren t/a Van Vuuren Makelaars	8973	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Leon Leeuwner	9536	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Leon Malherbe t/a Leon Malherbe Brokers	3606	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Les Brokers CC	3027	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesaka Financial Services CC	25196	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leseding Brokers CC	8440	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesley Alison Govender t/a Prestige Insurance Brokers	7665	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lesley-Ann Bester t/a The Financial Solution	15790	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leswalaise Jeremia Bogatsu	29046	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lia Holdings (Pty) Ltd t/a Laher Insurance	17268	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lilac Moon Trade And Investment 34 CC t/a Inspectacar Witkoppen	36868	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lincoln's Car N Bakkie CC	30818	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linda Mabaso t/a Mbuduma Financial Services	5949	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Linda Maria Rheeder t/a Linrhe Financial Consultant	21230	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lindsay Louis Lofstedt	28691	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linroy Terry Peters t/a Mzanzi Insurance Brokers	36037	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lionel Basson	18525	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lisette Rebelo	10578	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Litha Language Consultants CC t/a Litha Management Services	7369	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Livewell Financial Services (Pty) Ltd.	14229	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Livingston Leandy Incorporated	17675	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Liwendy Financial Services CC t/a Lindiwe Fiancial Brokers	37391	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Lluka Japie Ngati t/a Mohulatshi Brokers	26928	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LM Insurance Brokers CC t/a LMB Funeral Finance	11690	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

LM Zwane Brokerage CC	28819	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LMAC Consultants (Pty) Ltd	15793	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LMJ Funerals CC	28447	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LNM Insurance Brokers CC	24294	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LNR Commercial Insurance Brokers (Pty) Ltd	18748	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Long Island Trading CC t/a Ligwalauwala Financial Services	1032	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Long Life Financial Services CC	32588	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Lorraine Jacobs t/a Serala Undertakers	18793	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lou Barnard Makelaars CC t/a LBM Makelaars	18583	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Cornelius Van Rooyen t/a Amsure	12660	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Stephanus Kruger t/a Hazyview Makelaars	10830	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Louis Trichardt Versekeringsmakelaars Bk	26849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louisa Suzanne Jafftha t/a African Funerals	34138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Loure Basson	16259	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lowveld Estate Solutions (Pty) Ltd	11666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LR Sisinyi Financial Services CC	7738	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LTE Risk Managers CC	17320	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lubada Lwa Vhathu And Associates CC	24433	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucid Advisory Services (Pty) Ltd	36001	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucindi Annandale t/a Lucindi Annandale Financial Services	14018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lukhope Chris Brokers CC	19784	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lushale Financial Solutions CC	30365	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

LVS Insurance Brokers t/a LVS Versekerings Makelaars	16108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
M Ceko Brokerage CC	22248	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
M M Mmuoe Brokers CC	4864	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maarten Jacobus Petrus Coetzee	16926	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mabandla Benjamin Ndyolo t/a Mutual Broker	19397	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mabeba Funeral Parlour CC	20443	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Machele John Mosikatsana	29330	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madwe Business Enterprises CC t/a BMSN Flora Brokers	37394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magaye Cardinal Wallace Cele t/a Mag & Nat	21370	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magdalena Susanna Van Rooyen t/a Uphongolo Funerals	19640	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magic Power Funeral Undertaker CC	23043	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Magrieta Isabella Jansen Van Vuuren t/a MIJ Van Vuuren Brokerage	18236	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mahlomaholo Bothman Moreko	19250	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mahlomola Jerry Mogotsi t/a MJ Broking Services	12473	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maila Funerals CC	9244	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maine Clive Patrick Molibeli t/a Mollys Funeral Parlour	18848	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makara Sam Keele	24343	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makhanta Jimmy Maswangaye t/a Horizons Brokers	4791	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makhunga Investment Holdings CC t/a Makhunga Financial Services	36151	13 December 2010	Contravention of section 17(4) of the FAIS Act
Malangeni Avisory Services CC	16719	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm E Buxmann CC	30238	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm Lizwe Mac Mavuma	7066	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Malcolm Winston Hendricks t/a Malcolm Hendricks Brokers	19393	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS



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Malock CC	21034	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mamakazi Trading Enterprise CC t/a T and J Brokerage	37595	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Mamie Emma Mtupa	35552	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mamohola Financial Services CC	17714	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandhla Mtembu t/a MN Mthembu Brokers	10693	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla & Njabulo Consultancy & Projects CC	35148	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla Admire Khumalo	33745	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlenkosi Godfrey Mkhize	35132	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mangwevana John Radebe	33048	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manthwadi Trading Enterprise CC	30000	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marc Christopher Snyman t/a MCS Financial Services	14119	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Maria Elizabeth Swart t/a Prosure Financial Services	16660	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maria Salome Snyders	30359	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maria Susanna Annandale t/a Annandale Makelaars	12247	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariaan Makelaars Bk	37038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariette Freeman t/a Freeman Brokers	15296	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariri Trading 98 CC t/a Pelsers Brokers	18666	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marisa Dippenaar t/a Broker 4 U	34017	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Christo Strydom t/a Commercial Industrial Insurance Brokers	28140	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Wynand Rudolf De Klerk	9235	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marjohn Brokers CC	26200	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mark Payne Consulting CC	20086	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
Mark Walter Karsten t/a Mark Karsten and Associates	21886	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Market Demand Trading 216 (Pty) Ltd t/a Uthango Financial Services	35759	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Market Demand Trading 92 (Pty) Ltd t/a Aquilla Nsure	16807	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marshawes CC t/a MB Corporate Consultants	17439	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Help Mekaar Begrafnis Skema	18531	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Jacqueline Jennepher Mokgalagadi t/a Ikageng Begrafnis Ondernemers	24341	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Mokgalagadi t/a Help Mekaar Begrafnis Skema	26779	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Albertus Pool t/a Manyana Brokers	25968	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Beyers Brink t/a Brink Financial Services	33290	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Coenraad Viljoen t/a Ultimate Underwriter	3036	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Marthinus Johannes Zaayman t/a MJ Zaayman Makelaars	14275	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Petrus De Jager	27354	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marthinus Petrus Loubser t/a M.P. Loubser	10122	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Sidney Foster t/a Martin Foster Financial Planners	7938	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martinus Malan t/a Heimar Brokers	188	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martinus Petrus Bezuidenhout t/a Bezfin Financial Services	25248	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masakane Insurance Brokers CC	21076	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masello Business Enterprise CC	10413	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mashika Johannes Malahlela t/a MJ Malahlela Brokers	7771	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masibambaneni Funeral Undertaker CC	15690	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mathews Magubhana Ndubane	6041	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Matilda Van Burick t/a Matilda Van Burick Financial Advisor	20531	13 December 2010	Contravention of section 15A of the FSB Act, sections 17(4), 19(2)(b)(iii) of the FAIS Act
Matona Risk Solutions	8893	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maudi Swinny Mogale t/a Maudi Mogale	21822	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mava George Mkukwana t/a Pro-Elite Financial Services Solutions	9515	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mawabo Onesmus Mahlaza t/a Mawabos Brokerage	31680	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mawonga Ayliff Hanxa	22746	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maxwell Eric Kohler t/a Max Kohler Financial Services	129	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mayfair Medical Solutions CC	34090	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbhobho Investments CC t/a First Class Funeral Services	9021	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mboyi Health Care And Financial Consultants CC	29765	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbulelo Thomas Thinta t/a Thinta Health Care Consultants	23098	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS

			Act
Mbuti Isaac Singela t/a Kutlwano Health Care Services	23016	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mduduzi Capricon Gazu t/a Gazu Financial Services	36656	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Medefin Financial Services (Pty) Ltd t/a Medfin Services	19105	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Melusiwami Trading Enterprise CC t/a Intando Brokers	38391	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Melvin Eric Petersen t/a M & K Brokers	18853	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meraka Consultants CC	20741	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mercari Financial Services (Pty) Ltd	777	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Merimate Trade 1017 CC t/s Fusion Financial Services	29678	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meriting Financial Services CC	35962	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meriting Finguard (Pty) Ltd	31292	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Merle Verna Hollenberg	26199	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meshack Tshidiso Duiker	27622	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Metro Financial Services CC	18605	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mhlangaveza Funeral Services CC	36121	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Brunette	24840	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Edward De Gouveia t/a Priceless Motors	20755	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Edwards Melville Matthews t/a Mikes Insurance Brokers	15222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Ettienne Griessel	28394	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Frederick Sumption t/a D & L Insurance Brokers	2682	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Luden CC	20983	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act



Michael Norman Carlisle Leach	3334	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Sindile Poni t/a Professional Advisory Services	18097	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michelle Gwyneth Booyens t/a Phoenix Risk Management Services	19253	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michiel Brits t/a Michiel Brits Financial Services	13519	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Micromath Trading 794 CC t/a Dynamic Brokers	10726	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Microzone Trading 857 CC t/a Subban Financial Brokers	33246	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Midland Brokers CC	14081	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mike Gale t/a Mike Gale Life Assurance & Investments	4172	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Milprops 349 CC t/a Norvalia Broking Services	4177	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Miroa Alpheus Malekana	34582	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mirror Short Term Solutions (Pty)Ltd	32447	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mitchell Crane Mccoll	39181	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
MK Mobile CC	31258	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mkhari Funeral Directors (Pty) Ltd	19731	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlindi Samson t/a Samson Financial Services	18704	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlungisi Keswa	32093	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mlungisi Mniya	22996	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mmanthopa Construction And Projects 6 CC t/a Mphephethe Brokers	39044	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
MMC Insurance Brokers CC	13871	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mnandi Insurance Brokers (Pty) Ltd	25018	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mncedisi Protas Dlepu t/a MPD Financial Services	18696	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MNE Solutions CC	34131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mngambi & Sons Funeral Services CC	24345	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moche Financial Services	10044	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Modus Insurance Brokers CC	18383	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Moeketsi Joseph Ntsiea	15058	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moepagauta Trading Enterprise 10 CC	38363	13 December 2010	Contravention of section 15A of the FSB Act
Mogaetsho Isiah Nicholas Poee	25515	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moholo Investments (Pty) Ltd	30142	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mohubuke Funeral Parlour CC	2774	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moila Funeral Directors CC	8736	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokase Health Care Plan CC t/a Mokase Health Care Plan	36328	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Momento Brokers CC	30323	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mondli Marion Dlamini t/a Mondl Brokerage	35121	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Monemo Consulting (Pty) Ltd	27723	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Money Box Investments 60 (Pty) Ltd t/a MBI	27310	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Money Mosaic (Pty) Ltd	28465	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Monica Barbara Syfert t/a Syfert Financial Services	11680	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Montaque Gardens Insurance Brokers CC t/a Provincial Insurance Brokers	1986	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Monye-Le-Shako Community Development CC	15280	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moolfin Management (Pty) Ltd	40	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moosa Ismail Ally t/a VIP Estate & Insurance	5574	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morga Govender & Associates CC	15013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morne Campher t/a Eclipse Factor	27974	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Morne Thomas Fourie	14647	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mortgage Group (Pty) Ltd	28746	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moses Mntungwa	35940	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moshiga Telecommunications CC	29849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosira Shadrack Petrose Motsatse t/a MSP-Motsatse Financial Services	35131	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motaung Funeral Undertakers CC	17161	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mothusi Matthews Lenyatsa t/a Lenyatsa Financial Services	36579	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motiff Financial Services CC	14295	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motla Funeral Parlour CC	13201	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motor Mecca Brits (Pty) Ltd	20568	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Motshidisi Funeral Services CC	25880	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motsoaledi Howard Motau	18456	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Motubatse David Matlala t/a MD Matlala Brokers	17149	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mountbatten Insurance Consultants (Pty) Ltd	13593	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpati Funeral Services CC	27990	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpeta Insurance Brokers CC	26528	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mpolokeng Funeral Parlour CC	25900	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MRA Motors CC t/a Main Reef Auto	30135	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Msikho Property Investment CC t/a Msikho Financial Services	31204	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MT Funeral Parlour CC	13076	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MT Insurance Brokers CC	31349	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MTB Tourism Services CC	8547	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mtshana Trading Enterprise CC t/a MTE Financial Services	33333	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mthabane And Bahlabane Trading Enterprise CC t/a Bahlabane Brothers	26805	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mtoyindoda M Ngwexana t/a Ncedisizwe Funeral Undertakers	17554	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mufaro Brokers CC	6841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Multilayer Trading 419 CC t/a Zwelihle Funeral Home	11432	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Multiple Financial Services CC	23469	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Musa Doctor Ngubane t/a Masu Brokers	38356	13 December 2010	Contravention of section 15A of the FSB Act
Musitek (Pty) Ltd	16825	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Musundwa Samson Ratombo	22031	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mutual Ties Brokers CC	19032	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Muziwamandla Joel Musawenkosi Gumede t/a Zama Zama Financial Services	39320	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Muziwonke Trading Enterprise CC t/a Thabizolo Brokers	35038	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mvuleni Phillip Mdayi t/a MSM Consultancy	21803	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Mwelela Trading CC t/a Mwelela Funeral Services	24772	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
My Label Trading CC	37681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Myalezi Maloti Brokers CC	39249	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Mzansi Insurance Brokers CC	37114	13 December 2010	Contravention of sections 17(4) of the FAIS Act
Mzansi Risk Management CC	32947	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzao Financial Solutions (Pty) Ltd	20011	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzikayise Meagwick Gavu t/a MS Consultants	18279	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzileni & Associates CC	27222	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzizi Funeral Directors CC	13091	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzoleli Wiseman Joko t/a JK Insurance Brokers	17163	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzukiseni Investment Resources CC	5780	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mzwabantu Raymond Vandala t/a Vandala Brokers	26798	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzwandile Joseph Mpofu t/a Mazizi Healthcare Services	29717	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
N & E Emergency Assistance Centre CC t/a NEEAC	34724	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
N Botlhoko Business Consultant CC t/a Ntuma D Botlhoko	19432	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NA Mhlongo & Associates CC	13847	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nandisa Brokers CC t/a Nandisa Insurance Brokers	30086	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naomi Esther De Beer t/a Deon De Beer Makelaars	15567	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Narisha Ramkelawan t/a Mutual Lite Brokers	25973	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nasara Insurance Brokers CC	20705	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naseema Hassim Essa	26559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nash Motors CC t/a Nash Nissan	9932	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Nasrulla Enus t/a Maywood Insurance Brokers	15454	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nassau Makelaars Bk	12747	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Pride 279 (Pty) Ltd t/a Eden Connect	28268	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Road Accident Cover (Pty) Ltd t/a NRAC	30882	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
National Teachers Union	19170	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Natrisk Brokers CC	9944	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Natures Health Products (Pty) Ltd	36433	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Naushaads Car Sales CC t/a Auto Belgravia	27995	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nazeem Adams	33006	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncabane Funeral Services CC	36122	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncanana Funeral Services CC t/a Zockey Business Enterprises	22927	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ncongwane Funeral Services CC	22925	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ndabezinhle Tembane t/a SN Financial Brokers	20240	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ndizwa Timothy Shobede t/a NT Shobede Brokers	39439	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ndlelanhle Funeral Services CC t/a Zweli Financial Services	11791	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neelandran Chetty t/a Universal Consultants	17387	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neil Howard Gellman	25383	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neil Kelly t/a Kelly Financial Services	6745	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nelson Lepulana Selwana t/a Nape Brokers	34271	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neo Quintin Shale t/a Rainbow Health Care Broker	34143	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neville Eksteen Brokers Trust t/a Neville Eksteen Brokers	17382	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Neville Hide & Associates Incorporated	16601	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Neville Trevor Tegg t/a Teggs Granite and Marble	8466	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
New Dimension Insurance Brokers CC	7376	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
New Heights 12 (Pty) Ltd t/a Eagle Financial Services	19661	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ngazimbi Michael Sithole	17950	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ngiphamandla Construction And Project CC t/a Ngiphamandla Brokers	38185	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ngoako Reginald Moagi t/a Banareng Financial Solutions	27053	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicklaus Modise Seerane	29819	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nico Lotz t/a Uni-Vest	27492	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nico Roy Human t/a Coastal Financial Services	20391	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Everhardus Louw t/a Euvard Louw Brokers	5936	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Johannes Solomon Kock	20931	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolette Erasmus t/a Erasmus Consulting	27083	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Nikingcebo Investments (Pty) Ltd	35453	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nikki Patience Yenge t/a Nikkie	26324	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nirmala Pather t/a Tyde Insurance Brokers	7614	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Njabuloyethu Financial Services CC	39315	13 December 2010	Contravention of section 15A of the FSB Act
Nkhangweni Netshisaulu t/a Horizon Insurance Brokers	12750	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoana Assurance Brokers CC	6136	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoana Matome Hendrick And Associates CC t/a MHN Legal Cost Assistants	4182	20 December 2010	Contravention of section 15A of the FSB Act
Nkoane Healthcare Consultant CC t/a Healthcare Consultant	20687	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosinathi Nathi Sosibo	21182	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkoyono Peter Tema t/a Tema Financial Broker Services	12033	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NLA Life (Pty) Ltd	11220	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NMH Consultancy CC	5694	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Noluthando Khomo t/a Khomo Financial Services	9863	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomalungisa Qadi t/a Noma Qadi	37544	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomusa Shirley Molefe	21459	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomvula Risk Services (Pty) Ltd	38817	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nontaba Yvonne Mpofo	23679	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
North Ridge Alliance (Pty) Ltd	28917	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northern Jungle Trading 123 (Pty) Ltd t/a Dlamini and Associates	25144	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northern Jungle Trading 81 (Pty) Ltd t/a Gerrie Van Vuren Financial Services	24723	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Novazz Funeral Services CC	11425	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nqobile Victor Zungu t/a Nqobile Insurance Brokers	24346	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntamane Funeral Services	29535	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act



Nthabiseng Elizabeth Maklein t/a Peoples Choice Funeral Service	18108	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nthabiseng Henrietta Lekoro	20369	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nthentole Financials And Investments CC	30606	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntirocapital Investment CC	39009	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ntokozo Ndebele t/a Ntokozo Sibusiso Consultancy	32283	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nuqood Financial Services (Pty) Ltd	37914	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Nu-Vision Financial Consultants CC	12486	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nwabisa Abrahams	37607	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Nyaweni Jan Lebyana	35138	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nzaliseko Brokers (Pty) Ltd	35575	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Obakeng Jack Motsaathebe t/a Rethabile Burial Society	12335	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Ogwini Funeral Directors CC	15295	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Omar Farouk Mahomed Suleman t/a Dynamic Financial Services	10317	13 December 2010	Contravention of sections 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Oracle Risk Solutions CC	21548	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Orsum Cars Corporation CC	28781	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Osiris Communications (Pty) Ltd t/a Bounty Services	21321	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Otto La Grange	7154	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Outcomes Management Consultants (Pty) Ltd	24403	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
OV Makelaars (Edms) Bpk t/a Oranje Versekering Makelaars	16146	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Owen Victor Parvess	10517	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oxygen Healthcare (Pty) Ltd	24319	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pale Orange CC t/a President Outdoors	24069	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Palm Burial Services CC	31303	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pamela Ann Evans t/a Pamela Evans & Associates	28033	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paradigm Insurance Brokerage CC	18341	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patricia Nokulunga Makunga	27013	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Donald Rossouw t/a PDR Insurance Brokers	24253	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Jeremy Eric Hill t/a Pat Hill Finance	12115	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Allan Kuhn	30087	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Andrew Winder	18839	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Paul Bezuidenhout t/a Bezuidenhout Makelaars	28397	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul George Skelton t/a Paul Skelton & Associates	21262	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Siphiri Maboya t/a PS Brokers	17842	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Solani Khosa t/a Rihanyo Marketing	31272	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
PDK Business Services CC t/a PDK Financial Service & Insurance Brokerage	8059	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peace Investments & Life Consultants CC	11587	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pearl Coral 1370 CC t/a Ocean Motors	31662	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pearly Gate Funeral Services CC	18685	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pegasus Equine Insurance Brokers CC	3578	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peoplescar (Pty) Ltd t/a Max4Cars	11373	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Percy Thabo Tladi t/a Tladi Consulting	29660	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter Karvelas	14967	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petronella Aletta Botha t/a Lorraine Botha Makelaars	25784	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Cornelius Johannes Swart t/a Funcorp	18920	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Petrus Cornelius Pelser	15765	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Fourie t/a Fourie Brokers	11106	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Geliemus Johannes Myburgh t/a Tygerberg Motors	27551	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Lafrus Uys Badenhorst t/a Piet Badenhorst	22553	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Wouter Hugo	16149	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
P-Four Marketing (Pty) Ltd	28776	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phahana Funeral Parlour CC	29206	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Phakamani Superman Mthembu t/a Phakamani Investors	31507	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phanuel Azwifaneli Tshikosi	21883	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phikolomzi Durnford John Tshona t/a McMonies Financial Brokers	20729	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phil Precious Auto Sales CC	17840	13 December 2010	Contravention of section 17(4) of the FAIS Act
Phillip Arnold Knight t/a Intralife Insurance Brokers and Consultants	29743	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
Phillip Thabo Moela t/a Moela's Brokers	3259	20 December 2010	Contravention of section 15A of the FBS Act and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phumelela Advisory Services CC t/a Phumelela Advisory Services	36918	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phuti Josias Mabitsela t/a PE Brokers	7425	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phuti Linah Mabotja	15614	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PIC Brokers (Pty) Ltd	13165	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Pierre Hennie Van Zyl	22107	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pierre Langenhoven t/a P.M. Langenhoven Brokers	11140	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Botha Steenkamp	15384	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Johannes Dempers	16504	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Johannes Pieterse	15637	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Pieter Rademan	18529	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Pieter Van Zyl t/a Pieter van Zyl Makelaars	13288	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Wouter De Wet t/a De Wet Brokers	11539	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pine's Business Enterprises CC t/a Pines Funeral Parlour	35276	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pinnafrica Insurance Limited	11677	13 December 2010	Contravention of section 15A of the FSB Act
PJV Versekering & Belasting Konsultante Bk	20529	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PKA Financial Services CC	34039	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pogiso Patrick Moreosele t/a Ponelelopele	17337	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pointbreak Financial Planning (Pty) Ltd	29644	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ponelo Pele Tombstone And Funeral Services CC t/a Ponelo Pele	32900	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Portfolio Analysts Gauteng (Pty) Ltd	16383	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Pranesh Kanhai t/a Premier Financial Consultants	11803	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pravitha Sewsanker t/a PPS Investments	21717	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Precious Prospect Trading 20 (Pty) Ltd t/a Masibambane	16695	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Preferred Assurance & Financial Services CC	1674	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Preggie Govender & Associates CC t/a In Africa Financial Services	512	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Prem Masangwana t/a Prem Brokers	15950	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prep & Plan Financial Services CC	13860	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Preseelan Moonsamy	21874	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Preshanti Ragoonanan t/a Preshanti Insurance Brokers	5572	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Presley Pillay	39685	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Primecall Financial Services CC t/a Quicksave Insurance Brokers	17339	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Prisca Kgasoe t/a Essential Investment Holdings	12493	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Prithviraj Murilal Neerahoo t/a Dannhauser Insurance Agency	28146	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prive Insurance Consultants (Pty) Ltd	2233	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Professional Wealth Management CC	22043	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Profund Portfolio Management CC	16064	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Progress Unlimited Insurance Brokers CC	9473	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Protectus Brokers CC	12494	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Proxima Life (Pty) Ltd	14079	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PS 2026 Investments CC t/a All Roder Motors	26057	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Puballan Reddy t/a Henry Reddy and Associates	16321	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pule Maluleke Investment Holdings (Pty) Ltd	27009	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Purely Precious CC	29577	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Puseletso Anicia Rabotapi	21436	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PZC Multi Commercial Consultants (Pty) Ltd	21287	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
QR Consulting CC	21184	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quantam Torque Car Sales CC	22231	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quantify Financial Solutions CC	35261	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Queenstown Insurance And Investments Consultants CC	14044	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quentin John Bagshaw-Smith	10902	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Raaj Tewary Associates CC	9367	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rachel City Trading CC	27196	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rachelida Elizabeth Johanna Dry t/a Lydia Dry Brokers	18856	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Radmar Financial Services (Pty) Ltd t/a Rosa Du Plessis Brokers	14388	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rafeeka Motor Holdings t/a Crazy Cars	35233	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Ragel Piedt t/a Paradise Funeral Undertaker	21603	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rajendrakumar Singh	28587	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rajsons Insurance & Investment Consultants CC t/a Status Financial Consultants	9713	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramaele Corporate Solutions (Pty) Ltd	18528	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramagarasoa Daniel Lesito t/a Wisdom For Wealth	35356	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramile April Lesikara t/a AR Iketsetseng Funeral Parlour	12315	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramokgoma Funeral Services CC	13027	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ramotse Funeral Services CC	8741	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Randtip 85 CC t/a Ascot Thusano Funerals	18595	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Raoul Martin	21203	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rapheal Hermanus Dumas	10060	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rasmus Elardus Erasmus Duvenage t/a Rassie Duvenhage Brokers	16579	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Raymond Joseph Arendse t/a Horizon Funerals	13702	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Raymond Lukas t/a R Lukas Begravnisonderneemers	17555	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Raymond Wilken t/a Asset Protection Services	14124	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Realfin CC	33885	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Realfin Short Term Insurance Brokers CC	33887	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Realfin Solutions CC	33886	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Re-Connect Research And Advisory Services (Pty) Ltd	35760	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Redeemed Investments CC t/a Qhobosheane Properties	35831	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Redwood Risk Services CC	22837	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Reef City Investment CC	3316	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Refiloe Tryphina Mothami	21016	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Remmoho Funerals CC	12154	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Renamile Burial And Funeral Services CC	36198	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Renga Naidoo t/a Hatton Insurance Brokers	17199	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Retrospective Trading 519 CC t/a Retromed	3702	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Revelation Financial Services CC	17045	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Revolve Personal Advisors (Pty) Ltd	27481	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rhett Newton t/a Middelburg Funeral Services	35196	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RHI Motors CC	31295	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Riaan Sallie t/a Riaan Sallie and Associates	3857	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Riba Brilliant Marketing CC	32275	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rich Rewards Trading 52 (Pty) Ltd t/a Aquila Financial Services	16728	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rietsanger Beleggings 4 (Edms) Bpk	28894	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rijnveld Brokers (Pty) Ltd	7054	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rimac Investments CC t/a Queens Financial Services	25112	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rio De La Platta CC	35687	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rio Ridge Makelaars Bk	26373	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ritchie Motors (Pty) Ltd t/a Ritchie Auto	27390	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ritztrade 1088 CC t/a Auto Rivar	26322	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RK Insurance Advisors CC	3436	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RMB Specialised Lines Holdings (Pty) Ltd	27816	13 December 2010	Contravention of section 17(4) of the FAIS Act



RMS Broking Services CC	28975	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robert James David Walker t/a Robert Walker Insurance Broker	1421	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Roedolph Jakobus Laubscher t/a Ronrosa Motor Sentrum	27616	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Roelf Prinsloo	15770	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ron Marsh Motors	27217	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ronelle Taute t/a CPR Brokers	26717	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rosina Valerie Greenwood t/a PR Financial Advisory Services	17200	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Roxannes Autos CC	13815	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Royal Jabulani Mqwati t/a Financial Risk Consultants	11191	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Royal Square Investments 129 CC	19485	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rozani And Rozani Consulting CC	17767	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Rubeeya Kling t/a Ruby Kling	18518	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rudolph Gerhardus Venter t/a Gerrie Venter Makelaars / Brokers	11830	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
S Fourie And Associates Financial Management Services CC	14953	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
S J Koen t/a S Koen	8210	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
S J Kruger	2015	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SA Independent Insurance Brokers CC	10831	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Saampro Easy Surance (Pty)Ltd	7965	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Saffas Mohapi Funeral Home CC	18460	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sagree Govender t/a Kaylee Properties	21708	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Saiba Insurance Brokers CC	6849	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Saint Lerato Kganyago t/a Reliable S.T.D Insurance Brokers	39385	13 December 2010	Contravention of section 17(4) of the FAIS Act
Samdu Brokers CC	17175	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Samuel Isaac Shongwe t/a Samuel Shongwe	1379	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Samuel Peretz Myers t/a Boland Voertuig Sentrum	13205	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sanele Financial Services CC	22174	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Satch Jean Funeral Home CC t/a LA Jean Funeral Home	10431	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sea-Cape Auto CC t/a C. Cape Motor Group	31901	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Seamus Brian Connell t/a Contilo	28297	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sean Patrick Falconer	21881	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Season Star Trading 257 CC t/a Nova FAB Financial Admin Brokers	21705	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sebesho & Seloane Funerals CC	31714	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sec-U-Sure CC	31957	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sedibeng Funeral Undertakers CC	12150	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sedibeng Health & Life Advisory Services (Pty) Ltd	27752	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sedima Stanley Sebola t/a Matome Insurance Brokers	26990	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Seelen Naidoo & Associates CC	16079	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Segale Phillip Molefe t/a Phillip and Associates Brokers	14893	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sekgwari Solomon Rakoto t/a Rakoto's	35749	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sekhavela Trading CC	34592	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Select Product Facilitation (Pty) Ltd t/a Insurennet	35866	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Selkirk Underwriting Managers (Pty) Ltd	11318	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SFB Marketing Brokers CC	37797	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Shadumo Solomon Makoela t/a Victory Insurance Brokers	19116	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shaun Waso	31855	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sheffield Insurance Consultants CC	3232	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Shelfco 240 Investments (Pty) Ltd t/a LW Financial Services	12467	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sholaine Management Consultants CC t/a Tele Direct	35042	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shreynikka Trading Enterprises CC	10659	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Shunt Management Group CC	8529	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siamang Consulting Services CC	24811	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibongile Lorrein Khoza	35404	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibongile Margaret Zondi t/a Zondi Burial Club	9708	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibongile Mtshali t/a SNC 24-7 Agencies	31632	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibusiso Abel Mabele t/a Futurewise	18482	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sign And Seal Trading 46 (Pty) Ltd t/a Veritas Business Solutions	31962	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Silver Falcon Trading 100 (Pty) Limited t/a Ketsia Corporate Advisory Services	15276	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Silver Solutions 567 CC t/a Tshepo Funerals	38803	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Simon Mbeki Mnyandu	20494	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simplex Financial Services CC t/a Sim-Pro Medical Aid Services	25822	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipho Goodwill Masina t/a Goodwill Financial Brokers	9431	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipho Vincent Khanyile t/a Umbele Financial Services	20990	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipiwe Jeffrey Mthokozisi Gambushe t/a Shaygam Brokers	24381	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siqomu Israel Nxele t/a Senziwa Brokers	29768	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siriletsa Financial Services (Pty) Ltd t/a Siriletsa Financial Services Brokerage	23255	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siseko Se-Afrika Investments (Pty) Ltd	34065	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sitembiso Masenyane Isaac Pheto	17024	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sivalingum Chetty t/a Links Chetty and Associates	8107	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Siya Phezulu Trading CC	35979	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Siyanqoba (Pty) Ltd	5059	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siyaphila Medical Consultants CC	18257	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siyawela Financial Services CC	32541	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sizani Financial Services CC t/a Sizani Insurance Brokers	34796	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sizwe Llwellyn Sifunda	8333	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sizwe Medical Fund	22383	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sizwe Science Support CC	18692	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SJW Motors (Pty) Ltd	8860	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skhikhi Communications CC	34521	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SLA Financial Accountants CC	10528	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



SM Financial Services CC	16307	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SM Progress Financial Services CC	36011	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Smutsonia CC	15159	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Society Helper Project CC	12544	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sofua Funeral Services CC	9293	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Solly Noor Mohamed	16600	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Soobramoney Perimal t/a Mannie's Financial Planning Services	971	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sorto Paul Govuzela t/a S.P. Govuzela Brokers	12505	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
South African Property Guarantee Exchange (Pty) Ltd t/a Omni Consulting	2373	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
South Bank Motors CC	18669	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Soutpansberg Brokers CC	18845	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Spectrum Finance (Pty) Ltd	27882	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Speedy Morris & Associates CC	12574	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
SPM Direct Holdings (Pty) Ltd	20464	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Srinivasa Govender	1409	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stanford Mphahlele Brokers CC	22752	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stanmad Project	36831	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Starfair 200 CC t/a Ekapa Consultancy	33982	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Steenberg Asset Management (Pty) Ltd	25066	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Steering Autoworld CC	18757	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stefan Daniel Erasmus t/a SE Makelaars	23689	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stefan Schoeman Financial Advisor CC t/a Stefan Schoeman Financial Advisor	13345	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Stephanus Abraham Le Roux	15779	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephanus Christiaan Hermanus Rautenbach t/a Fanus Rautenbach Brokers	10705	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephanus Du Plessis	21166	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephen Eric Nienaber	15048	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Steven Paxton	23836	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stewald Enterprizes 002 CC t/a Financial Hub	10592	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stewart And Company Chartered Accountants Inc	16285	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stoffels & Tyobeka Accounting Services CC t/a Stoffels & Tyobeka	14394	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stoploss Insurance Brokers (Pty) Ltd	3117	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Strike A Rose Trading CC	36740	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Suchuthandaran Kim Pillay t/a SK Pillay Insurance Brokers	23608	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sunil Singh and Associates CC	9559	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sunrush Financial Services CC	34912	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Super Country Funerals CC	25908	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Susan Van Der Westhuizen t/a SVDW	37795	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Susanna Maria Elizabeth Jansen Van Rensburg t/a Sannie Van Rensburg	32430	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SVN Financial Services CC	4868	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sydney Hilton Cloete t/a SYD H Cloete Brokers	13708	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sylvia Sindisiwe Zondi	18217	13 December 2010	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Talita Tryphine Dingiswayo t/a TT Brokers	4566	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tangeni Financial Services (Pty) Ltd	26411	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tantaswa Giyose	3860	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Taxfin Asset Management CC	15518	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tebogo Samuel Jaaroud t/a Jaaroud Insurance Brokers	3329	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Techno Brokers CC	7065	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Teleioo Manufacturing (Pty) Ltd	12386	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Telescope Financial Services (Pty) Ltd	35089	13 December 2010	Contravention of section 15A of the FSB Act
Tendani Calvin Ramano t/a Ramano Financial Advisors	20734	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
TFC Consultants CC	6432	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabani Bhabha Radebe t/a Isiqalo Holding	37153	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabo Eddie Mei	24871	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabo Erasmus Thaba t/a TT Brokers	25744	20 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabo Patrick Moorosi t/a Tauries	29048	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Thakha Business Support Services CC	5359	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thamela Advocate Gumbi	35559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thamsanqa Israel Ndlovu t/a The Cub	36549	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thandanani Funeral and Burial Society CC	7539	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thandazwa Brenda Mpinga t/a Adonai Brokers	36434	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thandi Funeral Home CC	14707	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thandi Ndlovu t/a T and D Business Enterprise	18837	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thandiwe Loretta Ngcobo t/a Thandiwe Investments	27080	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thapelo Funeral Services CC	1504	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thari Jacob Huma t/a T.J. Huma Brokers	17504	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thasneen Govender	38938	13 December 2010	Contravention of section 17(4) of the FAIS Act

The Business Zone 1650 CC	29942	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Guswe Transport And Farming Services CC	38889	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
The Hanifa Akoob Family Trust t/a Aksons Car Max	31315	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Trade Route 113 (Pty) Ltd t/a BikeShopOnline	25996	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Themba La-Ma Yengwayo Financial Service Provider CC	20795	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Themba Mungwe t/a Mdolomba Assurance Brokers	5239	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Themba Winston Kumalo	18824	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thembelihle Funeral Parlour CC t/a Sizabantu	33062	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thembi Masuku Business Enterprises CC	26536	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thembinkosi Godfrey Ngidi t/a Sanethemba Funeral Services	11417	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thembisile Beauty Nsele t/a Sawela Financial Services	20861	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Theodora Nomzi Landzela t/a Landzela Financial Services	34719	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Theodore Becker	25283	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Theodorus Kleinhans	23364	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Theron Du Plessis Cradock Incorporated	17500	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thetha Trading 63 CC	38236	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thokozani Sipho Gumede t/a TS Approved Budget	22715	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tholwana Consulting (Pty) Ltd	33681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thomas Daniel Viljoen t/a Exponential Wealth	22519	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thomas Ignatius Dyzel	11687	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thuto Lesedi Funeral Home CC	26840	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Thuto-Bokamoso Health & Financial Services CC	32655	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Tiger Ingwe Contractors CC t/a DAM Brokers	35226	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Titanic Funeral Services CC	9265	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
TJR Finansiele Dienste (Edms) Bpk	14748	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tlakza General Trading CC t/a Tlakza G.T	36774	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tlhoriso David Mohlakoana t/a Tshireletsong Financial Brokers	21075	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
TLPB Funeral Parlour CC t/a Phomolong Funeral Parlour	26446	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Topaz Financial Planning Services CC	11888	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Topsure CC	11516	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tradestuff 2210 CC t/a Scenic Motors	19712	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tradewinds Financial Solutions CC	3444	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Transworld Financial Strategies CC	22314	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Trevor Carty Financial Planners (Pty) Ltd	12766	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Trifecta Trading 144 (Pty) Ltd t/a Agresure	15425	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tropical Motors CC	10681	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tropical Motors Witrivier CC	26145	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshedimosetso Investment Brokers CC	30374	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshenolo Idlett Majara	24440	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshepang Funeral Undertaker CC	13981	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshepano Funeral Services CC	9818	13 December 2010	Contravention of section 15A of the FSB Act
Tshepiso Funeral Home CC t/a Jerusalema Encha Funeral Home	22364	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshidisegang Funeral Services CC	16544	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tumi And Gomo Funeral Services CC	38675	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act

Tummah (Pty) Ltd	10921	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Two Oceans Brokers	7161	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tyrone B Hopwood And Associates (Pty) Ltd t/a Liability Brokers	37921	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
U-Direct Financial Services CC	7667	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uitenhage Funeral Homes CC	18989	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ukwaanda Corporate Healthcare CC	20509	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ukwanda Funeral Solutions CC	25176	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Umasingcwabisane Wesizwe CC t/a National Funeral Scheme	37279	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Umshashadu Multi-Services CC	22046	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Umsunguli Brokers CC	39184	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Umzumbe Financial Services CC t/a Masizisize Family Care Scheme	7493	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Uniboard Finansiële Dienste (Edms) Bpk	13489	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Unify Africa (Pty) Limited	166	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Uni-Investments of SA CC	7616	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
United Risk Management CC	31806	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Unitysure Investment Brokers CC	17841	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uranus Security And Projects CC	19108	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uyabusa Investment CC	35554	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vaal Funeral Protector CC	23895	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Valinor Trading 206 CC t/a Lezmin Brokers	25036	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Van Rooyen Viljoen & Associates CC	12233	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vantage Financial Consulting CC	33271	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Vasuthevan Krishnaswami Pillay t/a Fortune National Brokers	36350	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ventersburg Funeral Services CC t/a Flamingo Funeral Services	24342	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Venture In Profit Risk Services CC	17390	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Venturemark Motor Trading (Pty) Ltd t/a Fiat Newcastle	36670	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Verdwaal Funeral Parlour CC	16732	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Verona Motors CC	22438	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vibe Financial Consultants CC	31621	13 December 2010	Contravention of section 15A of the FSB Act and section 19(2)(b)(iii) of the FAIS Act
Victor Ellis Solomon t/a Victor Solomon & Company	6878	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Victor Jabulani Motshwene	19585	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Victor Nkosinati Mtikitiki t/a VN Mtikitiki Insurance Brokers	21135	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vigen Abkarian & Associates CC	20747	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Viko Trading 95 CC t/a Future Indemnity	25027	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vinay Karransingh t/a Standard Loans & Financial Services	28110	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Viren Sewganesh t/a SS Financial Services	34511	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Virgi-Gus Investments Holdings CC	20019	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vivekanand Sewparsadh t/a Riviera Agencies	5705	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vundade Silora t/a Taxi Burial Association	28898	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vusimuzi Melvin Mndau	25249	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vusisizwe Business And Wealth Consultants CC	35467	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vusumuzi Steven Christian Khumalo t/a VSC Khumalo	20708	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vutomi You Funeral CC t/a Vutomi Brokers	34181	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
W. Butler Broker Services CC t/a W Butler Broker Services CC	4531	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act



W2JK Auto CC t/a Heritage	10510	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
WA Lubbe & A Lubbe t/a Lubbes Auto Centre	22439	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Walter Bonginkosi Ngcobo t/a Walter Ngcobo Enterprise	39109	13 December 2010	Contravention of section 15A of the FSB Act and section 17(4) of the FAIS Act
Ward Financial Services Trust	18320	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wax & Wane CC t/a Durandt Consulting	2168	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wea Smith Financial Services CC t/a Hospitality & General Insurance Brokers	37789	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wealth Frontiers (Pty) Ltd	13722	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wealthwise CC	37130	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wedsure Underwriting Managers (Pty) Ltd	21371	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Welcome Marcus t/a Marcus Brokerage Services	27240	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Werner Hennie Strydom t/a Strydom Finansiele Dienste / Brokerage	5559	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Wesnel Risk Analysts CC t/a IFANET Pretoria Central	23717	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Whirlaway Trading 242 CC t/a Win Tech Auto	17456	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wik En Weeg Investment 13 CC t/a Maxisure Brokers	4124	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wilco Insurance Brokers CC	13969	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wildbreak III CC	12847	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wilfred Frederik Vorbeck t/a Vorbeck Brokers	16690	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wilhelm Fouche t/a Fouche Versekerings Makelaars	24245	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willasteyn CC t/a Kalahari Motormark	21190	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Hendrik Lategan Heckroodt	28081	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Howard Pretorius t/a Willem Pretorius Investment Services	11661	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Jacobus Petrus Van Tonder t/a Van Tonder Brokers	23852	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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William Andreas Du Plooy	21265	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
William Andrew Mckinnon	31859	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
William Bray Southwood t/a Billy Southwood Makelaars	9673	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
William Britten t/a BB Financial Advisors and Insurance Consultants	16408	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willie De Beer Bk	6946	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willwright & Associates CC	2157	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wilson Sphamandla Kweyama t/a Ikhwezi Funeral Services	19151	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Winnfred Marie Viljoen t/a FAS Finansiele Dienste	14452	13 December 2010	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wisdom Property Administration (Pty) Ltd	26180	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wiseman Sithembiso Zikhali	16515	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Wiseman Solomzi t/a Wiseman Financial Services	18377	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wolfgang Helmut Engelbrecht	22812	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wonder Tlhome Brokers CC	25134	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Workers Financial Consultants (Pty) Ltd	21441	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Workers Life Line (Pty) Ltd	9895	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
World Net Logistics (Pty) Ltd	10736	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wouter Stefanus Marais T/A Timbalyte Boland	14831	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
X D S L Trading 526 (Pty) Ltd t/a DRW Financial Services	23211	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Xpert Financial Consulting CC	27834	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yasheen Engelbrecht t/a All Share Brokers	26919	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yazeed Attwood t/a Attwood Financial Broker Services	15714	13 December 2010	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yebo Funeral Services CC	14004	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Yellow Sunshine Trading (Pty) Ltd t/a Pro Cars	24067	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yentl Theron	29357	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yourtrade 330 CC t/a Deal Makers Auto	30920	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yudishtir Singh t/a Financial Systems	12358	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act
Yvonne Elizabeth Moses t/a Drakenstein Funerals	14704	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ZA Trans Logistics (Pty) Ltd	8563	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zahra Motors CC t/a Tri Star Car Sales	31856	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zakheleni Management Services (Pty) Ltd	33914	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zambe Life CC	38534	13 December 2010	Contravention of section 17(4) of the FAIS Act
Zamile Griffiths Solomon t/a Peleg Agency	18879	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zanca Holdings Pty (Ltd) t/a Zancafin	17288	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zanokhanyo Trading CC t/a New Horizon	19117	13 December 2010	Contravention of section 19(2)(b)(iii) of the FAIS Act

Zeederberg Financial Brokers	7868	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zelco Motors CC	19289	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zinhle Nokwazi Zulu	34019	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zinhle Sebanda t/a Jahwe Brokers	33709	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zodidi Francis Mbovou	30467	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zolile Desmond Mjoka t/a Tolo Brokers	21581	20 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zone Concepts and Marketing CC t/a Zone Investment	1393	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zonkizizwe Insurance Brokers (Pty) Ltd t/a IFAW Capital	12519	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ZS Insurance Brokers CC	17379	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zukisani Financial Solution	36977	13 December 2010	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 2 of 2011.

A handwritten signature in black ink, appearing to be 'G E Anderson', written over a circular stamp or seal.

**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

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