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BOARD NOTICES

BOARD NOTICE 137 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PROVISIONAL WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have provisionally withdrawn under section 9(3)(a) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR PROVISIONAL WITHDRAWAL
Lionel Sean Pretorius t/a Leon Brokers	15656	21 July 2011	Contravention of section 2 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("the Code"), and section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 8(1), 13(2)(a), 14(1), 19(1) and 36(b) of the FAIS Act, and failure to comply with licensing condition 2.1 of the Licensing Conditions.
Silver Stars Trading 591 CC t/a LS Pretorius Brokers	29609	21 July 2011	Contravention of section 2 of the Code, and section 15A of the FSB Act, and sections 8(1), 13(2)(a), 14(1), 19(1) and 36(b) of the FAIS Act, and failure to comply with licensing condition 2.1 of the Licensing Conditions.

This Notice is called the Notice on Provisional Withdrawal of Authorisation, No 3 of 2011.

**G E ANDERSON***Deputy Registrar of Financial Services Providers*

BOARD NOTICE 138 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
African Investments Consulting (Pty) Ltd t/a African Investments	26851	28 July 2011	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and section 19(2)(b)(iii) of the FAIS Act
Alpine Seat CC	27224	10 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Baobab Underwriting And Financial Services (Pty) Ltd	4409	10 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Botha & Deyssel Motors Boksburg (Pty) Ltd	23772	28 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
CBM&M Ins. Broker CC	12698	29 July 2011	Contravention of section 15A of the FSB Act
Du Plessis Compton Consulting CC	13246	10 August 2011	Contravention of section 9(1) and (2) part ix of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.
FH De Kock Insurance Brokers CC t/a Prosure	2219	28 July 2011	Contravention of sections 8, 17(4) and 19(2)(b)(iii) of the FAIS Act
Frederick Leketi t/a Freddy Leketi Financial Services	16091	27 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Fugio Financial Services (Pty) Ltd	15644	27 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Mocke Makelaarsdienste CC t/a Johan Mocke Makelaars CC	4321	10 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lawrence Macha Makola t/a Don Financial Services	9209	28 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Marie Elizabeth Humphries t/a Sapcor FS	13614	1 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Megaphase Trading 531 CC t/a Magic Direct	16999	28 July 2011	Contravention of section 15A of the FSB Act
Mogamat Saleigh Soeker t/a Mutualite Corporate Brokers	21731	28 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nape Frans Moholola t/a Moholola Insurance Brokers	31527	10 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nigel Krawczyk t/a Broker Solutions	252	10 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter Bernard Cramer	16158	22 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Profrisk CC	13796	1 August 2011	Contravention of section 15A of the FSB Act
Syvest Financial Close Corporation	4722	21 July 2011	Contravention of section 17(4) of the FAIS Act
Toits Motor Group (Proprietary) Ltd	18167	28 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wealth Connect (Pty) Ltd	31693	28 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 6 of 2011.

A handwritten signature in black ink, appearing to read 'G E Anderson', enclosed within a circular stamp or seal.

G E ANDERSON
Deputy Registrar of Financial Services Providers

BOARD NOTICE 139 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****REINSTATEMENT OF WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR REINSTATEMENT OF WITHDRAWAL
Aluta Trading CC t/a Guru Brokers	35128	19 July 2011	The Licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act")
Awen Financial Consulting CC	41364	28 July 2011	The Licensee has now complied with section 15A of the FSB Act
AZX Shortterm CC	25874	1 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Branch 14 Administrators (Pty) Ltd	39790	13 July 2011	The Licensee has now complied with section 15A of the FSB Act
Ericka Geldenhuys t/a Compricare	31129	10 August 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Everlasting Harvest Brokers CC	22280	28 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Evoplan t/a Evolution Planning	35547	15 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Fred Lovelock & Associates (Newcastle) CC t/a MSB	22405	22 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gezana Solomon Zitha t/a Esseneffe Brokers	23069	21 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Indequity Insurance Brokers Pty Ltd	2319	26 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Indomark (Pty) Ltd t/a Blue Chip Finance	20842	20 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ismail Ayob Consulting (Pty) Ltd t/a Iconsa	9711	10 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JM Busha Asset Managers (Pty) Ltd	730	15 July 2011	The Licensee has now complied with section 15A of the FSB Act
Johannes Jochemus Cilliers t/a Cilliers Brokers	11350	20 July 2011	The Licensee has now complied with section 15A of the FSB Act
Junior Mhlongo t/a Elangeni Investments	18432	22 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kondelelani Financial Services CC	40862	1 August 2011	The Licensee has now complied with section 15A of the FSB Act
Lucas Sizani Mgidi t/a Executive Solutions	18855	22 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla Peaceman Ntingi t/a Disc Insurance Brokers	24105	21 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbheki Nelson Mthembu t/a Mbheki & Associates Financial Services	39067	18 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Melusiwami Trading Enterprise CC t/a Intando Brokers	38391	28 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mirror Short Term Solutions (Pty)Ltd	32447	15 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokase Health Care Plan CC t/a Mokase Health Care Plan	36328	8 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Pinnafrica Insurance Limited	11677	4 August 2011	The Licensee has now complied with section 15A of the FSB Act
Rachelle Visagie t/a B & R Brokers	21774	22 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Savoy Investment Management Ltd	14650	1 August 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sekwati Peter Mahlatji	22749	20 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Swiss Re Life And Health Africa Limited	36311	2 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tiespro 177 (Pty) Ltd	41369	15 July 2011	The Licensee has now complied with section 15A of the FSB Act
Xoliswa Memani	24093	10 August 2011	The Licensee has now complied with sections 17(4) of the FAIS Act

This Notice is called the Notice on Reinstatement of Withdrawal of Authorisation, No 4 of 2011.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 140 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR SUSPENSION
Abdul Haq Khan t/a AH Khan & Company	5542	11 August 2011	Contravention of section 17(4) of the FAIS Act
Abrie Botha Makelaars BK	2150	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
AHC Brokers CC	5604	11 August 2011	Contravention of section 17(4) of the FAIS Act
Ahmed Bayat t/a AB Brokers	3893	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
AJ Burger Admin (Pty) Ltd t/a Thura Broker Services	4532	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alan Noise t/a Alan Noise & Associates	2754	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alex Bertram Cupido	30873	1 August 2011	Contravention of section 15A of the Financial Services Board Act ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alex Turpin Insurance Consultants CC	2713	11 August 2011	Contravention of section 17(4) of the FAIS Act
Am I Insured Brokers CC	17655	26 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Amalgamated Broker Consultants CC	3131	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ana Insurance Brokers CC	1094	11 August 2011	Contravention of section 17(4) of the FAIS Act

Anglo Scottish Management CC t/a Cell Master International	1226	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Anilkumar Gopallal Hurbans t/a AG Hurbans Investments	5319	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Dorasamy And Associates CC	5400	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
ARM Trust Insurance Brokers CC	5007	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arnold Rapp Financial Services CC t/a Computerised Pension Bureau	4695	11 August 2011	Contravention of section 17(4) of the FAIS Act
Arnot Acker Financial Services t/a Acker Financial Services	378	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Assenburg Financial Advisors (Pty) Ltd	1183	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Augusta Brokers & Financial Services CC	18369	1 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B & C Management Services (Pty) Ltd	4248	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B.G. Accounting And Tax Services CC	1961	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Banie Tromp Makelaars BK	2275	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Barend Christoffel Pretorius t/a BP Korttermyn Makelaars	3102	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Jacobus Johannes Booyens t/a Jirah Health Care Advisors	3359	11 August 2011	Contravention of section 17(4) of the FAIS Act
Barinor Brokers (Pty) Ltd	4036	11 August 2011	Contravention of section 17(4) of the FAIS Act
Bastion Wealth Management (Pty) Ltd	883	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bear Vision Investment Services (Pty) Ltd	881	19 July 2011	Contravention of section 17(4) of the FAIS Act

Bensure Direct (Pty) Ltd	4352	11 August 2011	Contravention of section 17(4) of the FAIS Act
Bhabhas Insurance Agencies CC	4120	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bitline SA 728 CC t/a PS Solutions	4796	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Black Swan Consulting (Pty) Ltd	5337	11 August 2011	Contravention of section 17(4) of the FAIS Act
Bobby Hayes & Associates CC	4795	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Boikanyo's Funeral Home CC	3886	11 August 2011	Contravention of section 19(3) of the FAIS Act
Bolus And Bolus Investments (Pty) Ltd t/a Bolus & Bolus	36823	19 July 2011	Contravention of section 17(4) of the FAIS Act
Boris Shatz Insurance Brokers (Pty) Ltd	4364	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BPS Insurance Broker	3022	11 August 2011	Contravention of section 17(4) of the FAIS Act
Brishmaer & Associates Financial Services CC	26071	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Broker4uCC	5522	11 August 2011	Contravention of section 17(4) of the FAIS Act
Brother Joseph Insurance Brokers	3791	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bryant Henry Howarth t/a Bryant Howarth Insurance Brokers	1627	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Building Credit Management CC	2568	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bunchieparsadh Bhagirathi t/a Elite Insurance Brokers	2852	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Capital Alternates CC	4155	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Capstone Financial Services (Pty) Ltd	1097	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Cetro CC t/a Medfin	3870	11 August 2011	Contravention of section 17(4) of the FAIS Act
Charl Ettiene La Grange t/a La Grange And Associates	3844	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christoff Tiedt	4516	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christoffel Hermanus Combrinck t/a EC Accountants	4251	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Clucasgray Limited	21117	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Colosseum Consulting (Pty) Ltd	4717	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Conduit Fund Managers (Proprietary) Limited	30299	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Corpfin (Pty) Ltd	2401	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Craig Paul Gillot t/a Craig Gillot & Associates	1472	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
C-Sure Underwriting Managers (Pty) Ltd	2712	11 August 2011	Contravention of section 17(4) of the FAIS Act
Cyclesure Underwriting Managers (Pty) Ltd	3262	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dai-To Financial Services (Pty) Ltd	3819	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danapalan Pillay t/a Bala Pillay & Associates	5104	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dekit	3170	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Deon John Roberts t/a Deon Roberts Insurance Brokers	3877	11 August 2011	Contravention of section 17(4) of the FAIS Act
Deon Peter Hauptfleisch t/a Randplan Financial Services	7960	14 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dewald Bosman Bemarking BK	4986	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Diajal Investment CC t/a Rajeeth Mewalall & Company	4115	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
DM Monageng Brokers CC	5593	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Docmatix One (Pty) Ltd t/a Afrisure Financial Services	2859	11 August 2011	Contravention of section 19(3) of the FAIS Act
Dolphin Coast Taxi & General Insurance Brokers CC	1634	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dolphin Insurance Brokers	4819	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Du Rand, Jurgens And Associates (Pty) Ltd	3986	11 August 2011	Contravention of section 17(4) of the FAIS Act
DV Moodley Financial Consultants CC	2262	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EA Bray t/a Liz Bray Insurance Consultant	1915	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ebrahim Essopjee t/a Essopjee Insurance Brokers	4148	11 August 2011	Contravention of section 17(4) of the FAIS Act
Elmarie Warrington CC t/a Warrington Brokers	2277	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Embassy Financial Services CC	2853	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ensemble Trading 2285 CC t/a ECKZN Medical And Financial Advisors	4283	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Equitybridge Capital (Pty) Ltd	36418	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Exol Tech CC	4977	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Family Funeral Services Empangeni Trust t/a Family Funeral Services	4539	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Financial And Corporate Service CC	5481	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Forecast Investments (Pty) Ltd	16744	19 July 2011	Contravention of section 17(4) of the FAIS Act

Fulcrum Investments CC t/a Fulcrum	5478	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Futurity Wealth Management (Pty) Ltd	811	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
FVH National Investment & Insurance Brokers CC	5315	11 August 2011	Contravention of section 17(4) of the FAIS Act
Gabriela Frey t/a Gaby Frey And Associates	3320	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Galeboe Gabriel Mmolotsane t/a Mmolotsane Funeral Services	2799	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gary Maharaj & Associates CC t/a Gary Maharaj & Associates	3985	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gauteng Funeral Services CC	3275	11 August 2011	Contravention of section 17(4) of the FAIS Act
General Union (Pty)Ltd	3612	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
General Union Insurance Brokers (Pty) Ltd	3615	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gert Van Niekerk Makelaars BK	4061	11 August 2011	Contravention of section 17(4) of the FAIS Act
Gertha Jacoba Olivier t/a Reka Brokers	3178	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon William Raath	3937	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
GL Broking Enterprises CC t/a Gary Leech Insurance Brokers	1691	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Glendee Insurance Brokers CC t/a Mani Chetty & Associates	5602	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
GM Flint t/a Flinsure	9987	15 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Gopaul Gengaya Naidoo t/a Options Plus	5060	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Grant Thornton Eastern Cape CC	5441	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Greeff t/a Penjabernic Investment (Pty)Ltd	4931	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gregory Malcolm Taylor t/a Greg Taylor & Associates	464	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
H Holder	3166	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Haasbroek And Associates CC	3892	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hannes Le Grange Insurance Brokers CC t/a Hannes Le Grange Insurance Brokers	4692	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Harwood Glover And Associates t/a HGH CC	2732	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hauptfleisch And Kotze Inc.	1889	11 August 2011	Contravention of section 17(4) of the FAIS Act
Heckmar Brokers Trust	351	11 August 2011	Contravention of section 17(4) of the FAIS Act
Heinrich Viljoen t/a Pegasus Brokers	2678	11 August 2011	Contravention of section 17(4) of the FAIS Act
Helen Catherine Steyn t/a Ultra Insurance Brokers	5435	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Himat Bhoola Govind t/a Govindsons Insurance Brokers	5442	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Infinitum Holdings (Pty) Ltd	19311	19 July 2011	Contravention of section 17(4) of the FAIS Act
Inkanyiso Consulting CC	12139	15 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insinger De Beaufort Investments (S.A.) (Pty) Ltd	928	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Insurance And Medical Aid Consultants (Pty) Ltd	4219	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insured Portfolio Management CC t/a Portfolio Group	2297	11 August 2011	Contravention of section 17(4) of the FAIS Act

Intelligent Asset Minders CC t/a Finbofs.Com	322	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Intembeko Investments CC t/a Benzwide Financial Services	5459	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Investment Management Services CC	3438	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ja Vieira t/a Ja Vieira Brokers	1874	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Christian Philippus De Jager t/a Kobus De Jager Makelaars	5194	11 August 2011	Contravention of section 17(4) of the FAIS Act
Jag Investments (Pty) Ltd	21399	19 July 2011	Contravention of section 17(4) of the FAIS Act
Jan De Bruin Bornman	4776	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jayant Mohan	2171	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jeevaroshan Pillay t/a Professional Financiers	3984	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jeffrey Paul Meiring t/a Meiring & Associates	5266	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Joba Motors BK	15594	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Barnard Makelaars	7132	19 July 2011	Contravention of sections 4(2), 8(1) and 17(4) of the FAIS Act
Johan De Villiers t/a Divvie De Villiers Makelaars	2991	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Lingenfelder Versekerings Adviseurs (Edms) BPK	2365	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Van Den Heever Makelaars BK	5021	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johann Petrus Van Tonder t/a Martiq Makelaars	4318	11 August 2011	Contravention of section 17(4) of the FAIS Act
Johannes Andreas Van Der Westhuizen t/a NGM Brokers	4188	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

John William Bruce Stewart	729	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jothea Makelaars BK t/a JTM	3757	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Joukar Makelaars CC	2248	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
JS Evans t/a Evans And Company	5533	11 August 2011	Contravention of section 17(4) of the FAIS Act
Jurie Wessels	5349	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
K Evans & Associates CC	3504	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kagiso Ventures Limited	16756	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Katlego Insurance Brokers (Pty) Ltd	160	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khalid Rajab t/a Ahimsa Insurance Brokers	4592	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kirtikant Kapilray Desai t/a D&D Insurance Brokers	5499	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kortland Insurance Brokers CC	1567	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kuehne & Nagel (Pty) Ltd t/a Kuehne & Nagel	3079	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kutullo Consulting CC t/a Clear Brokers	2375	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
L Reed & Ass. C.C.	3810	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lebowa Funeral Parlour CC	4055	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and 19(3) of the FAIS Act
Leslie B Maharaj Insurance Brokers CC	3387	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Luke Peter Dillon	11669	14 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

M A Kolia And Associates (Pty) Ltd	102	11 August 2011	Contravention of section 17(4) of the FAIS Act
M.C. Sithole Financial Services CC t/a Africa Insurance Brokers	5549	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Macquarie First South Advisers (Pty) Ltd	1794	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mahomed Idris Husain	3972	11 August 2011	Contravention of section 17(4) of the FAIS Act
Mainet Makelaars BK	2562	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makoskas Consultancy CC t/a Sue's Funeral Scheme	3510	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and 19(3) of the FAIS Act
Maksprow Vier (Edms) BPK t/a Greyling Broers Toyota	24068	21 July 2011	Contravention of sections 17(1), 17(4) and 19(2)(b)(iii) of the FAIS Act
Manatha Insurance Brokers CC	982	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Margaret Leask t/a Margaret Leask & Associates	5382	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Maria Magdeline Koapeng t/a MM Koapeng Brokers	907	11 August 2011	Contravention of section 17(4) of the FAIS Act
Mariaan Botha Makelaars	5407	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marius Bezuidenhout t/a MB Financial Services	3420	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marthinus Alexanders Badenhorst t/a Sound Financial Solutions	4107	11 August 2011	Contravention of section 17(4) of the FAIS Act
Mary-Lynne Geyle	1022	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Maryna Elizabeth Fritz t/a Maryna Fritz Financial Brokers	5206	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Masocha Johannes Bvuma t/a MJ Bvuma Brokers	4626	14 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mathilda Cecelia Heine	3903	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maziya Funeral CC	3676	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
MD Prins Insurance Brokers CC	1524	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Megaphase Trading 32 CC t/a Cinnamon Assets	1948	11 August 2011	Contravention of section 17(4) of the FAIS Act
Mergen Naidoo t/a M Naidoo & Associates	4630	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Gregory Devereux t/a Michael Devereux Brokers	2617	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Midnight Ice Investments CC t/a Genesis Financial Services	183	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mistri Financial Services CC	2023	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mmeli Peterson Ndlovu t/a Ingonyama Financial Services	30684	20 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Money Box Investments 96 (Pty) Ltd t/a MBI	28812	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moneyline 187 (Pty) Ltd t/a Fredsure Insurance Brokers	12374	14 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moses Jacobus Fortuin t/a MJ Fortuin Financial Services	3296	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moses Mtshali t/a Moses Mtshali Insurance Brokers	25371	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ms BL Seymour t/a Stonebridge Investment Services	1546	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
MSV Wealth Management (Pty) Ltd	40154	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mufaro Employee Benefits (Pty) Ltd	4534	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Mykaprops CC	4040	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
N De Klerk Insurance Brokers (Pty) Ltd	4231	11 August 2011	Contravention of section 17(4) of the FAIS Act
Nacora Insurance Brokers (Pty) Ltd	1135	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Neelmar Eiendomme CC t/a Homenet Middelburg	4433	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Johannes Hanekom t/a Multi Prof Makelaars	5267	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nicolaas Christiaan Havenga t/a Nico Havenga Makelary	2058	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Davids t/a Saamwerk Begrafnis Skema	11387	1 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nirvesh Financial Services CC	4701	11 August 2011	Contravention of section 17(4) of the FAIS Act
NJ Pieterse t/a Nicmar Makelaars	4359	11 August 2011	Contravention of section 17(4) of the FAIS Act
Normak Financial PlannersCC t/a Normak Financial Planners	4718	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Normann Meyer	1295	11 August 2011	Contravention of section 17(4) of the FAIS Act
Northern Estate & Trust Administrators (Pty) Ltd t/a NETA	3940	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nozicelo Abrahams	33798	1 August 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Offshore Brokers CC t/a Tri-Care Brokers	5000	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Olive Amali Teresa Hugo t/a Tessa Hugo Insurance Broker	5040	11 August 2011	Contravention of section 17(4) of the FAIS Act
Oos Vrystaat Kaap Bedryf Beperk t/a OVK	909	11 August 2011	Contravention of section 17(4) of the FAIS Act

P M I Brokers CC	2505	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pan-African Investment And Research Services (Pty) Ltd	22653	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Paradigm Risk Consultants (Pty) Ltd	4518	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Dumsane Mbatha	23591	14 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PD Kidson Insurance Consultants CC	4023	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peet Van Der Linde Makelaars BK	5193	11 August 2011	Contravention of section 17(4) of the FAIS Act
Penta Plan CC	5279	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter Berry Trust Services (Pty) Ltd	696	19 July 2011	Contravention of section 17(4) of the FAIS Act
Peter John Farham t/a P & E Brokers	5381	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Philippa Cowen t/a Perspective Risk Managers	4448	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
PJH Brokers CC	2672	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Platinum Makelaars CC	2854	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Popie Christina Nkosi t/a PC Brokers	4485	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Port City Insurance Brokers CC	2648	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Porto Agencies CC t/a Transvaal Insurance And Finance Brokers	4784	11 August 2011	Contravention of section 17(4) of the FAIS Act
Praeto Trading CC	1457	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pretscheme Brokers CC t/a Pretkor	1035	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Prime Investment Management Services (Pty) Ltd	20293	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Professional Insurance Brokers CC	433	11 August 2011	Contravention of section 19(3) of the FAIS Act
Progress Administrators (Pty) Ltd	4519	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prostart Investment (Pty) Ltd t/a Corporate Solution	1900	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Quarten Investments CC t/a Jooma Financial Brokers	4022	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quattro Financial Services (Pty) Ltd	17044	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ram International Insurance Brokers (Pty) Ltd	77	11 August 2011	Contravention of section 17(4) of the FAIS Act
Rambharos Insurance Brokers CC	2975	11 August 2011	Contravention of section 17(4) of the FAIS Act
Rassie Erasmus BK t/a Maxi Makelaars	2338	11 August 2011	Contravention of section 17(4) of the FAIS Act
Raymond Bongani Mkhize	5131	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Reichard Samuel Jacobs	15720	14 July 2011	Contravention of sections 8(7)(a) and (b), and 17(4) and 19(2)(b)(iii) of the FAIS Act
Renette Gous t/a Tru-Med	3575	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Resolution Underwriters (Pty) Ltd	4710	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Resolutions Asset Management (Pty) Ltd	40107	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Reynard Casper Van Wyk t/a Financial Planning Solutions	5061	11 August 2011	Contravention of section 17(4) of the FAIS Act
Rhee-Sure Life CC t/a Rhee-Sure Brokers	5330	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ricardo Gillmer t/a Gilric Insurance & Investments	13816	19 July 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Richard Gavin Hardres-Williams t/a G Hardres-Williams & Associates	4735	11 August 2011	Contravention of section 17(4) of the FAIS Act
Richard Grubb & Associates CC t/a RGA	2478	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Risk Planning Services (Pty) Ltd	5610	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rita Abraham Insurance Brokers CC t/a SA Insurance Brokers	4728	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robson Finance CC	4454	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Romecco Insurance Brokers CC	1489	11 August 2011	Contravention of section 17(4) of the FAIS Act
Rosmead Investment Consultants (Pty) Ltd	2321	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and 19(3) of the FAIS Act
Runell Theart t/a Runell Theart Makelaars	4921	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rushforth, King & Associates	1755	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Russellstone Fund Managers (Pty) Ltd t/a Russellstone Fund Managers (RSFM)	12922	19 July 2011	Contravention of section 17(4) of the FAIS Act
Ryan Naidoo & Associates CC t/a Roadsurre Brokers	3297	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
S and K Dintwe Funerals CC	4759	11 August 2011	Contravention of sections 17(4) and 19(3) of the FAIS Act
Safire Insurance Company Limited	2092	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SANMAF (Suid Afrikaanse Nasionale Makelaars Firma) t/a SANMAF	3847	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sasfin Asset Managers (Pty) Ltd	21664	19 July 2011	Contravention of section 17(4) of the FAIS Act
Satisfaction Guaranteed Insurance Brokers CC	3915	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sera Poo Funeral Services (Pty) Ltd	4427	11 August 2011	Contravention of sections 17(4) and 19(3) of the FAIS Act

SH Boissier Financial Broking Services (Short Term) CC	1205	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shanta Mannie t/a Shanta Mannie And Associates	3579	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Share Legend (Pty) Ltd	377	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Shawn Jacques Goosen t/a Goosen Brokers	3869	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Shepherd & Freel CC	473	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Silas July Mabasa t/a SJ Mabasa Investment Portfolio Services	1917	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Siphiwo Ethwell Potgieter Mfeketo t/a Champions Insurance Brokers Services	2245	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sisa William Giyose t/a SW Giyose Insurance Consultants	3563	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sisonke Risk Solutions (Pty) Ltd	1588	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Smit Vlok & Company	3276	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Smutsloh BK	2790	11 August 2011	Contravention of section 17(4) of the FAIS Act
Soobramoney Arumugam Moodley	16560	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sophia Regina Browne t/a Lets Trade (Boston)	4208	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and 19(3) of the FAIS Act
Stalker Hutchison Admiral (Pty) Ltd	2167	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Stan Heller & Company (Pty)Ltd	279	11 August 2011	Contravention of section 17(4) of the FAIS Act
State Bank Of India	4282	11 August 2011	Contravention of section 17(4) of the FAIS Act
Status Insurance Brokers (Pty) Ltd	2278	11 August 2011	Contravention of section 17(4) of the FAIS Act

Steel Mac Properties CC t/a Century 21 Group	3998	11 August 2011	Contravention of section 17(4) of the FAIS Act
Sunset Insurance Brokers CC	1429	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Superior Quality Trading 513 CC	10870	28 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sure One Managers (Pty) Ltd	5071	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Table Bay Financial Services CC	3330	11 August 2011	Contravention of section 17(4) of the FAIS Act
Tebello Maureen Serialong Lerakong t/a Poloko Funeral Parlour	3310	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Theunis Jakobus Petrus Henning t/a TJ Henning Insurance Services	3993	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thiravangidvm Subroyen Pillay t/a Prekist Insurance Brokers	1220	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Timothy Richard Mbalo t/a TR Insurance Brokers	19754	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Toni Van Zyl Finansiele Dienste BK	181	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Umnchwabo After Tears Funeral Cover (Pty) Ltd	26045	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Unathi Funeral Services CC	5518	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and (3) of the FAIS Act
Vally Asset Management (Pty) Ltd	749	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Van Der Merwe & Reyneke Finansiele Dienste (Edms) Bpk t/a Multilink	2972	11 August 2011	Contravention of section 17(4) of the FAIS Act
Van Zyl Dippenaar t/a Van Zyl Dippenaar Short Term Insurance Broker	4560	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Venske And Associates (Pty) Ltd t/a Equifin	21425	19 July 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Venter-Wells Insurance Brokers	5201	11 August 2011	Contravention of section 17(4) of the FAIS Act
Vijendra Gangaram Lalloo t/a V G Lalloo Brokers	4152	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vinash Harry Ragunanan t/a West End Insurance Brokers	3220	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vrystaat Koöperasie Beperk t/a VKB	4813	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Wa Nel t/a Bushy Nel Makelaars	2241	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Watson Incorporated (Pty) Ltd	4807	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Wayne Rosenberg	15976	19 July 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Wealth Arena t/a Colosseum Consulting	4716	11 August 2011	Contravention of section 17(4) of the FAIS Act
Welma Jardim Beleggingsdienste CC	1017	11 August 2011	Contravention of section 17(4) of the FAIS Act
Wendy Pohl Associates CC	2659	11 August 2011	Contravention of section 17(4) of the FAIS Act
Willem Hendrik Marais t/a Willie Marais Brokers	2163	11 August 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Willem Van Der Merwe Oosthuizen	2783	11 August 2011	Contravention of section 17(4) of the FAIS Act
Winston Insurance Brokers CC	16029	21 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Yusuf Cassim Jamal t/a Amsoom Insurance Brokers	12771	14 July 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zaailaager Funeral Services CC	4444	11 August 2011	Contravention of sections 17(4), 19(2)(b)(iii) and (3) of the FAIS Act
ZD Financial Service CC	2615	11 August 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 6 of 2011.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 141 OF 2011**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****LIFTING OF SUSPENSION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Aaron Mkoto t/a Mkoto Brokers	34743	10 August 2011	The Licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Afrocentric Consulting CC	42254	2 August 2011	The Licensee has now complied with sections 17(1) and (4) and 19(2)(b)(iii) of the FAIS Act
Bear Vision Investment Services (Pty) Ltd	881	26 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Bissett Insurance Consultants CC	40865	2 August 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Bolus And Bolus Investments (Pty) Ltd t/a Bolus & Bolus	36823	22 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Bongani Brian Mkhize t/a B.B. Mkhize Brokers	22995	25 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BPW Grobler Investments CC t/a Grobler Auto	19261	8 August 2011	The Licensee has now complied with sections 17(1) and (4) and 19(2)(b)(iii) of the FAIS Act
Brishmaer & Associates Financial Services CC	26071	22 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
C Tossel Versekeringsmakelaar BK	12931	18 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Clucasgray Limited	21117	19 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Daniel Jacobus Thiar t/a Danie Thiar Makelaars	8985	21 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Elliot Mandlenkosi Labi	22199	25 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Everest House Insurance And Investment Brokers CC	7268	18 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hans Jurie Mason t/a Nel City Brokers	6441	26 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Himesh Sewsunker t/a Himesh Sewsunker & Associates	39812	27 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Indigo Short-Term Insurance Brokers (Pty) Ltd	36761	8 August 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Insinger De Beaufort Investments (S.A.) (Pty) Ltd	928	19 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Irhuluphelo Funeral Services (Pty) Ltd t/a Alternative Funeral Solutions	25074	28 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kagiso Ventures Limited	16756	1 August 2011	The Licensee has now complied with section 19(3) of the FAIS Act
Karibu Healthcare Services CC	16382	19 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Lesejana David Mekgoe	21884	25 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Macquarie First South Advisers (Pty) Ltd	1794	8 August 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Maria Magdeline Koapeng t/a MM Koapeng Brokers	907	11 August 2011	The Licensee has now complied with section 17(4) of the FAIS Act

Marius Bezuidenhout t/a MB Financial Services	3420	25 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mary-Lynne Geyle	1022	25 July 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mmeli Peterson Ndlovu t/a Ingonyama Financial Services	30684	27 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mohamed Ally Joosab Noor Mahomed t/a Mahomed & Associates	2108	15 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Murati Brokers CC	38881	10 August 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Narendra Persadh t/a Naren Persadh & Associates	12231	22 July 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
New Horizons Financial CC	19904	1 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nulane Trading (Pty) Ltd t/a FMS Insurance Brokers	30386	10 August 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pan-African Investment And Research Services (Pty) Ltd	22653	1 August 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Peter Berry Trust Services (Pty) Ltd	696	20 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Pieter Gerhardus Vermaak Rademeyer t/a Winterberg Brokers	16129	18 July 2011	The Licensee has now complied with section 15A of the FSB Act
Resolutions Asset Management (Pty) Ltd	40107	27 July 2011	The Licensee has now complied with sections 19(2)(b)(iii) and 19(3) of the FAIS Act
Rockett Insurance Brokers CC	10064	27 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Russellstone Fund Managers (Pty) Ltd t/a Russellstone Fund Managers (RSFM)	12922	26 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act

Sasfin Asset Managers (Pty) Ltd	21664	21 July 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Share Legend (Pty) Ltd	377	5 August 2011	The Licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
The Business Zone 44 CC t/a Magentheren Rajoo Naidoo	20854	1 August 2011	The Licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Overcomer Financial Services CC	40336	19 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thomas Kenneth Henry t/a Sun Financial Services	40022	27 July 2011	The Licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thornbush Financial Services CC	38406	10 August 2011	The Licensee has now complied with section 17(4) of the FAIS Act
Trucorp CC t/a Tru Rays	27155	10 August 2011	The licensee has now complied with section 8(1) of the FAIS Act
ZB Management And Financial Services CC	20686	18 July 2011	The Licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 6 of 2011.



G E ANDERSON

Deputy Registrar of Financial Services Providers