

GOVERNMENT GAZETTE
Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 561

Pretoria, 30 March
Maart 2012

No. 35196

IMPORTANT NOTICE

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BOARD NOTICES

BOARD NOTICE 46 OF 2012**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****NOTICE ON EXEMPTION OF JM HORSFALL, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (the Act), John Michael Horsfall (Horsfall) from section 17(1)(b) of the Act read with paragraph 3(1)(a) of the Notice on Qualifications, Experience and Criteria for Approval as Compliance Officer, 2010 (the Notice), subject to the condition that Horsfall must hold a qualification as referred to in paragraph 3(1)(a) of the Notice by 31 December 2013.

The exemption and condition may at any time be amended by the Registrar by notice in the *Gazette* and be withdrawn by the Registrar in like manner.

This Notice is called the Notice on Exemption of JM Horsfall, 2012, and comes into operation on the date of publication of this Notice in the *Gazette*



G E ANDERSON
Deputy Registrar of Financial Services Providers

BOARD NOTICE 47 OF 2012**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON
Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, 2012****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

"qualification requirements" means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Raymond Kenneth Stanley Steyn	Must comply with the qualification requirements by 31 January 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Oupa Samuel Mokgosi	Must comply with the qualification requirements by 31 January 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 3 of 2012, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 48 OF 2012**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

- (a) Lloyd Lingeveldt
- (b) Maria Sophia Bosman
- (c) John Michael Horsfall

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 3 of 2012, and comes into operation on the date of publication in the *Gazette*.



G E ANDERSON
Deputy Registrar of Financial Services Providers
