



# Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA  
REPUBLIEK VAN SUID-AFRIKA

Vol. 568

Pretoria, 1 October 2012  
Oktober

No. 35725

*N.B. The Government Printing Works will not be held responsible for the quality of "Hard Copies" or "Electronic Files" submitted for publication purposes*



**AIDS HELPLINE: 0800-0123-22 Prevention is the cure**

**IMPORTANT NOTICE**

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

**CONTENTS • INHOUD**

<i>No.</i>		<i>Page No.</i>	<i>Gazette No.</i>
<b>BOARD NOTICES</b>			
154	Financial Advisory and Intermediary Services Act, 2002: Financial Services Board: Particular exemption from fees payable to Registrar, 2012.....	3	35725
155	do.: do.: Exemption of particular persons from qualification requirements, 2012 .....	4	35725
156	do.: do.: Exemption of particular persons from the Level 1 Regulatory Examination, 2012 .....	6	35725

---

## BOARD NOTICES

---

**BOARD NOTICE 154 OF 2012  
FINANCIAL SERVICES BOARD  
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

**PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

- (a) Willem Frederick Nel
- (b) Tyron Shaun Foreman
- (c) Maria Simitopoulos
- (d) Neale Pringle Anderson
- (e) Natalie Mary Dearham
- (f) Elizabeth Jessica Marshall
- (g) Dianne Horwitz
- (h) Leon Gouws
- (i) Isaac Selby Peete
- (j) Jogie Jayesh
- (k) Willem Roderick James Meintjies
- (l) Marié Enslin
- (m) Lucas Segone
- (n) Rodney Selwyn Groenewald
- (o) Piers John Michael Burton-Moore
- (p) Brian John Preston
- (q) Francis Joseph Manson-Kullin
- (r) Petrus Stephanus Strydom
- (s) Gareth Cecil Rees-Gibbs
- (t) Ernst Johan Volker
- (u) Terence Charles Waller
- (v) William Moorcroft
- (w) Theo-Maree Loubser
- (x) Ernst Johan Volker
- (y) Roy John Kirby
- (z) Ramond Thabo Refiloe Erasmus
- (aa) Tracy Jane van der Hoven
- (bb) Johannes Frans Jacobus Hattingh
- (cc) Johannes Jurgens Henrico

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 5 of 2012, and comes into operation on the date of publication in the *Gazette*.



**G E ANDERSON**  
*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 155 OF 2012****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS, 2012****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**"qualification requirements"** means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Suresh Babu Setty	Must comply with the qualification requirements by 31 December 2013.

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Johannes Frans Jacobus Hattingh	Must comply with the qualification requirements by 31 December 2012.
Tracy Jane van der Hoven	Must comply with the qualification requirements by 31 December 2012.
Raymond Thabo Refiloe Erasmus	Must comply with the qualification requirements by 31 December 2013.
Johannes Jurgens Henrico	Must comply with the qualification requirements by 31 December 2012.

### **Amendment and withdrawal of Exemption**

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### **Short title and commencement**

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 6 of 2012, and comes into operation on the date of publication in the *Gazette*.

**BOARD NOTICE 156 OF 2012****FINANCIAL SERVICES BOARD  
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY  
EXAMINATION, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY  
EXAMINATION, 2012****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**'level 1 regulatory examination'** means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
Willem Frederick Nel	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Tyron Shaun Foreman	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Maria Simitopoulos	Must successfully complete the relevant first level regulatory

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
	examination by 31 December 2012.
Neale Pringle Anderson	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Elizabeth Jessica Marshall	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Natalie Mary Dearham	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Dianne Horwitz	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Isaac Selby Peete	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Leon Gouws	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Rosalind Naidoo	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Virginia Shireen Govender	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jeewan Harribanse	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jody Ann Williams	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Natasha Naidoo	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Rachel Hariparsad	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jamie Gangaparsad	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Thamaray Van Staden	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Noshile Buthelezi	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Jogie Jayesh	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Robert Kay	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Marié Enslin	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Mark Peter Halamandres	Must successfully complete the relevant first level regulatory examination by 31 January 2013.
Lucas Segone	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Manuel Minnaar	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Piers John Michael Burton-Moore	Must successfully complete the relevant first level regulatory examination by 30 October 2014.
Brian John Preston	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Francis Joseph Manson-Kullin	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Petrus Stephanus Strydom	Must successfully complete the relevant first level regulatory examination by 28 February 2014.
Gareth Cecil Rees-Gibbs	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Ernst Johan Volker	Must successfully complete the relevant first level regulatory examination by 31 March 2013.
Terence Charles Waller	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Valerie Mariah	Must successfully complete the relevant first level regulatory

<b>TABLE A</b>	
<b>COLUMN ONE Applicant</b>	<b>COLUMN TWO Conditions</b>
	examination by 31 December 2012.
Theo-Maree Loubser	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
William Moorcroft	Must successfully complete the relevant first level regulatory examination by 31 December 2012.

### **Amendment and withdrawal of Exemption**

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### **Short title and commencement**

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 2 of 2012, and comes into operation on publication in the *Government Gazette*.

Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001  
 Publications: Tel: (012) 334-4508, 334-4509, 334-4510  
 Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504  
 Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737  
 Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001  
 Publikasies: Tel: (012) 334-4508, 334-4509, 334-4510  
 Advertensies: Tel: (012) 334-4673, 334-4674, 334-4504  
 Subskripsies: Tel: (012) 334-4735, 334-4736, 334-4737  
 Kaapstad-tak: Tel: (021) 465-7531