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## BOARD NOTICES

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### BOARD NOTICE 162 OF 2012

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

#### AMENDMENT OF THE GENERAL EXEMPTION: LEVEL 1 REGULATORY EXAMINATION, 2012

I, German Emanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby under paragraph 3(a) of the General Exemption: Level 1 Regulatory Examination, 2012, amend that Exemption to the extent set out in the Schedule.



**G E Anderson**  
Deputy Registrar of Financial Services Providers

#### SCHEDULE

#### AMENDMENT OF THE GENERAL EXEMPTION: LEVEL 1 REGULATORY EXAMINATION, 2012

##### Definitions

1. In this Schedule-

“**the Exemption**” means the General Exemption: Level 1 Regulatory Examination, 2012, published by Board Notice 61 of 2012 in Government *Gazette* No. 35251 of 10 April 2012.

##### Amendment of the Exemption

2. Paragraph 2 of the Schedule to the Exemption is hereby amended by the substitution of-
  - (a) the dates “30 September 2012” in Column Three of Table A of the dates “31 March 2013”;
  - (b) the date “31 December 2012” in subparagraph (2) of the date “30 June 2013”; and
  - (c) the date “31 December 2012” in subparagraph (3) of the date “30 June 2013”.

##### Short title

3. This Notice is called the Amendment Notice of the General Exemption: Level 1 Regulatory Examination, 2012, and comes into operation on 30 September 2012.

**BOARD NOTICE 163 OF 2012****FINANCIAL SERVICES BOARD  
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****GENERAL EXEMPTION FROM CONTINUOUS PROFESSIONAL DEVELOPMENT  
REQUIREMENTS, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, financial services providers, key individuals and representatives from sections 7 and 10(5) of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008, until a date to be determined by the Registrar by notice in the *Gazette*.

This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

This Exemption is called the General Exemption from Continuous Professional Development Requirements, 2012, and comes into operation on date of publication in the *Gazette*.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 164 OF 2012****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002  
(ACT NO. 37 OF 2002)****AMENDMENT OF THE EXEMPTION OF CERTAIN PERSONS FROM THE LEVEL 1  
REGULATORY EXAMINATION REQUIREMENTS, 2012**

I, German Emanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby under paragraph 3(a) of the Exemption of Certain Persons from the Level 1 Regulatory Examination, 2012, amend that Exemption to the extent set out in the Schedule.



**G E Anderson**  
**Deputy Registrar of Financial Services Providers**

**SCHEDULE****AMENDMENT OF THE EXEMPTION OF CERTAIN PERSONS FROM THE LEVEL 1  
REGULATORY EXAMINATION REQUIREMENTS, 2012****Definitions**

1. In this Schedule-

“**the Exemption**” means the Exemption of Certain Persons from the Level 1 Regulatory Examination, 2012, published by Board Notice 102 of 2012 in *Government Gazette* No. 35422 of 6 June 2012.

**Amendment of the Exemption**

2. The Exemption is hereby amended by-

(a) the insertion in section 1 before the definition of “average” of the following definition:

“**assistance policy**” means an assistance policy as referred to in the definition of “Long-term Insurance subcategory A” in section 1(1) of the Determination of Fit and Proper Requirements;”

(b) the insertion in section 1 after the definition of “first level regulatory examination” of the following definition:

“**friendly society benefit**” has the meaning assigned thereto in section 1(1) of the Determination of Fit and Proper Requirements;”

(c) the substitution of paragraph (a) of the definition of “provider” in section 1 of the Exemption of the following paragraph:

“(a) a representative who is employed or mandated by an authorised financial services provider to render financial services in respect of a policy, a friendly society benefit and/or an assistance policy only;”, and

(d) the substitution of paragraph (b) of section 2 of the Exemption of the following paragraph:

“(b) must register the exemption with the Registrar in the prescribed form and manner, or applies for registration upon application for authorisation in case of an unauthorised financial services provider; and”.

### **Short title**

3. This Notice is called the Amendment Notice of the Exemption of Certain Persons from the Level 1 Regulatory Examination, 2012, and comes into operation on date of publication in the Gazette.
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