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# BOARD NOTICES

### **BOARD NOTICE 48 OF 2013**

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
AR Motors CC t/a AR Toyota	10244	25 October 2012	Contravention of section 8(4)(b) of the FAIS Act
Peak Asset Management (Pty) Ltd	8038	19 October 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Pearl Coral 1435 CC t/a United Vision Financial Services	40195	2 November 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sterling Alliance Financial Services t/a Sterling Alliance	42979	17 October 2012	Contravention of sections 8(1)(c), (4)(b) and 17(1) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 1 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

# **BOARD NOTICE 49 OF 2013**

# FINANCIAL SERVICES BOARD

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

# LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Dunvegan Wealth Management (Pty) Ltd	37122	15 October 2012	The licensee has now complied with section 19(2)(b)(iii) of FAIS Act
Ettienne Hugo Pio t/a Ettienne Pio Financial Services	37054	19 October 2012	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Fern Funerals CC	14821	15 October 2012	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Galhart Consulting CC	20134	15 October 2012	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("the FSB Act")
MCX Brokers CC	10041	31 October 2012	The licensee has now complied with section 15A of the FSB Act
Moremodiegisa Financial Services And Projects CC	40062	18 October 2012	The licensee has now complied with section 17(4) of the FAIS Act
Njabuloyethu Financial Services CC t/a Ventimes	39315	17 October 2012	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Piet Sethunya Mabogoane t/a PS Mabogoane Brokers	29408	16 October 2012	The licensee has now complied with section 15A of the FSB Act
Prinevin Naidoo t/a Dynamic Financial Services	40995	22 October 2012	The licensee has now complied with section 15A of the FSB Act
Samporele Financial & Advisory Services (Pty) Ltd	23682	23 October 2012	The licensee has now complied with section 17(4) of the FAIS Act

Samuel Olaf Noome t/a SN Insurance Brokers	37187	23 October 2012	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Sherotha Financial Services CC	35542	19 October 2012	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Thanduxolo Theophillus Sotapu	20418	30 October 2012	The licensee has now complied with section 15A of the FSB Act
Umndeni Insurance Brokers CC t/a Umndeni Insurance Brokers	41412	15 November 2012	The licensee has now complied with section 15A of the FSB Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 1 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 50 OF 2013**

# FINANCIAL SERVICES BOARD

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	F <b>SP NO</b> .	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
Angeline Busisiwe Rewu	42122	25 October 2012	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Big Brother Insurance Brokers CC t/a Reigate Trading	23133	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Blue Dot Funeral Home CC	42040	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Christo Bothma Financial Services CC	10986	2 November 2012	Contravention of section 8(1)(a) of the FAIS Act
Daily Double Trading 382 t/a Consumer Legal Protector	29702	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
EBFS Trust t/a EBFS	6959	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gaobusi Hendrik Kgakatsi t/a Gaobusi Kgakatsi	42398	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gqamfi Brokerage & General Suppliers CC	36421	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Helen Dimaketso More	41307	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HGIC Financial Coaching (Pty) Ltd t/a The Perfect Portfolio	35357	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Himesh Sewsunker t/a Himesh Sewsunker & Associates	39812	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Iningi Investments 162 (Pty) Ltd	40523	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Itumeleng Churchill Molusi t/a I C Molusi Marketing	15272	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JNB Makelaars BK	270	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Johannes Nel	41323	25 October 2012	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Joaquim Marques Mendes t/a JM Brokers	32125	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Johan Leodolf Swanepoel t/a Johan Swanepoel Brokers	8356	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
JVJ Financial Services CC	41541	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kenny's Mail Order CC t/a KMO Consultants	22823	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanyisile Bridget Mkize t/a KB Financial Brokers	30869	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
KTJ Financial Services CC	38760	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Lewis Financial Consulting CC	22207	17 October 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lucas Nkosinathi Zulu	35027	25 October 2012	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lwandle Wiseman Jikazi t/a LW Investment	3799	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MT Lokovhela Funeral Parlour CC	41172	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
ML Financial Services CC	38191	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madoda Mbulelo Samson	24676	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MC2 Asset Management CC	34466	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mduduzi Norman Mhlongo t/a Mgabhi Financial Services	40399	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Billy Moswane	41586	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Midstream Estate Insurance Brokers CC	42652	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokase Health Care Plan CC t/a Mokase Health Care Plan	36328	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Montshiwagae And Lepholletse Funeral Undertaker CC t/a Bathopele Funeral Parlour	25529	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Myriad Insurance Brokers CC	7422	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Ntoba Philemon Moatshe t/a NPM. Insurance Brokers	5627	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ontlohetse Simon Tau t/a Bataung Brokers	33591	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oranje Meganies (Pty) Ltd t/a Oranje Nissan	20148	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Petrus Kogana t/a Maranatha Funerals	23138	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Philson Financial Services CC	17190	17 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quelea Financial Services CC	15769	17 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rebone - Rivoni Burial Society CC t/a Rebone - Rivoni Funerals	42567	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
SE 40 Funeral Services CC	42224	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Segopotso Tiro Modise t/a National Burial Society	42033	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sicelithemba Funeral Services CC	42706	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Silverline Direct CC	42331	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siyakhula Health Brokers CC	18924	17 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Stonevest CC	29432	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Striving To Satistfy Trading And Projects 16 CC t/a 3T Financial Services	42895	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Subramanian Investments CC	1095	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sun-Sure Brokers CC	2645	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tabudi Insurance Brokers CC	10992	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tele Buzz CC	33331	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tembinkosi Elvis Majola t/a TE Financial Service Broker	25004	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabani Gama t/a Gama Funeral Services	9376	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tshikani Daniel Khosa	40892	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tsoepele Advisory Services CC t/a TAS Broker	2928	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Umduduzi Funeral Consultants CC t/a Umduduzi Funeral Home	6083	25 October 2012	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Vuyokazi Maka	42755	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

W2H Business Consulting CC	40058	25 October 2012	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Willem Jacobus Damons t/a Sanwill Brokers	16116	17 October 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Yvette Fourie t/a YF Brokers	11605	25 October 2012	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zorrow Business Enterprise CC	35329	25 October 2012	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 1 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

## **BOARD NOTICE 51 OF 2013**

# FINANCIAL SERVICES BOARD

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### **REINSTATEMENT OF WITHDRAWN LICENCES**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
AKKO Management (Pty) Ltd	38372	1 November 2012
Areaganeng Masakhane Funeral Home CC	13090	30 October 2012
Barclays International Fund Managers Limited t/a Barclays International Managed Funds	9720	24 October 2012
Debora Krause t/a D Krause Makelaars	7856	6 November 2012
Fourbay Wholesale CC	43283	12 October 2012
Future Capital Insurance Brokers CC	43303	18 October 2012
Galius Trading CC	28807	13 November 2012
Gemini Moon Trading 334 (Pty) Ltd t/a Marlin Consulting	31681	22 October 2012
GRW Commercials (Pty) Ltd t/a GRW Services	43697	1 November 2012
James Willem Masango t/a Union Insurance Brokers	7530	2 November 2012
Oberholzer 624 (Pty) Ltd t/a Slabbert Motors	18888	19 October 2012
Simarca Financial Brokers (Pty) Ltd	20214	15 October 2012
Siphiwo Ethwell Potgieter Mfeketo t/a Champions Insurance Brokers Services	2245	17 October 2012
Stephen John Hansen	7623	23 October 2012
Vinon Trading Enterprise CC t/a Vinon Financial Services	38779	22 October 2012

Vunani Portfolio Solutions (Pty) Ltd	872	19 October 2012

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 1 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

# **BOARD NOTICE 52 OF 2013**

## FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

## SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
12 Apostles Financial Admininistration CC	41417	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
21st Century Management Services (Pty) Ltd	42712	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Afric-Cover Insurance Brokers (Pty) Ltd	38746	13 December 2012	Contravention of section 17(4) of the FAIS Act
Alpha And Omega Healthcare Consultants CC	21577	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Alpha Makelaars BK	3494	13 December 2012	Contravention of section 17(4) of the FAIS Act
Alwyn Smit Finansiele Dienste BK	5399	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Amagutshwa Trading CC t/a Amagutshwa Financial Services	40826	13 December 2012	Contravention of section 17(4) of the FAIS Act
Amanda Geldenhuys	42830	13 December 2012	Contravention of section 17(4) of the FAIS Act
AMML Financial Services	26579	13 December 2012	Contravention of section 17(4) of the FAIS Act
Anand Maharaj & Associates CC	6486	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Annamarie Van Giesbergen t/a AVG Brokers	31905	10 December 2012	Contravention of section 17(4) of the FAIS Act
Arnot Acker Financial Services t/a Acker Financial Services	378	10 December 2012	Contravention of section 17(4) of the FAIS Act
Atisa Financial Services (Pty) Ltd	42570	13 December 2012	Contravention of section 17(4) of the FAIS Act
Atkinson Mills And Associates CC	17569	10 December 2012	Contravention of section 17(4) of the FAIS Act
Auric Financial Services (Pty) Ltd	22565	10 December 2012	Contravention of section 17(4) of the FAIS Act
Avocado Distribution Services (Pty) Ltd	21829	13 December 2012	Contravention of section 17(4) of the FAIS Act

Bank Of China Limited (Incorporated In China)	5444	13 December 2012	Contravention of section 17(4) of the FAIS Act
Batlou Investments CC	24358	13 December 2012	Contravention of section 17(4) of the FAIS Act
Benba Motors (Pty) Ltd t/a Korean Motors	22432	13 December 2012	Contravention of section 17(4) of the FAIS Act
Beyers Ras Finansiele Dienste CC	41689	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bloemfontein Underwriting Managers (Pty) Ltd	23541	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
BMLP Trading CC	37596	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bophelo Benefit Services (Pty) Ltd	23466	10 December 2012	Contravention of section 17(4) of the FAIS Act
BOZ Financial Services CC t/a Somadoda	38762	13 December 2012	Contravention of section 17(4) of the FAIS Act
Brainwave Projects 1937 CC t/a Communications 101	36554	13 December 2012	Contravention of section 17(4) of the FAIS Act
BS Ndaliso Investments CC	39783	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Buti Lucas Masango	7014	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Buyisiwe Junerose Gumede t/a Buyi's Financial Services	39421	13 December 2012	Contravention of section 17(4) of the FAIS Act
Caledonian Fund Services (South Africa) (Pty) Ltd	42802	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Calvin Louvie t/a Sizakala Insurance Consultants	24352	13 December 2012	Contravention of section 17(4) of the FAIS Act
Caris Matic Financial Services CC	35937	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Carol Ntombikayise Ndlovu t/a Carol Ndlovu Enterprise	29075	13 December 2012	Contravention of section 17(4) of the FAIS Act
Charl Ettiene La Grange t/a La Grange And Associates	3844	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Choice Of Life Financial Services (Pty) Ltd	43819	10 December 2012	Contravention of section 17(4) of the FAIS Act
Chris Ria Makelaars BK t/a Boland Makelaars	32297	10 December 2012	Contravention of section 17(4) of the FAIS Act
Christiaan George Theron t/a MCA Business Consultants	17300	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christopher Adams Life Assurance Brokers CC	16441	10 December 2012	Contravention of section 17(4) of the FAIS Act
Christopher Francis Coleman t/a Chris Coleman Insurance Brokers	37273	10 December 2012	Contravention of section 17(4) of the FAIS Act
Ciba Consulting CC	26387	13 December 2012	Contravention of section 17(4) of the FAIS Act

Circle Seven Trading 14 CC t/a Circle Seven Brokers	36695	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CJN Finansiele Dienste CC t/a Nel Makelaars	39304	13 December 2012	Contravention of section 17(4) of the FAIS Act
Corpsure (Pty) Limited	13223	10 December 2012	Contravention of section 17(4) of the FAIS Act
Cover Story Financial Services CC	13352	10 December 2012	Contravention of section 17(4) of the FAIS Act
Crossroads Brokers (Pty) Ltd	31070	13 December 2012	Contravention of section 17(4) of the FAIS Act
Curclo Investments CC t/a Fiscal Dynamics	20184	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daluxolo Ncetshana t/a Dntz Financial Services	16632	13 December 2012	Contravention of section 17(4) of the FAIS Act
David Briers t/a David Briers & Associates	36290	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
DCT Trading CC t/a Dodo Financial Brokers	38001	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dimension Life Administrators Sales CC	32769	13 December 2012	Contravention of section 17(4) of the FAIS Act
Diverse Strategic Global Consulting CC	7970	13 December 2012	Contravention of section 17(4) of the FAIS Act
Douglas Willson Florence	41468	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Dumisani McBride Socikwa	35281	13 December 2012	Contravention of section 17(4) of the FAIS Act
Emmanuel Cilliers t/a Manie Cilliers Brokers	12035	13 December 2012	Contravention of section 17(4) of the FAIS Act
Emzansi Business Enterprise CC t/a Emzansi Funeral Services	24507	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Engulf Financial Services CC	38582	13 December 2012	Contravention of section 17(4) of the FAIS Act
Equilibrium International Conferences CC	40046	13 December 2012	Contravention of section 15A of the Financial Services Board Act, 1990 ("the FSB Act")
Equistar Underwriting Managers (Pty) Ltd	22338	13 December 2012	Contravention of section 17(4) of the FAIS Act
Ermitage Asset Management Jersey Ltd	43273	10 December 2012	Contravention of section 17(4) of the FAIS Act
Eternal City Trading 578 CC	38924	13 December 2012	Contravention of section 15A of the FSB Act
Ettienne Anton Steyn	10481	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Exceed Private Client Services (Pty) Ltd	4635	10 December 2012	Contravention of section 17(4) of the FAIS Act

Excellect 36 CC	34813	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eyesure Consultants (Pty) Ltd	43360	10 December 2012	Contravention of section 17(4) of the FAIS Act
Ezimlombolombo Investments CC t/a Ezimlombolombo Financial Brokers	43245	10 December 2012	Contravention of section 17(4) of the FAIS Act
F And S Auto CC t/a F & S Auto	42655	13 December 2012	Contravention of section 17(4) of the FAIS Act
F I B O Financial Services CC	43155	13 December 2012	Contravention of section 17(4) of the FAIS Act
FBS Assistance (Pty) Ltd	10169	13 December 2012	Contravention of section 17(4) of the FAIS Act
Fantique Trade 1059 (Pty) Ltd t/a Specialist Group Risk Franchise	22741	10 December 2012	Contravention of section 17(4) of the FAIS Act
Financial Services Network CC	5218	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fortune Sibongakonke Mzelemu t/a Fortune Brokers	17758	13 December 2012	Contravention of section 17(4) of the FAIS Act
Frederik Cronje	11840	10 December 2012	Contravention of section 17(4) of the FAIS Act
Futureplans International CC	10297	13 December 2012	Contravention of section 17(4) of the FAIS Act
G & G Trust It 840/04 t/a Lazarus Services	38108	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
G Szemere CC t/a Manhattan Insurance Brokers	1548	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
GAN Investments CC	41674	13 December 2012	Contravention of section 17(4) of the FAIS Act
GBG 31 Investment CC	17261	10 December 2012	Contravention of section 17(4) of the FAIS Act
Gerber, Botha & Gowar Consultants (Cradock) CC	15200	10 December 2012	Contravention of section 17(4) of the FAIS Act
Gezani Ben Mboweni t/a Benevolent Insurance Brokers	15126	13 December 2012	Contravention of section 17(4) of the FAIS Act
Gladman Obrey Vukile Nkosenye Mlambo t/a Vukile Financial Brokers	16501	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gladman Vuyani Rashe t/a Rashe Financial Services	21911	13 December 2012	Contravention of section 15A of the FSB Act
Glenda Joy Rogers t/a Glenda Rogers & Associates	17127	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Goldex 77 (Pty) Ltd t/a Omega Financial Solutions	26312	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act

Grant Thornton Eastern Cape CC	5441	13 December 2012	Contravention of section 17(4) of the FAIS Act
Hafastec Consulting & Services CC	43051	13 December 2012	Contravention of section 17(4) of the FAIS Act
Hamilton Thomas Davidson t/a Ht Davidson & Associates	8279	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harnacks Brokers CC	36577	13 December 2012	Contravention of section 17(4) of the FAIS Act
Headline Financial Services (Pty) Ltd	6394	13 December 2012	Contravention of section 17(4) of the FAIS Act
Heinrich Ollewagen t/a Heinrich Ollewagen Financial Advisor	43131	10 December 2012	Contravention of section 17(4) of the FAIS Act
Helderberg Wealth Management (Pty) Ltd	39627	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hennox 92 CC t/a Nostradamus Consulting Services	22177	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Henry James Schamrel	11158	13 December 2012	Contravention of section 17(4) of the FAIS Act
Hexberg Motors (Pty) Ltd t/a Worcester BMW	22912	10 December 2012	Contravention of section 17(4) of the FAIS Act
Hilda Nomusa Ngema t/a HN Brokers	27889	13 December 2012	Contravention of section 17(4) of the FAIS Act
HV Makelaars BK	37265	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ignatius Mohan t/a Norman Mohan Insurance Brokers	32137	13 December 2012	Contravention of section 17(4) of the FAIS Act
Injobo Health Solution (Pty) Ltd	42818	13 December 2012	Contravention of section 17(4) of the FAIS Act
Intembeko Investments CC t/a Benzwide Financial Services	5459	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Irene Noswazi Ntombikayise Nzimakwe	24044	10 December 2012	Contravention of section 17(4) of the FAIS Act
Isaac Zwelibanzi Mbambalala t/a Ikes Brokers	24128	13 December 2012	Contravention of section 17(4) of the FAIS Act
Iziko Financial CC	21072	13 December 2012	Contravention of section 17(4) of the FAIS Act
JG Cronje Consultants CC t/a Gerhard Cronje Brokers	42168	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Daniel Henning	19893	13 December 2012	Contravention of section 17(4) of the FAIS Act
Jacobus Van Der Merwe t/a Human Financial Management	11886	10 December 2012	Contravention of section 17(4) of the FAIS Act

Jan Botha Insurance Brokers CC	12727	13 December 2012	Contravention of section 17(4) of the FAIS Act
Jeanne Yvonne Geyer	43145	10 December 2012	Contravention of section 17(4) of the FAIS Act
Jeffrey Webber	31876	13 December 2012	Contravention of section 17(4) of the FAIS Act
JNM Brokers CC	27394	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Johan Fredrich Weilbach t/a Fritz Weilbach Makelaars	14965	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
John David Pretorius t/a Speedy	13180	10 December 2012	Contravention of section 17(4) of the FAIS Act
Jolanda Smit	18073	10 December 2012	Contravention of section 17(4) of the FAIS Act
Jubilee Funeral And Brokers (Pty) Ltd	40939	4 December 2012	Contravention of section 17(1) of the FAIS Act
Judith Nobuhle Khoza	22514	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kagiso Financial Services (Pty) Ltd	21880	13 December 2012	Contravention of section 17(4) of the FAIS Act
Keg Marketing CC t/a Ke Gwala Financial Bokers	41942	13 December 2012	Contravention of section 17(4) of the FAIS Act
Khanyisile Sylveria Sosibo t/a KS Brokers	38841	13 December 2012	Contravention of section 17(4) of the FAIS Act
Kilpin Management Services CC	43260	10 December 2012	Contravention of section 17(4) of the FAIS Act
Kingfin Wealth Solutions CC	27540	13 December 2012	Contravention of section 17(4) of the FAIS Act
Konyana Funeral Directors CC	35569	13 December 2012	Contravention of section 17(4) of the FAIS Act
Koobentheran Govender t/a Kooben Govender & Associates	10577	13 December 2012	Contravention of section 17(4) of the FAIS Act
Kua-Sure Brokers CC	41698	13 December 2012	Contravention of section 17(4) of the FAIS Act
LBA Insurance Brokers CC	23896	13 December 2012	Contravention of section 17(4) of the FAIS Act
Lejweng Investments Solutions CC	42617	13 December 2012	Contravention of section 17(4) of the FAIS Act
Leonard Van Heerden t/a Pillar Financial Services	35286	13 December 2012	Contravention of section 17(4) of the FAIS Act
Lerato Elizabeth Ngoasheng	38961	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lezmin 1620 CC t/a Your Medical Aid Centre	17600	13 December 2012	Contravention of section 17(4) of the FAIS Act
Liyaquat Allie Parker t/a LA Parker Financial Services	12371	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lore Management Services CC	35201	13 December 2012	Contravention of section 17(4) of the FAIS Act

LR Sisinyi Financial Services CC	7738	13 December 2012	Contravention of section 17(4) of the FAIS Act
Lungile Christopher Twaku t/a Khwangeshe Independent Broker	42392	13 December 2012	Contravention of section 17(4) of the FAIS Act
Luyanda Cecilia Kutta t/a Sihlangule Nkomoshe Development & Training	39893	13 December 2012	Contravention of section 17(4) of the FAIS Act
Lydia Barley t/a LBS Financial Services	31089	10 December 2012	Contravention of section 17(4) of the FAIS Act
M Phaswana t/a Mbavhi's Insurance Brokers	14902	13 December 2012	Contravention of section 17(4) of the FAIS Act
Maadima Risk Capital (Pty) Ltd	43119	13 December 2012	Contravention of section 17(4) of the FAIS Act
Magavelana Insurance Brokers CC	23195	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mahlako Tepanyika	36417	10 December 2012	Contravention of section 17(4) of the FAIS Act
Mainet Makelaars BK	2562	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mandhla Mtembu t/a MN Mtembu Brokers	10693	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mandlenkosi Sipho Afrika Sikhakhana	18347	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manelly Risk Management CC	31849	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Manzini Marketing And Business Solutions (Pty) Ltd	31042	13 December 2012	Contravention of section 17(4) of the FAIS Act
MAP Brokers CC	32744	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mark Jason Levy t/a Brownstone Investments	457	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marlene Van Dyk	21234	13 December 2012	Contravention of section 17(4) of the FAIS Act
Maryna Le Grange Brokers t/a Global Health	12616	13 December 2012	Contravention of section 17(4) of the FAIS Act
Matee Collen Manyane	39924	10 December 2012	Contravention of section 17(4) of the FAIS Act
Matladi Ruth Tsele	4992	13 December 2012	Contravention of section 15A of the FSB Act
Matthew Snyman t/a MS Brokers	30077	13 December 2012	Contravention of section 17(4) of the FAIS Act
Matthews Makelaars Bk	39571	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mbaliyethu Catering Services CC	32697	13 December 2012	Contravention of section 15A of the FSB Act
Mbulaheni Eric Raliwedza t/a Una Rine Insurance Brokers	20501	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mbulelo Nabileyo	43226	13 December 2012	Contravention of section 17(4) of the FAIS Act

Mdloana Trading And Project CC t/a Mdloana Brokerage	41590	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Menziwa File Financial Solutions CC	42635	13 December 2012	Contravention of section 17(4) of the FAIS Act
Meso Capital (Pty) Ltd	40598	10 December 2012	Contravention of section 17(4) of the FAIS Act
Meticulous Financial Services CC	39206	10 December 2012	Contravention of section 17(4) of the FAIS Act
Mfundo Mnyango Brokers CC	40973	13 December 2012	Contravention of section 17(4) of the FAIS Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	13 December 2012	Contravention of section 17(4) of the FAIS Act
Michele Steduto t/a Financial Solutions 4 U	42222	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mignon Finansiele Dienste BK	24758	13 December 2012	Contravention of section 17(4) of the FAIS Act
Millionbux Versekerings Makelaars BK	15186	10 December 2012	Contravention of section 17(4) of the FAIS Act
Minute Brokers CC	26696	10 December 2012	Contravention of section 17(4) of the FAIS Act
Mitchell Export CC t/a Mitchell Auto	23778	10 December 2012	Contravention of section 17(4) of the FAIS Act
Mkalavo Consulting CC	39772	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mmapaulsi Evelyn Hlalele t/a ME Brokers	12743	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mondli Innocent Nkomo t/a Nkomo Brokers	40610	10 December 2012	Contravention of section 17(4) of the FAIS Act
Moses Mzayifani Ndlovu t/a Mzitoes Brokerage	22671	10 December 2012	Contravention of section 17(4) of the FAIS Act
Motorview (Pty) Ltd t/a Honda Auto Bedfordview	34440	13 December 2012	Contravention of section 17(4) of the FAIS Act
MP Kearns Eastwick And Associates CC	38688	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mpumelelo Bekisisa Roland Nxasana	4523	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mtsweni Consulting And Financial Services CC	18821	13 December 2012	Contravention of section 17(4) of the FAIS Act
Multilex Legal Services CC t/a DVHIB	17278	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mvunonala Investments Consultants (Pty) Ltd	41595	13 December 2012	Contravention of section 17(4) of the FAIS Act
Mzingisi Brokers CC	19725	13 December 2012	Contravention of section 17(4) of the FAIS Act
Nakekela Healthcare Consultants (Pty) Ltd	30628	13 December 2012	Contravention of section 17(4) of the FAIS Act
Ncumisa Mkololo t/a Gwebani Investments	42078	13 December 2012	Contravention of section 17(4) of the FAIS Act
Netcare 911 (Pty) Ltd	23907	13 December 2012	Contravention of section 17(4) of the FAIS Act

New Choice Decisions Insurance Brokers (Pty) Ltd t/a Choice Decisions	33673	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
New Life Brokers	7209	13 December 2012	Contravention of section 17(4) of the FAIS Act
Nicol Buchner t/a Nicol Brokers	13660	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Njabuloyethu Financial Services CC t/a Ventimes	39315	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosinathi Sithembiso Mpanza	21792	10 December 2012	Contravention of section 17(4) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	13 December 2012	Contravention of section 15A of the FSB Act
Nonhlanhla Winfrida Mlambo t/a Winnies Investments	4584	10 December 2012	Contravention of section 17(4) of the FAIS Act
North Shore Trading 301 CC	25944	13 December 2012	Contravention of section 17(4) of the FAIS Act
Northwood Financial Services CC	13296	10 December 2012	Contravention of section 17(4) of the FAIS Act
Ntathu Consulting CC	40555	13 December 2012	Contravention of section 17(4) of the FAIS Act
Ntinga Health & Financial Services CC	32188	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nu-Vision Financial Consultants CC	12486	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Onetime Brokers CC	42571	10 December 2012	Contravention of section 17(4) of the FAIS Act
Opperman & Associates CC	15414	10 December 2012	Contravention of section 17(4) of the FAIS Act
Park Motors Vereeniging (Pty) Ltd	34141	13 December 2012	Contravention of section 17(4) of the FAIS Act
Peter Stefan Ginsberg t/a Peter Ginsberg Financial Services	8232	13 December 2012	Contravention of section 17(4) of the FAIS Act
Peya Financial Brokers	43094	13 December 2012	Contravention of section 17(4) of the FAIS Act
Phil Cooper Insurance Brokers (Pty) Limited	17239	13 December 2012	Contravention of section 17(4) of the FAIS Act
Philippa Cowen t/a Perspective Risk Managers	4448	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phiri Marketing Agency CC t/a Phiri Insurance Brokers	41392	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Phola Marketing Services CC	20994	10 December 2012	Contravention of section 17(4) of the FAIS Act
Piety Corporation (Pty) Ltd	40480	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act

Poladmin (Pty) Ltd	40600	10 December 2012	Contravention of section 17(4) of the FAIS Act
Poovendhran Kandhan	22745	13 December 2012	Contravention of section 17(4) of the FAIS Act
Power Rush Trading 73 CC t/a Kytel Consulting	6953	13 December 2012	Contravention of section 17(4) of the FAIS Act
Prestige Makelaars	6312	10 December 2012	Contravention of section 17(4) of the FAIS Act
Prime Sure CC	43216	13 December 2012	Contravention of section 17(4) of the FAIS Act
Primo Executive Cars (Pty) Ltd	32072	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Proactive Lifestyle Management CC	1050	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Qem Rainmakers Financial Solutions CC	43522	10 December 2012	Contravention of section 17(4) of the FAIS Act
R & M Consulting CC	8380	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rachelida Elizabeth Johanna Dry t/a Lydia Dry Brokers	18856	13 December 2012	Contravention of section 17(4) of the FAIS Act
Raymond Allan Van Reenen t/a Ray Van Reenen & Associates	8234	13 December 2012	Contravention of section 17(4) of the FAIS Act
Raymond Bongani Mkhize	5131	13 December 2012	Contravention of section 17(4) of the FAIS Act
Read All Over Communications CC	42925	13 December 2012	Contravention of section 17(4) of the FAIS Act
RFA Consulting (Pty) Ltd	37052	13 December 2012	Contravention of section 17(4) of the FAIS Act
Rhulani Financial Services CC	38447	13 December 2012	Contravention of section 17(4) of the FAIS Act
Rich Blue Consultancy CC	41287	13 December 2012	Contravention of section 17(4) of the FAIS Act
Rio De La Platta CC	35687	13 December 2012	Contravention of section 17(4) of the FAIS Act
Rixaka Funerals (Pty) Ltd	26415	13 December 2012	Contravention of section 17(4) of the FAIS Act
Ronrick Communication & Marketing Services CC	16263	10 December 2012	Contravention of section 17(4) of the FAIS Act
Rooies Hattingh Louis Malherbe Versekeringsmakelaars BK	14617	10 December 2012	Contravention of section 17(4) of the FAIS Act
RP Comprehensive Marketing Agency CC	4073	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
SA Trust Konsultante OVS BK	15990	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sal Nkosi Advisors CC	38402	13 December 2012	Contravention of section 17(4) of the FAIS Act
Samkelo Cyril Ngcobo	17924	13 December 2012	Contravention of section 17(4) of the FAIS Act

Sanana George Moshesha t/a Batubatsi Investment Brokers	20362	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sarkis Finance CC	21793	13 December 2012	Contravention of section 17(4) of the FAIS Act
Saucepan Solutions (Pty) Ltd t/a Fung And Associates	32804	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Schalk Willem Nel t/a Schalk Nel Makelaars	1963	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sebenza Forwading & Shipping (Pty) Ltd	8522	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sechaba Securities CC t/a Sechaba Brokers	37285	13 December 2012	Contravention of section 17(4) of the FAIS Act
Seja Enos Manthata t/a Manthata Brokers	26020	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sethomi Brokerage CC	38504	10 December 2012	Contravention of section 17(4) of the FAIS Act
Shaabier February t/a HMC Consulting	43214	10 December 2012	Contravention of section 17(4) of the FAIS Act
Shele Construction CC	37768	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sibonene Trading CC	43461	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sibongiseni Graduate Sithebe t/a Anotha Investments	41849	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sibusiso Consulting (Pty) Ltd	28474	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sibusiso Sikosana	17672	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sicebisanamuhla Sydney Biyela t/a Mvundlana Marketing	33827	13 December 2012	Contravention of section 15A of the FSB Act
Sikhombo Trading CC t/a Sikhombo Wealth Managers	42590	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sikhumbuzo Ricky Sithole	29158	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Silver Solutions 2731 CC t/a Silver Solutions	43340	10 December 2012	Contravention of section 17(4) of the FAIS Act
Silver Solutions 2987 CC t/a Auto Dealer Solutions	43651	10 December 2012	Contravention of section 17(4) of the FAIS Act
Simarca Financial Brokers (Pty) Ltd	20214	10 December 2012	Contravention of section 17(4) of the FAIS Act
Sindezanwa Edman Ndabambe t/a Kwanda Financial Services	42887	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sinvent Investments 61 (Pty) Ltd t/a Salarium Risk Solutions	26552	13 December 2012	Contravention of section 17(4) of the FAIS Act

Siphiwo Ethwell Potgieter Mfeketo t/a Champions Insurance Brokers Services	2245	13 December 2012	Contravention of section 15A of the FSB Act
Sipho Vincent Khanyile t/a Umbele Financial Services	20990	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sixcor Brokers CC	37282	13 December 2012	Contravention of section 17(4) of the FAIS Act
Sizanane Call Centre	22028	13 December 2012	Contravention of section 17(4) of the FAIS Act
Southern Union Insurance Brokers (Pty) Ltd t/a Southern Union Insurance Brokers	3414	13 December 2012	Contravention of section 17(4) of the FAIS Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	13 December 2012	Contravention of section 17(4) of the FAIS Act
Street Spirit Trading 234 (Pty) Ltd t/a DCS Cape Central	35983	13 December 2012	Contravention of section 17(4) of the FAIS Act
Strinivasa Jaggiah Naidoo t/a Strini Naidoo & Associates	25438	13 December 2012	Contravention of section 15A of the FSB Act
Symphony Investor Communications (Pty) Ltd	30236	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tarleys Trust (Pty) Ltd	43338	10 December 2012	Contravention of section 17(4) of the FAIS Act
Tehomo Michael Matabane t/a MM Future Insurance Brokers	18535	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thabile And Mtho Trading Enterprise CC	34393	13 December 2012	Contravention of section 17(4) of the FAIS Act
Thabondle Investments CC	17146	13 December 2012	Contravention of section 17(4) of the FAIS Act
The Bull And Bear Financial Services Group (Pty) Ltd	10396	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
The Business Zone 1346 CC	34846	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thembela Madikizela t/a Umbuso Brokers	37900	13 December 2012	Contravention of section 17(4) of the FAIS Act
Thembinkosi Godfrey Mlambo t/a TGM Insurance Brokers	28133	13 December 2012	Contravention of section 17(4) of the FAIS Act
Thompson Ndoza Shikwambani t/a Shikwabs Financial Advisors	39800	10 December 2012	Contravention of section 17(4) of the FAIS Act
Thulasizwe Edward Nyandeni t/a Teachers Brokerage	15635	13 December 2012	Contravention of section 17(4) of the FAIS Act
Tiespro 177 (Pty) Ltd	41369	13 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

TMG Brokers CC	39538	10 December 2012	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Trafa Trading Enterprise CC t/a Support The Change SA	42840	13 December 2012	Contravention of section 17(4) of the FAIS Act
Traluz Insurance Brokers CC	37567	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tsakani Maria Mabunda	43036	13 December 2012	Contravention of section 17(4) of the FAIS Act
Tseba Financial Services CC	43144	13 December 2012	Contravention of section 17(4) of the FAIS Act
Tshianeo Holdings (Pty) Ltd t/a Tshianeo Brokers	42816	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tshidudu Healthcare Solutions (Pty) Ltd	22428	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tshifularo Gerson Mufeba	19918	13 December 2012	Contravention of section 17(4) of the FAIS Act
Ubukhamba Sss Investment (Pty) Ltd	42989	10 December 2012	Contravention of section 17(4) of the FAIS Act
Umlalazi Management Services CC	43306	10 December 2012	Contravention of section 17(4) of the FAIS Act
United Colours Investments (Pty) Ltd	43411	10 December 2012	Contravention of section 17(4) of the FAIS Act
Unitoy Investments (Pty) Ltd t/a Patrick Toy Financial Services	12608	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Uptrend Medical Aid Brokers CC	29198	10 December 2012	Contravention of section 17(4) of the FAIS Act
Uyabusa Investment CC	35554	13 December 2012	Contravention of section 17(4) of the FAIS Act
Van Dyk Cilliers Consulting CC	32686	13 December 2012	Contravention of section 17(4) of the FAIS Act
Venables Dan Vanda t/a Dan Vanda Brokers	22987	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Venu Maharaj	42316	13 December 2012	Contravention of section 17(4) of the FAIS Act
Viewpoint Financial Services CC	25735	13 December 2012	Contravention of section 17(4) of the FAIS Act
Vision Direct 155 t/a Transafrica	21200	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vusumzi Elias Mpatani t/a Tamavusi Brokers	35695	10 December 2012	Contravention of section 17(4) of the FAIS Act
Vuyani Basil Mali t/a VBM Financial Services	32878	13 December 2012	Contravention of section 17(4) of the FAIS Act
Walganathan Naicker t/a DWN Assurance & Business Consultants	4901	13 December 2012	Contravention of section 17(4) of the FAIS Act
Walton Alexander Financial Services CC	16013	13 December 2012	Contravention of section 17(4) of the FAIS Act
Wealth Portfolio Managers (Pty) Ltd	180	10 December 2012	Contravention of section 17(4) of the FAIS Act

Wendy Mortimer Investments CC	2378	13 December 2012	Contravention of section 17(4) of the FAIS Act
Westdene Brokers CC	14914	13 December 2012	Contravention of section 17(4) of the FAIS Act
Wilhelmus Hendrikus Van Zyl	14922	13 December 2012	Contravention of section 17(4) of the FAIS Act
Winchester Insurance Brokers CC	5237	10 December 2012	Contravention of section 19(2)(b)(iii) of the FAIS Act
Xolile Jabulisiwe Princess Khoza t/a Thobani Brokers	30669	10 December 2012	Contravention of section 17(4) of the FAIS Act
Xoliswa Memani	24093	10 December 2012	Contravention of section 17(4) of the FAIS Act
Zacthem Insurance Brokers (Pty) Ltd	5614	13 December 2012	Contravention of section 17(4) of the FAIS Act
Zeblon Vusi Ndlovu t/a Mazibuye Masisweni Marketing Consulting	28379	10 December 2012	Contravention of section 17(4) of the FAIS Act
Zen Insurance Brokers CC	3864	13 December 2012	Contravention of section 17(4) of the FAIS Act
Zoomvent Trading CC t/a PE Auto Lot	22198	13 December 2012	Contravention of section 17(4) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 2 of 2013.

Amm.

**G E ANDERSON** Deputy Registrar of Financial Services Providers

## **BOARD NOTICE 53 OF 2013**

# FINANCIAL SERVICES BOARD

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

# LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Abednego Bhekizizwe Vilane t/a Eyaminawe Brokers	22076	12 March 2013	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and section 19(2)(b)(iii) of the FAIS Act
AMML Financial Services CC	26579	15 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Ben Lombard Brokers CC	9315	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Benu Management CC t/a Majola Brokers	6407	12 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Brother Joseph Insurance Brokers	3791	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Bulls Eye Consultants (Pty) Ltd	34702	7 March 2013	The licensee has now complied with section 15A of the FSB Act
Casper Francois Pretorius t/a MC Financial Advisors	13102	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Chris Jonker And Associates CC t/a Chris Jonker Financial Services	11034	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Chris Slabbert Brokers CC	3451	22 February 2013	The licensee has now complied with section 17(1) of the FAIS Act
Christoffel Rudolf Beck De Beer t/a Distinctive Choice	11931	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Christopher William Borchers	19873	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

Circle Seven Trading 14 CC t/a Circle Seven Brokers	36695	19 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Comrade Nkosinathi Sifiso Ndlovu t/a Comrade NS Brokers	36606	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Covision Distribution (Pty) Ltd	23773	7 March 2013	The licensee has now complied with section 15A of the FSB Act
Damar Motors CC	27618	6 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Daniel Christoffel Benjamin Van Zyl	20717	5 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Deltromanzi (Pty) Ltd	43544	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Destiny Wealth Management (Pty) Ltd	43975	11 March 2013	The licensee has now complied with section 15A of the FSB Act
Dispersion Consulting CC.	13874	15 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Dumsani Mike Mpontshane t/a The Eagle Financial Services	43505	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Durban South Insurance Brokers CC	21872	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Ebsa Life Consulting CC	32103	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Ezimlombolombo Investments CC t/a Ezimlombolombo Financial Brokers	43245	5 March 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
FIBO Financial Services CC	43155	5 March 2013	The licensee has now complied with section 17(4) of the FAIS Act
Fabulous Finance CC t/a Fabulous Finance	28910	5 March 2013	The licensee has now complied with section 15A of the FSB Act
Finanz Konsultante BK	16282	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Fourbay Wholesale CC	43283	5 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Gerrit-Jan Wissing t/a Account Ability Financial Services	11885	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Gideon Lewela Makgato	35082	14 March 2013	The licensee has now complied with section 15A of the FSB Act
Gladman Obrey Vukile Nkosenye Mlambo t/a Vukile Financial Brokers	16501	22 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Greenfield Financial Solutions CC	7933	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Hastings Trading CC	35376	14 March 2013	The licensee has now complied with section 15A of the FSB Act
Hendrik Johannes Van Der Ahee	10446		The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hexberg Motors (Pty) Ltd t/a Worcester BMW	22912	4 March 2013	The licensee has now complied with section 17(4) of the FAIS Act
Jacobus Daniel Henning	19893	22 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Jacobus Van Der Merwe t/a Human Financial Management	11886	26 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Jan Stephanus Le Roux t/a Kaapsig Brokers	10964	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Johan Maphumulo	23776	14 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Juan Schunke t/a Confidential Planning Excellence	6179	5 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Justice Qenehelo Mohlokoane t/a Basia Broking Services	28888	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Kalahari Finansiele Dienste BK	43311	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Knowledge Simphiwe Dladla t/a KS Services Provider	35164	15 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Knysna Executive Brokers CC	7137	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Lawrence Mkwanazi Financial Services CC t/a LMFS Brokers	8947	14 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Lindamanje Trading CC t/a Lindamanje Brokers	34478	8 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
LMS Life Counselling CC	42437	11 March 2013	The licensee has now complied with section 15A of the FSB Act
LWH Financial Solutions CC	40692	11 March 2013	The licensee has now complied with section 15A of the FSB Act
Mabenzin Trading CC	23798	8 March 2013	The licensee has now complied with section 15A of the FSB Act
Magapjane Educational & Professional Financial Services CC	5448	15 March 2013	The Licensee has now complied with sections 3A(2)(a), 10(1)(d) and 12(1) of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003, and licencing condition 1 of the license, and section 4(4) of the FAIS Act
Manase Nekelele Maboki	40415	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Mandlakayise Alfred Masuku t/a Priority Life	21607	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Marcelle Property Holdings & Investments CC	31011	11 March 2013	The licensee has now complied with section 15A of the FSB Act
Maria Sophia Combrink t/a Sumari Combrink Brokers	27905	6 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mergen Naidoo t/a M Naidoo & Associates	4630	12 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mkhululi Mlimbo Gwebityala Ngxumza t/a Ngxumza Financial Services	36826	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

Mluleki Godfrey Lunika t/a Bridge Insurance Brokers	34798	14 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mlungisi Jerome Hlophe t/a MJ Hlophe White Brokers	34593	11 March 2013	The licensee has now complied with section 15A of the FSB Act
Monateng 501 CC t/a Lechoano Financial Services	39552	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Mudau Sistas Brokerage & Projections CC	41062	14 March 2013	The licensee has now complied with section 15A of the FSB Act
Multilex Legal Services CC t/a DVHIB	17278	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Ncokola Trading CC t/a NFA (National Financial Advice)	43502	8 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
New Choice Decisions Insurance Brokers (Pty) Ltd t/a Choice Decisions	33673	22 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Nhlanganiso Capital And Financial Services (Pty) Ltd	43489	5 March 2013	The licensee has now complied with section 15A of the FSB Act
Nkosinathi Sithembiso Mpanza	21792	13 March 2013	The licensee has now complied with section 15A of the FSB Act
Nodus Group Holdings (Pty) Ltd	42521	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Ntathu Consulting CC	40555	7 March 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntinga Health & Financial Services CC	32188	8 March 2013	The licensee has now complied with section 15A of the FSB Act
Patrick Vulindlela Maju t/a Tax And Investments Solutions	39398	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Pieter Gerhardus Vermaak Rademeyer t/a Winterberg Brokers	16129	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Pieter Janse De Jager t/a Pieter De Jager Makelaars	13057	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Premie Plan (Pty) Ltd	25694	15 March 2013	The licensee has now complied with section 15A of the FSB Act

Rattan Risk And Investment Consultants (Pty) Ltd	43706	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Rhulani Financial Services CC	38447	6 March 2013	The licensee has now complied with section 17(4) of the FAIS Act
River End Trading 632 CC t/a Platinum Horizon Broker Consultants	35083	6 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Rolleston Hall Financial Services CC	13265	8 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Rose Dar Trading Enterprise CC	41681	8 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sekiwe Alice Ngcangata	32964	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Sello S. Rasemana t/a Rasemana Financial Services	12761	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Societe Generale Group	17081	22 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Stephen John Hansen	7623	6 March 2013	The licensee has now complied with section 15A of the FSB Act
TFE Financial Services (Pty) Ltd t/a The Financial Emporium	43749	11 March 2013	The licensee has now complied with section 15A of the FSB Act
TGIF Advisors CC t/a TG Govender Insurance Finance & Medical Aid Advisors	9652	6 March 2013	The licensee has now complied with section 15A of the FSB Act
Thabondle Investments CC	17146	13 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
The Bernal Group CC	40853	11 March 2013	The licensee has now complied with section 15A of the FSB Act
The MCA Wealth Corporation (Pty) Ltd	40165	15 March 2013	The licensee has now complied with section 15A of the FSB Act
Theunis Boshoff Roux t/a R & T Insurance	41123	11 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Trusted Financial Planners CC	37562	8 March 2013	The licensee has now complied with section 15A of the FSB Act

Tshianeo Holdings (Pty) Ltd t/a Tshianeo Brokers	42816	22 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Tshifularo Gerson Mufeba	19918	15 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Unitoy Investments (Pty) Ltd t/a Patrick Toy Financial Services	12608	4 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Wayne Benjamin Stevens t/a Shoreline Financial Services	24246	7 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Wealth Exponential (Pty) Ltd	42180	8 March 2013	The licensee has now complied with section 15A of the FSB Act
Zanele Eudorah Gabela t/a Lion And The Cub	28902	12 March 2013	The licensee has now complied with section 15A of the FSB Act
Zoomvent Trading CC t/a PE Auto Lot	22198	6 March 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 2 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 54 OF 2013**

## FINANCIAL SERVICES BOARD

# FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

## WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
12 Apostles Financial Administration CC	41417	18 February 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
21st Century Management Services (Pty) Ltd	42712	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Afric-Cover Insurance Brokers (Pty) Ltd	38746	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alwyn Smit Finansiele Dienste BK	5399	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
AMML Financial Services CC	26579	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anand Maharaj & Associates CC	6486	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Atisa Financial Services (Pty) Ltd	42570	18 February 2013	Contravention of section 17(4) of the FAIS Act
Auric Financial Services (Pty) Ltd	22565	21 February 2013	Contravention of section 17(4) of the FAIS Act
Avocado Distribution Services (Pty) Ltd	21829	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bank Of China Limited (Incorporated In China)	5444	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Batlou Investments CC	24358	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Benba Motors (Pty) Ltd. t/a Korean Motors	22432	18 February 2013	Contravention of section 17(4) of the FAIS Act
Beyers Ras Finansiele Dienste CC	41689	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
BS Ndaliso Investments CC	39783	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christiaan George Theron t/a MCA Business Consultants	17300	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Curclo Investments CC t/a Fiscal Dynamics	20184	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Briers t/a David Briers & Associates	36290	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Diverse Strategic Global Consulting CC	7970	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dumisani McBride Socikwa	35281	18 February 2013	Contravention of section 17(4) of the FAIS Act
Emmanuel Cilliers t/a Manie Cilliers Brokers	12035	18 February 2013	Contravention of section 17(4) of the FAIS Act
Emzansi Business Enterprise CC t/a Emzansi Funeral Services	24507	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Equilibrium International Conferences CC	40046	18 February 2013	Contravention of section 15A of the FSB Act
Equistar Underwriting Managers (Pty) Ltd	22338	18 February 2013	Contravention of section 17(4) of the FAIS Act
Eternal City Trading 578 CC	38924	18 February 2013	Contravention of section 15A of the FSB Act
Excellect 36 CC	34813	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ezimlombolombo Investments CC t/a Ezimlombolombo Financial Brokers	43245	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
F And S Auto CC t/a F & S Auto	42655	18 February 2013	Contravention of section 17(4) of the FAIS Act
FBS. Assistance (Pty) Ltd	10169	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fantique Trade 1059 (Pty) Ltd t/a Specialist Group Risk Franchise	22741	21 February 2013	Contravention of section 17(4) of the FAIS Act
G & G Trust It 840/04 t/a Lazarus Services	38108	18 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

GAN Investments CC	41674	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gladman Vuyani Rashe t/a Rashe Financial Services	21911	18 February 2013	Contravention of section 15A of the FSB Act
Goldex 77 (Pty) Ltd t/a Omega Financial Solutions	26312	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Grant Thornton Eastern Cape CC	5441	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hafastec Consulting & Services CC	43051	18 February 2013	Contravention of section 17(4) of the FAIS Act
Heinrich Ollewagen t/a Heinrich Ollewagen Financial Advisor	43131	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Helderberg Wealth Management (Pty) Ltd	39627	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Henry James Schamrel	11158	18 February 2013	Contravention of section 17(4) of the FAIS Act
Hexberg Motors (Pty) Ltd t/a Worcester BMW	22912	21 February 2013	Contravention of section 17(4) of the FAIS Act
Injobo Health Solution (Pty) Ltd	42818	18 February 2013	Contravention of section 17(4) of the FAIS Act
Intembeko Investments CC t/a Benzwide Financial Services	5459	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
JG Cronje Consultants CC t/a Gerhard Cronje Brokers	42168	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Jacobus Daniel Henning	19893	18 February 2013	Contravention of section 17(4) of the FAIS Act
Johan Fredrich Weilbach t/a Fritz Weilbach Makelaars	14965	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Judith Nobuhle Khoza	22514	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kagiso Financial Services (Pty) Ltd	21880	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kingfin Wealth Solutions CC	27540	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Koobentheran Govender t/a Kooben Govender & Associates	10577	18 February 2013	Contravention of section 17(4) of the FAIS Act
Leonard Van Heerden t/a Pillar Financial Services	35286	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Lore Management Services CC	35201	21 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
LR Sisinyi Financial Services CC	7738	18 February 2013	Contravention of section 17(4) of the FAIS Act
Luyanda Cecilia Kutta t/a Sihlangule Nkomoshe Development & Training	39893	18 February 2013	Contravention of section 17(4) of the FAIS Act
M Phaswana t/a Mbavhi's Insurance Brokers	14902	18 February 2013	Contravention of section 17(4) of the FAIS Act
Mandlenkosi Sipho Afrika Sikhakhana	18347	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manzini Marketing And Business Solutions (Pty) Ltd	31042	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mark Jason Levy t/a Brownstone Investments	457	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marlene Van Dyk	21234	18 February 2013	Contravention of section 17(4) of the FAIS Act
Maryna Le Grange Brokers t/a Global Health	12616	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Matthews Makelaars BK	39571	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbaliyethu Catering Services CC	32697	18 February 2013	Contravention of section 15A of the FSB Act
Mbulaheni Eric Raliwedza t/a Una Rine Insurance Brokers	20501	18 February 2013	Contravention of section 17(4) of the FAIS Act
Mbulelo Nabileyo	43226	18 February 2013	Contravention of section 17(4) of the FAIS Act
Michael Mduduzi Luthuli t/a Michael Luthuli & Associates	31832	18 February 2013	Contravention of section 17(4) of the FAIS Act
Michele Steduto t/a Financial Solutions 4 U	42222	18 February 2013	Contravention of section 17(4) of the FAIS Act
Minute Brokers CC	26696	21 February 2013	Contravention of section 17(4) of the FAIS Act
Mitchell Export CC t/a Mitchell Auto	23778	18 February 2013	Contravention of section 17(4) of the FAIS Act
Motorview (Pty) Ltd t/a Honda Auto Bedfordview	34440	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncumisa Mkololo t/a Gwebani Investments	42078	18 February 2013	Contravention of section 17(4) of the FAIS Act
Netcare 911 (Pty) Ltd	23907	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Nicol Buchner t/a Nicol Brokers	13660	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
North Shore Trading 301 CC	25944	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntathu Consulting CC	40555	21 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Park Motors Vereeniging (Pty) Ltd	34141	21 February 2013	Contravention of section 17(4) of the FAIS Act
Peter Stefan Ginsberg t/a Peter Ginsberg Financial Services	8232	18 February 2013	Contravention of section 17(4) of the FAIS Act
Philippa Cowen t/a Perspective Risk Managers	4448	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phiri Marketing Agency CC t/a Phiri Insurance Brokers	41392	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Poladmin (Pty) Ltd	40600	21 February 2013	Contravention of section 17(4) of the FAIS Act
Poovendhran Kandhan	22745	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prestige Makelaars	6312	21 February 2013	Contravention of section 17(4) of the FAIS Act
Primo Executive Cars (Pty) Ltd	32072	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
R & M Consulting CC	8380	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Raymond Allan Van Reenen t/a Ray Van Reenen & Associates	8234	18 February 2013	Contravention of section 17(4) of the FAIS Act
Rich Blue Consultancy CC	41287	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rio De La Platta CC	35687	18 February 2013	Contravention of section 17(4) of the FAIS Act
SAL Nkosi Advisors CC	38402	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sarkis Finance CC	21793	18 February 2013	Contravention of section 17(4) of the FAIS Act
Schalk Willem Nel t/a Schalk Nel Makelaars	1963	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sebenza Forwading & Shipping (Pty) Ltd	8522	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shaabier February t/a HMC Consulting	43214	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sibusiso Consulting (Pty) Ltd	28474	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibusiso Sikosana	17672	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Silver Solutions 2731 CC t/a Silver Solutions	43340	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simarca Financial Brokers (Pty) Ltd	20214	18 February 2013	Contravention of section 17(4) of the FAIS Act
Sinvent Investments 61 (Pty) Ltd t/a Salarium Risk Solutions	26552	21 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sixcor Brokers CC	37282	18 February 2013	Contravention of section 17(4) of the FAIS Act
Street Spirit Trading 234 (Pty) Ltd t/a DCS Cape Central	35983	21 February 2013	Contravention of section 17(4) of the FAIS Act
Tehomo Michael Matabane t/a MM Future Insurance Brokers	18535	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thabondle Investments CC	17146	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Bull And Bear Financial Services Group (Pty) Ltd	10396	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Thembinkosi Godfrey Mlambo t/a TGM Insurance Brokers	28133	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tiespro 177 (Pty) Ltd	41369	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tseba Financial Services CC	43144	18 February 2013	Contravention of section 17(4) of the FAIS Act
Tshidudu Healthcare Solutions (Pty) Ltd	22428	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ubukhamba Sss Investment (Pty) Ltd t/a Ubukhamba SSS Investment (Pty) Ltd	42989	21 February 2013	Contravention of section 17(4) of the FAIS Act
Umlalazi Management Services CC	43306	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
United Colours Investments (Pty) Ltd	43411	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uyabusa Investment CC	35554	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Venables Dan Vanda t/a Dan Vanda Brokers	22987	18 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Venu Maharaj	42316	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vusumzi Elias Mpatani t/a Tamavusi Brokers	35695	18 February 2013	Contravention of section 17(4) of the FAIS Act
Walton Alexander Financial Services CC	16013	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wendy Mortimer Investments CC	2378	18 February 2013	Contravention of section 17(4) of the FAIS Act
Wilhelmus Hendrikus Van Zyl	14922	18 February 2013	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Xolile Jabulisiwe Princess Khoza t/a Thobani Brokers	30669	18 February 2013	Contravention of section 17(4) of the FAIS Act
Xoliswa Memani	24093	18 February 2013	Contravention of section 17(4) of the FAIS Act
Zen Insurance Brokers CC	3864	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zoomvent Trading CC t/a PE Auto Lot	22198	21 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 2 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 55 OF 2013**

# FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

## **REINSTATEMENT OF WITHDRAWN LICENCES**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
Henry James Schamrel	11158	1 March 2013
JG Cronje Consultants CC t/a Gerhard Cronje Brokers	42168	14 March 2013
Lore Management Services CC	35201	7 March 2013
Lynoks Business Enterprise CC	22027	12 March 2013
Martinus Petrus Bezuidenhout t/a Celebrus Capital	44225	19 February 2013
Ncumisa Mkololo t/a Gwebani Investments	42078	3 March 2013
Olivewood Resources Ltd	38966	22 February 2013
Sicelithemba Funeral Services CC	42706	6 March 2013
Stephanus Petrus Kloppers t/a Genmed Mediese Adviseurs	18332	21 February 2013
United Colours Investments (Pty) Ltd	43411	6 March 2013
Wendy Mortimer Investments CC	2378	3 March 2013

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 2 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

## BOARD NOTICE 56 OF 2013

## FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

## SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
"Financials Forever" CC	36600	28 February 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("the FSB Act")
2Gether 4Gud Trading And Projects CC	42427	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Abdool Aziz Sacoor t/a Aziz Sacoor Financial Advisors	15733	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Abednego Bhekizizwe Vilane t/a Eyaminawe Brokers	22076	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Abraham Johannes Jansen Van Vuuren t/a Future Financial Services	44115	14 March 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008 ("the Fit and Proper Requirements")
Africa Properties East Coast CC t/a East Coast Brokers	36355	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Agentskap Willem Punt (Edms) Bpk	13154	28 February 2013	Contravention of section 15A of the FSB Act
Ahmed Rajub t/a HAK Trust	9052	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
AJ Van Der Walt t/a Dolf Van Der Walt Brokers	13481	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
AK Sons Prestige Car Sales CC t/a AK Sons Inspecta Car	8909	28 February 2013	Contravention of section 15A of the FSB Act

Alan Roy Hobbes t/a Honeycomb	14428	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Alexander Van Breda Kotze t/a Zander Kotze	13420	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Allen Benjamin Rieser t/a ABR Consultants	8020	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Allen Horne Properties & Investment Management CC t/a Allen Horne Consulting	7681	28 February 2013	Contravention of section 15A of the FSB Act
Alpha And Omega Healthcare Consultants CC	21577	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Amanda Odendaal t/a AO Motors	10205	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Anderson Whittle CC	2073	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andre Kok t/a Andre Motors	21194	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Andre Van Rensburg t/a Van Rensburg Makelaars	12350	28 February 2013	Contravention of section 15A of the FSB Act
Andrew Naidoo t/a Anfin Financial Services	14673	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Andries Munro t/a Andries Munro Brokers	3110	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Andy Anand Mohan Sinayhakh t/a AMS Brokers	23335	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Anna Christina De Wet t/a Olive De Wet	9889	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Anna Mathilda Ackerman t/a Duo Makelaars	16899	28 February 2013	Contravention of section 15A of the FSB Act

Anna Susanna Fourie	40316	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Anthony William Venn t/a AW Venn Financial Services	28131	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Anton Marius Landman t/a Landman Financial Advisers	11545	28 February 2013	Contravention of section 15A of the FSB Act
Archer Sutherland Wilson	15285	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Aubrey Dobson t/a Ald Financial Services	17368	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Avon John Harris t/a Avon Harris Financial Advisory Services	43032	28 February 2013	Contravention of section 15A of the FSB Act
AZX Shortterm CC	25874	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Babhansile Mirriam Mjwara t/a NKS Financial Services	43231	28 February 2013	Contravention of section 15A of the FSB Act
Barbara Isabel Richardson t/a Rivonia Insurance Brokers	29444	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Bareno Investments 1001 (Pty) Ltd	11637	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Basic Blue Trading 306 CC t/a NN Financial Services	33656	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ben Lombard Brokers CC	9315	28 February 2013	Contravention of section 15A of the FSB Act
Benjamin Nkunswini Ntshangase t/a Ntshangase Brokers	26783	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Benu Management CC t/a Majola Brokers	6407	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bhekizenzo Hloniphani Goodenough Ngobese t/a Bheki Ngobese And Company	43333	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Bhekumuzi Dube	17809	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Bhekuyise Albert Mtshali t/a Covenant Management Consulting	44084	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
BIH Consulting (Pty) Ltd	40899	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Bimal Chand Maharaj t/a KC Financial Services	8668	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Bio Fin Makelaars	9959	28 February 2013	Contravention of section 15A of the FSB Act
Bishop Khayelihle Sogoni t/a BK And Mavi Brokers	43840	28 February 2013	Contravention of section 15A of the FSB Act
BLA Healthcare Consulting CC	35847	28 February 2013	Contravention of section 15A of the FSB Act
Blue Insurance Brokers (Pty) Ltd	40585	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
BMLP Trading CC	37596	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bogale Ellon	43672	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Bongani Brian Mkhize t/a BB Mkhize Brokers	22995	28 February 2013	Contravention of section 15A of the FSB Act
Bongani Pako	37783	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Bongumusa Jerome Mvundle t/a Vundla Brokers	43068	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Brian Dial t/a Brian Dial Financial Services	6956	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Brian Stanley John Don	14850	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Brishmaer & Associates Financial Services CC	26071	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the

			FAIS Act
Brokers / Makelaars Trust	11977	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Brother Joseph Insurance Brokers	3791	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bulls Eye Consultants (Pty) Ltd	34702	28 February 2013	Contravention of section 15A of the FSB Act
Burger Wilhelmus Gericke t/a Buks Gericke Makelaars	18308	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Buzz Insurance Brokers CC t/a Gensure	14125	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
CAJ Financial Services CC t/a Shamrock Finance Group	31058	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Callie Scheffer Financial Consultants CC	12851	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Camber Trading No. 53 CC t/a Camber Trading Risk Solutions	40743	28 February 2013	Contravention of section 15A of the FSB Act
Capital Ascent (Jhb) Financial Services (Pty) Ltd	34726	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Casparus Johannes Nell	10425	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Casper Francois Pretorius t/a MC Financial Advisors	13102	28 February 2013	Contravention of section 15A of the FSB Act
CBS Financial Services CC	21865	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Chanderian Loganathan Naidoo	22203	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Chimane Trust Moale	3379	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Chris Bolus t/a Chris Bolus & Associates	15116	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Chris Jonker And Associates CC t/a Chris Jonker Financial Services	11034	28 February 2013	Contravention of section 15A of the FSB Act
Christina Elizabeth Scholtz	22301	28 February 2013	Contravention of section 15A of the FSB Act
Christoffel Hermanus Combrinck t/a EC Accountants	4251	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Christoffel Rudolf Beck De Beer t/a Distinctive Choice	11931	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christopher William Borchers	19873	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Christopher Zamani Mthiyane t/a Siyakhula	22988	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Clarence Christo Reynders t/a Prosperity Funeral Fund Brokers	16190	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Clement Makhaza t/a Quadrum Investment Services	43682	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
CMC Markets UK PLC	30360	28 February 2013	Contravention of section 15A of the FSB Act
Comanage Holding 4 CC	10342	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Comrade Nkosinathi Sifiso Ndlovu t/a Comrade NS Brokers	36606	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Cornelius Arnoldus Jacobs t/a Arno Jacobs Financial Planners	26230	28 February 2013	Contravention of section 15A of the FSB Act
Costantinos Tripodis t/a Costa Tripodis	12433	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Covision Distribution (Pty) Ltd	23773	28 February 2013	Contravention of section 15A of the FSB Act
Daniel Masubjane Mohlala t/a Katlego Financial Services	13381	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Daniel Modisane t/a Modisane Financial Services	43429	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Daniel Modise Kolokoto t/a DK Brokers	4513	28 February 2013	Contravention of section 15A of the FSB Act
Darbyshire Williams Brokers CC	28277	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
David Blumenthal	42833	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
David Michael McLean t/a Labyrinth Solutions	30264	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
David Peter Strydom Le Tape t/a David Le Tape Brokers	26088	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Dawn Pieterse t/a Dawn Pieterse Short Term Ins Brokers	14111	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Dawood Abdullah Khan	41376	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
DBA Trading Enterprise CC	32191	28 February 2013	Contravention of section 15A of the FSB Act
De Moks General Trading CC	43177	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Dean Field Strachan	34252	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Deltromanzi (Pty) Ltd	43544	28 February 2013	Contravention of section 15A of the FSB Act
Dennis Waruingi Richu t/a Ride Brokers	24147	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Deon Pretorius t/a DP Brokers	5799	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Desire Ackerman	43881	28 February 2013	Contravention of section 15A of the FSB Act
Destiny Wealth Management (Pty) Ltd	43975	28 February 2013	Contravention of section 15A of the FSB Act

Develco CC	24091	28 February 2013	Contravention of section 15A of the FSB Act
Dhanabalan Naidoo t/a DN Financial	26325	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
DIA Motors CC	11496	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dispersion Consulting CC.	13874	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Diswai Reuben Sibanda t/a Palm Street Financial Consultants	37869	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Ditilamelo Benefits Consulting CC	37169	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
DJ Mlalane Investment CC t/a DJ Brokers	18101	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Donald J Silburn & Associates	6608	28 February 2013	Contravention of section 15A of the FSB Act
Dumsani Mike Mpontshane t/a The Eagle Financial Services	43505	28 February 2013	Contravention of section 15A of the FSB Act
Durban South Insurance Brokers CC	21872	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
EBSA Life Consulting CC	32103	28 February 2013	Contravention of section 15A of the FSB Act
El`Anaric Makelaars BK	12357	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Elizabeth Mamaregane t/a MT Insurance Brokers	16963	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Eljay Nakedi Financial Services CC	28867	28 February 2013	Contravention of section 15A of the FSB Act
Elzette Labuschagne t/a Elzette Labuschagne Brokers	26201	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Embassy Financial Services	2853	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Enock Ngobeni t/a Wealthworth	25717	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements

Eros Brokers CC	15490	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Esme Fourie t/a Fourie Financial Services	13334	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Etienne S Smith Beleggings BK t/a ESB	6458	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Everest House Insurance And Investment Brokers CC	7268	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Evolution Insurance Brokers And Risk Consultants (Pty) Ltd	42623	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ewerhardus Benjamin Jacobus Koegelenberg	9584	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ezethu Brokers	43344	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Fabulous Finance CC t/a Fabulous Finance	28910	28 February 2013	Contravention of section 15A of the FSB Act
FAL Korttermyn BK	24968	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fin And Fed Broker Consultants CC	42141	28 February 2013	Contravention of section 15A of the FSB Act
Fourbay Wholesale CC	43283	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Francois Jacobus Seger t/a FJ Seger Motors	30059	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Frank Paul t/a Frank's Financial Services	10395	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Frannor Trading 102 (Pty) Ltd t/a Forex World Wide Training And Support	5290	28 February 2013	Contravention of section 15A of the FSB Act
Frisbee Trade And Invest 1063 CC t/a Coastal Insurance Brokers	26732	28 February 2013	Contravention of section 15A of the FSB Act

Galius Trading CC	28807	28 February 2013	Contravention of section 15A of the FSB Act
Gavin Breetzke	8574	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
GEB Marketing Consultants CC	8060	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Israel Makhubela	43041	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Geotshi Consulting Services CC	35266	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gerbrecht Elizabeth Keyser t/a Economic Brokers	15884	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Gerhardus Daniel Jacobus Scholtz t/a Key Trends Management Services	4081	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Gerhardus M Du Toit	12032	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Gerrit-Jan Wissing t/a Account Ability Financial Services	11885	28 February 2013	Contravention of section 15A of the FSB Act
Gert Cornelius Cloete t/a GC Cloete & Associates	6239	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Get To Gether Financial Institude CC t/a Nkosi's Financial Services	29273	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Gezina Susanna Joubertina Fourie t/a Ina Fourie Insurance Broker	7470	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
GI Brokers CC t/a Medical Aid Schemes Solutions (Mass)	12090	28 February 2013	Contravention of section 15A of the FSB Act
Gideon Johannes Struwig	12169	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Gideon Lewela Makgato	35082	28 February 2013	Contravention of section 15A of the FSB Act

Gijzen Brokers Services CC	12212	28 February 2013	Contravention of section 15A of the FSB Act
Ginsberg Distribution Systems CC t/a GDS	8233	28 February 2013	Contravention of section 15A of the FSB Act
Golden Spot Trading 631 CC	4462	28 February 2013	Contravention of section 15A of the FSB Act
Goodness Mbatha t/a Good-Investment Brokers	43761	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gort16 Consultants CC	40328	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Grace Judith Mbowane	43022	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Graham Robert Bougardt t/a Excell Broker Services	21866	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Greeff t/a Penjabernic Investment (Pty) Ltd	4931	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Greenfield Financial Solutions CC	7933	28 February 2013	Contravention of section 15A of the FSB Act
Greenway Financial Solutions Africa (Pty) Ltd	43574	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gregory John Stephen t/a Gregory Stephen & Associates	35092	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Hans Jurie Mason t/a Nel City Brokers	6441	28 February 2013	Contravention of section 15A of the FSB Act
Hassen Khan t/a H Khan Insurance Brokers	38861	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Hastings Trading CC	35376	28 February 2013	Contravention of section 15A of the FSB Act
Hector Mduduzi Mthethwa t/a Hectcoll Insurance Brokers	34482	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Hendrik Christiaan Cornelius Wasserman t/a HCC Makelaars	17198	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Hendrik Johannes Van Der Ahee	10446	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Hendrik Phillipus Coetzee t/a Philcom Insurance Brokers	12536	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Henriette Scheffer Financial Consultants CC	22549	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Henry Fouche Meyers	12228	28 February 2013	Contravention of section 15A of the FSB Act
Henry William Hill	23886	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Hermanus Joseph Kriel t/a Manie Kriel Insurance Brokers	17592	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
High Rock Trading 102 CC t/a Qube Capital	30984	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Hilmar Engineering CC t/a Global Infinity Investments	22610	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Honey Coastline Investments 36 CC t/a Koos Van Dyk Makelaars	32596	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Honey Pot Investments 154 CC t/a Anesta Brokers	10916	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
lan Richard Steel t/a BIS Financial Services	313	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
lan Roger Mark Geldenhuys t/a lan G And Associates	36720	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ibanco Transactional Services (Pty) Ltd	42854	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ikemele Financial Services CC	30141	28 February 2013	Contravention of section 15A of the FSB Act
IMB Financial Services (Pty) Ltd	43443	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Imvusa Trading 2535 CC t/a White Consultants	43341	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Independent Broker Advisory Services (Pty) Ltd t/a IBAS	9518	28 February 2013	Contravention of section 15A of the FSB Act
Ingogo Business Enterprise CC	23340	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Inkoleko Trading 108 CC	42079	28 February 2013	Contravention of section 15A of the FSB Act
Innocent Sakhile Mbatha t/a Shandu Financial Brokers	43689	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Insurance Broking Services CC	42562	28 February 2013	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Integrated Property Specialists (Pty) Ltd t/a Integrated Property Services	37327	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
International SOS Assistance (Pty) Ltd	18861	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Isidingo Investment Insurance Consultants (Pty) Ltd t/a Isidingo Insurance	19903	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ithemba Financial Services CC	38743	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jabulani Mbatha t/a Busisa Assets	25566	28 February 2013	Contravention of section 15A of the FSB Act
Jacob Kitso Makgotla t/a J And J Associates	9243	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jacob Maree Grobler	11949	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jacobus Philippus Du Plessis t/a Investgro	2051	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jacques Blignaut t/a Blignaut Wealth Managers	44009	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements

Jacques De Roubiax Loots t/a J & J Motors	10270	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jaffer Dawray t/a J Dawray Financial Services	2011	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jako Vusumzi t/a Vusi Brokers	43316	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jan Daniel Louw	6425	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jan Van Loggerenberg t/a Loerie Makelaars	12456	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Jimmy Mashabela	25893	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Joachim Paul Loubser	11939	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Johan Arend Coenrad Steyn t/a Jackie Steyn Makelaar	13276	28 February 2013	Contravention of section 15A of the FSB Act
Johan Beck Versekerings En Beleggings Makelaars BK	8943	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Johan Maphumulo	23776	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Johan Van Rensburg Makelaars	13422	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Johannes Andries Malan t/a Hannes Malan Financial Services	43773	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Johannes Hendrik Esterhuizen t/a Weskaap Rek.Com	15775	28 February 2013	Contravention of section 15A of the FSB Act
Johannes Marthinus De Wet	43583	28 February 2013	Contravention of section 15A of the FSB Act

Johannes Petrus Rossouw t/a JC Brokers	5202	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
John Thomas Spires t/a Tommy Spires	28148	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Joseph Mabaso t/a Joseph Mabaso Advisers	12731	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Joseph Mc Callaghan t/a Mac McCallaghan Financial Services	12732	28 February 2013	Contravention of section 15A of the FSB Act
Joseph Norman Fredericks t/a Joseph's Insurance Broker	3021	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Joseph Usher Bell t/a Usher Bell	13811	28 February 2013	Contravention of section 15A of the FSB Act
Joshua Mhlongo t/a Amanjomane Financial Consultants	42639	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Juan Schunke t/a Confidential Planning Excellence	6179	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jubilee Funeral And Brokers (Pty) Ltd	40939	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Just Insurance Brokers CC	8251	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Justice Qenehelo Mohlokoane t/a Basia Broking Services	28888	28 February 2013	Contravention of section 15A of the FSB Act
K Smith And Associates CC	38687	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kagiso Ventures Limited	16756	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kalahari Finansiele Dienste BK	43311	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kanabathy Pillay t/a Kana Pillay & Associates	6985	28 February 2013	Contravention of section 15A of the FSB Act
Kapungu Consulting (Pty) Ltd	42873	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Karel Engelbrecht t/a Engelbrecht Makelaars	2577	28 February 2013	Contravention of section 15A of the FSB Act
Karibu Healthcare Services CC	16382	28 February 2013	Contravention of section 15A of the FSB Act

Keamogetse Bridgette Molelekeng t/a Bridgette Assurance Broker	43721	28 February 2013	Contravention of section 15A of the FSB Act
Kgwadi Ya Motse Brokerage CC	38505	28 February 2013	Contravention of section 15A of the FSB Act
Kheta Insurance Brokers (Pty) Ltd	42336	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kifiko Portfolio Management (Pty) Ltd	22804	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
King Of The Waters Trading CC	43661	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2) (b) (iii) of the FAIS Act
Kitsili Trading CC t/a Kitsili's Financial Service	42338	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Knowledge Simphiwe Dladla t/a KS Services Provider	35164	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Knysna Executive Brokers CC	7137	28 February 2013	Contravention of section 15A of the FSB Act
Kompas Finansiele Dienste BK	29202	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Kris Reddy	19899	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Laura Bulcao	20328	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Laurence Sekitimane Mananyetso	31626	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Lawrence Mkwanazi Financial Services CC t/a LMFS Brokers	8947	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Le Tigre Holdings (Pty) Ltd t/a LIFE Financial Services	8458	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Leon Schalk Rossouw t/a Leon Rossouw Brokers	10683	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Lerato Elizabeth Ngoasheng	38961	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the

			FAIS Act
LES Assurance Brokers CC	18154	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Libra Financial Services	6614	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Linda Thokozile Ntoni t/a L Conco & Associates	6383	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Lindamanje Trading CC t/a Lindamanje Brokers	34478	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Liyaquat Allie Parker t/a LA Parker Financial Services	12371	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
LMS Life Counselling CC	42437	28 February 2013	Contravention of section 15A of the FSB Act
Louisa Lee t/a Lee Finansiele Adviseurs	4425	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Louwrence Petrus Van Der Westhuizen t/a Westfin Financial Services	10031	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
LSB Finance CC	8163	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Lucas Sizani Mgidi t/a Executive Solutions	18855	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Luk Insurance Brokers CC	11218	28 February 2013	Contravention of section 15A of the FSB Act
Luke De Kock t/a Assurity Consultants	14752	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Lungisani Dlamini t/a L And D Financial Brokers	43793	28 February 2013	Contravention of section 15A of the FSB Act
LWH Financial Solutions CC	40692	28 February 2013	Contravention of section 15A of the FSB Act
Maatla Kea Rena Trading & Projects CC	43897	28 February 2013	Contravention of section 15A of the FSB Act
Mabenzin Trading CC	23798	28 February 2013	Contravention of section 15A of the FSB Act

Mabotja Insurance Brokers CC	17154	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Macdonald Thabiso Ditshoane	41665	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Machabachaba Joseph Ntikoane t/a Joali Financial Services	43921	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Magatle Motswaledi Abram	6094	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Mainsail Wealth (Pty) Ltd	40238	28 February 2013	Contravention of section 15A of the FSB Act
Makhosi Ncwana t/a Chief Insurance Brokers	3669	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Malebo Anneline Marule t/a Lema Financial Services	40781	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Malilimalo Family Marketing CC	36716	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mamokgadi Financial Services CC	7347	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mampa Ngwetsane Milton t/a Mampa Brokers	43765	28 February 2013	Contravention of section 15A of the FSB Act
Mandlakayise Alfred Masuku t/a Priority Life	21607	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Manini Florence Paulus	25619	28 February 2013	Contravention of section 15A of the FSB Act
Maphoko Distributors And Financial Services CC	21417	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Marcelle Property Holdings & Investments CC	31011	28 February 2013	Contravention of section 15A of the FSB Act
Mari Van Der Walt	35006	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Maria Sophia Combrink t/a Sumari Combrink Brokers	27905	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Maritz Familie Trust	6426	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Marthinus Christoffel Barnard t/a Barnard Makelaars / Brokers	38767	28 February 2013	Contravention of section 15A of the FSB Act
Marthinus Jacobs Financial Services	956	14 March 2013	Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers, ("The Determination")
Martin Derick Van Wyk t/a Martin Van Wyk Finansiele Adviesdienste	11405	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Mary Shitlhangu	43541	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Masakane Administrators CC	24786	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mashika Johannes Malahlela t/a MJ Malahlela Brokers	7771	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Masodi Erica Marcia Lekoto t/a Maduo Financial Services	40269	28 February 2013	Contravention of section 15A of the FSB Act
Massyns Risk Services CC	33254	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Matheke Trading Enterprise CC	43980	28 February 2013	Contravention of section 15A of the FSB Act
Matono Financial Services CC t/a Khulekani Holding Enterprise	40110	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Matshidiso Khoza t/a Lenong Financial Broker	42735	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Matsimbi Investments CC t/a Excel Healthcare Brokers	2821	28 February 2013	Contravention of section 15A of the FSB Act
Matsimela Dennis Kekana	19011	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements

Mawonga Ayliff Hanxa	22746	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mazwoxolo Ceza t/a Mazwi Brokerage	30690	28 February 2013	Contravention of section 15A of the FSB Act
Mbuyiseni Henry Masuku t/a Global Brokers	36438	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
McDonald Matsalaneng Baabua t/a M M Baabua Brokers	20404	28 February 2013	Contravention of section 15A of the FSB Act
MCX Brokers CC	10041	28 February 2013	Contravention of section 15A of the FSB Act
Mergance Financial Services CC	42866	28 February 2013	Contravention of section 15A of the FSB Act
Mergen Naidoo t/a M Naidoo & Associates	4630	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Meyerotto Incorporated (Pty) Ltd t/a Meyerotto	36484	28 February 2013	Contravention of section 15A of the FSB Act
Mfelafuthi Johannes Mthembu t/a MJM Brokers	34272	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Mgigi Investment Holdings CC	41737	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Michael Harilaou t/a Insurance Consulting Services	1664	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Michael John Bryan Goss t/a Goss And Company Insurance Brokers	15711	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Michele Pretorius t/a Changing Tides	43529	28 February 2013	Contravention of section 15A of the FSB Act
Middelburg Car Sales CC	11458	28 February 2013	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mignon Minnaar t/a Maxi Makelaars en Finansiële Dienste	28638	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Miya Financial Services CC	16989	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mkhize Assurance Brokers And Properties CC	17358	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Mkhululi Mlimbo Gwebityala Ngxumza t/a Ngxumza Financial Services	36826	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mkunqwana Insurance Agency CC	43710	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
ML Reddy Financial Planning CC	40284	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mlandu Investments CC	43052	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mluleki Godfrey Lunika t/a Bridge Insurance Brokers	34798	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mlungisi Goodman Nkabinde t/a Phondle Brokers	36337	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Mlungisi Jerome Hlophe t/a MJ Hlophe White Brokers	34593	28 February 2013	Contravention of section 15A of the FSB Act
MM Baabua Brokers CC	43668	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mmboswobeni Eric Ratshiungo t/a Future Insurance Brokers	34403	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mogamat Jappie t/a Star Insurance Brokers	12763	28 February 2013	Contravention of section 15A of the FSB Act
Mohamed Ally Joosab Noor Mahomed t/a Mahomed & Associates	2108	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mohlaloga Brokers CC	34620	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Molotsi Financial Advisors CC	42971	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Monateng 501 CC t/a Lechoano Financial Services	39552	28 February 2013	Contravention of section 15A of the FSB Act
Monetology Financial Services (Pty) Ltd	17818	28 February 2013	Contravention of section 15A of the FSB Act

Moraketswe Isaac Mpete	27467	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Moses Jacobus Fortuin t/a MJ Fortuin Financial Services	3296	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Motlalepula George Lebitsa	27493	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Motsamai Doctor Setae	26323	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mqabuki Dalasile t/a Dalasile Financial Services	40947	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mudau Sistas Brokerage & Projections CC	41062	28 February 2013	Contravention of section 15A of the FSB Act
Multilex Legal Services CC t/a DVHIB	17278	28 February 2013	Contravention of section 15A of the FSB Act
Muluthanyi Kaizer Sinthumule t/a Ndiene Financial Service	31556	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mvuleni Phillip Mdayi t/a MSM Consultancy	21803	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mykaprops CC	4040	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ncokola Trading CC t/a NFA (National Financial Advice)	43502	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nedrand Insurance Brokers (Pty) Ltd	16867	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Neo Joyce Mohlamme	32380	28 February 2013	Contravention of section 15A of the FSB Act
New Dimension Financial Services CC	31608	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
New Horizons Financial CC	19904	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ngezimani Mabunda t/a NFC Brokers	43287	28 February 2013	Contravention of section 15A of the FSB Act
Nhlanganiso Capital And Financial Services (Pty) Ltd	43489	28 February 2013	Contravention of section 15A of the FSB Act

Nicolaas Christiaan Havenga t/a Nico Havenga Makelary	2058	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Nilafield (Pty) Ltd t/a Kwena Risk	43507	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nkosinathi Sithembiso Mpanza	21792	28 February 2013	Contravention of section 15A of the FSB Act
Nkoyono Peter Tema t/a Tema Financial Broker Services	12033	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nodus Group Holdings (Pty) Ltd	42521	28 February 2013	Contravention of section 15A of the FSB Act
Noel Eric Vincent Baker t/a Noel Baker & Associates	15912	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Nomakhosi Praxidice Bhengu t/a Mylo Brokers	15962	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nomphelo Gloria Madyongolo t/a Southern Sound	43924	28 February 2013	Contravention of section 15A of the FSB Act
Ntinga Health & Financial Services CC	32188	28 February 2013	Contravention of section 15A of the FSB Act
Ntokozo Khoza	26021	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Ntombifuthi Sithokozile Phiwokuhle Masondo t/a Futhi Financial Services	21305	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ntsieni Asaph Nengudza t/a Phindulo Insurance Brokers	20500	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nuno Miguel Mesquita Da Costa t/a NDC & Associates	27289	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nzepo Media CC	43006	28 February 2013	Contravention of section 15A of the FSB Act
Oneel Ananth	17495	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Optic Moving Signs CC t/a Osmonds Auto Haus	11375	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Orient Estates CC	37492	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Owen Henry Smith t/a Owen Smith And Associates	5157	28 February 2013	Contravention of section 15A of the FSB Act
Patrick Dondolo t/a Patrick Financial Provider	37194	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Patrick Vulindlela Maju t/a Tax And Investments Solutions	39398	28 February 2013	Contravention of section 15A of the FSB Act
Percy Mabasa t/a Ntshuxeko	43166	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Peter Bernard Cramer	16158	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Peter Grobler CC	43446	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Peter John Farham t/a P & E Brokers	5381	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Petronella Johanna Meyer t/a Anel Meyer Makelaars	16885	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Phakamile Victor Mabena t/a PV Mabena And Associates	12378	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Phezulu Risk Solutions (Pty)Ltd	3429	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phika Insurance Brokers (Pty) Ltd	39213	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Phillistus Lizzy Dorothy Buthelezi t/a Libra Consulting Services	42910	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Phindile Neliswe Chili	43932	28 February 2013	Contravention of section 15A of the FSB Act
Pierre Wilsenach t/a PW Makelaar	15606	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Pieter Gerhardus Vermaak Rademeyer t/a Winterberg Brokers	16129	28 February 2013	Contravention of section 15A of the FSB Act
Pieter Gerhardus Vermaak Rademeyer t/a Winterberg Brokers	16129	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Pieter Janse De Jager t/a Pieter De Jager Makelaars	13057	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
PKX Capital (Pty) Ltd	41434	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Platinum Makelaars CC	2854	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
PMAC Properties CC t/a PS Financial Services	43708	28 February 2013	Contravention of section 15A of the FSB Act
Point Broker Services CC	10946	28 February 2013	Contravention of section 15A of the FSB Act
Premie Plan (Pty) Ltd	25694	28 February 2013	Contravention of section 15A of the FSB Act
Premilla Haripersad t/a KIB ACCounting & Investment	5766	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Pretty Nompumelelo Dube	43776	28 February 2013	Contravention of section 15A of the FSB Act
Prof Corp Med Schemes CC t/a Prof Med	9806	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Quoin Trust (Pty) Ltd	11225	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rajesh Nundhlall t/a R & R Financial Brokers	15570	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rakgaowe Shadrack Monnakgotla	15482	28 February 2013	Contravention of section 15A of the FSB Act
Rattan Risk And Investment Consultants (Pty) Ltd	43706	28 February 2013	Contravention of section 15A of the FSB Act

Read All Over Communications CC	42925	28 February 2013	Contravention of section 15A of the FSB Act
Rean De Necker t/a Worldwide Wealth	15860	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rebolokegile Financial Services	40296	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rebone Dorothy Moche Mmoledi t/a Lulus Company	27936	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Rendie Financial Services (Pty) Ltd	43524	28 February 2013	Contravention of section 15A of the FSB Act
Rene Hester Kruger t/a Platinum Health And Wealth Financial Services	41649	28 February 2013	Contravention of section 15A of the FSB Act
Renee Bridget Lee t/a Manatee Medical Brokers	38438	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Renier Johannes Gouws t/a Reon Gouws Makelaars	43893	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Reuben Bongani Sikhakhane t/a Sinawe Community Services	43451	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rezak Finansiele Dienste BK	42504	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Richard Donald Croeser	10365	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
River End Trading 632 CC t/a Platinum Horizon Broker Consultants	35083	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Robin Eric Coetzer t/a Tax Net Insurance	8712	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Robin Mathew Greenan t/a Greenan Brokers	35484	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rolleston Hall Financial Services CC	13265	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Ronald Peter Edwards t/a Edwards & Julius	5445	28 February 2013	Contravention of section 15A of the FSB Act

Rose Dar Trading Enterprise CC	41681	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Royal Risk Solutions (Pty) Ltd	34742	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Royas Philemon Mambane t/a MRP Brokers	28238	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ruben Andre Smith	15122	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rynardt Gideon Scholtz	23474	28 February 2013	Contravention of section 15A of the FSB Act
Sakhele Nzuzo Independent Managers CC	43485	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Samporele Financial & Advisory Services (Pty) Ltd	23682	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sapu Brokers	4052	28 February 2013	Contravention of section 15A of the FSB Act
Sarah Bibi Suleman	3045	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Sasol Limited	26843	28 February 2013	Contravention of section 15A of the FSB Act
Savjon Motors CC t/a Bonro Delta	23015	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sebenzile Leroy Richard Matiwane t/a SBU Brokers	17679	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sekiwe Alice Ngcangata	32964	28 February 2013	Contravention of section 15A of the FSB Act
Sello S. Rasemana t/a Rasemana Financial Services	12761	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Seppo Johannes Ranta t/a Point Broker Services	43815	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Shaddy Fezile Mbuyiselo Soyamba t/a Future Finance Insurance Brokers	9804	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Shadrack Simangaliso Mbonani t/a Mbonani Brokers	41079	28 February 2013	Contravention of section 15A of the FSB Act
Shalom Touching Lives Funeral Home CC	43566	11 March 2013	Contravention of sections 8(1)(c), 19(2)(a) and (b)(iii) of the FAIS Act
Sharan Haricharan t/a S Haricharan & Associates	15771	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Sheila Financial Services CC	39953	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Shelagh Mary Lubbock t/a SML Investments	3163	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Sherotha Financial Services CC	35542	28 February 2013	Contravention of section 15A of the FSB Act
Siba Consuilting CC	2623	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sibongiseni Graduate Sithebe t/a Anotha Investments	41849	28 February 2013	Contravention of section 15A of the FSB Act
Sibusiso Blessing Mkhize t/a Sibusiso Mkhize Holding Enterprise	39108	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sipho Daniel Mthembu	44293	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Sipho Eric Thusi t/a Se Financial Services	22300	28 February 2013	Contravention of section 15A of the FSB Act
Sipho Simon Ngidi t/a Isipho Brokers	44070	28 February 2013	Contravention of section 15A of the FSB Act
Sirius Motor Corporation (Pty) Ltd t/a Cardinals Motor Corporation LV	43261	28 February 2013	Contravention of section 15A of the FSB Act
Sithembile Mfiso Mthembu t/a Mnisi Brokers	43649	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Siyahwell Marketing And Supplier CC t/a Siyahwell Marketing	34970	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Skies Beyond Investment And Memorial Home (Pty) Ltd t/a Skies Beyond	39870	27 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sondag Johannes Mopai t/a SJ Mopai Brokers	43351	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Sphiwe Vincent Nyawose t/a Vincent Investment Brokers	27071	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sphiwosenkosi Sfiso Ntuli t/a SS Ntuli Brokers	43876	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Square Circle Financial Planner CC	6596	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Stanley Combrink t/a Combrink Brokers	11693	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	28 February 2013	Contravention of section 15A of the FSB Act
Stephen John Hansen	7623	28 February 2013	Contravention of section 15A of the FSB Act
Sterling Alliance Financial Services t/a Sterling Alliance	42979	28 February 2013	Contravention of section 15A of the FSB Act
Sure Cover Brokers CC	29059	28 February 2013	Contravention of section 15A of the FSB Act
Suven Singh	44178	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Swanserv (Pty) Ltd	14750	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tabisa Sapula t/a TS Broker Services	37666	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Tebello Michael Tsolo t/a Sisco Insurance Brokers	16803	28 February 2013	Contravention of section 15A of the FSB Act
Teboho Nelly Nketoane	21516	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Tembinkosi Terence Mketo t/a Zizamele Insurance Brokers	26901	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the

			FAIS Act
TFE Financial Services (Pty) Ltd t/a The Financial Emporium	43749	28 February 2013	Contravention of section 15A of the FSB Act
TGIF Advisors CC t/a TG Govender Insurance Finance & Medical Aid Advisors	9652	28 February 2013	Contravention of section 15A of the FSB Act
Thanduxolo Kennedy Klaas t/a Kennedy Consulting	43785	28 February 2013	Contravention of section 15A of the FSB Act
The Bernal Group CC	40853	28 February 2013	Contravention of section 15A of the FSB Act
The Business Zone 1346 CC	34846	28 February 2013	Contravention of section 15A of the FSB Act
The Business Zone 452 CC t/a Smit Brokers	7116	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
The MCA Wealth Corporation (Pty) Ltd	40165	28 February 2013	Contravention of section 15A of the FSB Act
Theodore Becker	25283	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Theodore William Ireland t/a TW Ireland	17280	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Theophilius Mooketsi	18867	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Theunis Boshoff Roux t/a R & T Insurance	41123	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Theunis Erasmus Laubscher t/a Smittie Laubscher Makelaars	18850	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Thokozani Wilton Khumalo t/a Amantungwa Financial Services	44120	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Thomas Vusi Mkhize t/a Mkhize Khabazela Brokers	44191	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Thomas William Gardner Bradfield	8928	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements

Thulani Frances Khumalo	39676	28 February 2013	Contravention of section 15A of the FSB Act
Thulasizwe Enock Zaca t/a Insimbi Financial Services	30494	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tinyiko Ivy Duma t/a Duma Financial Services	44228	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Tirelo Funeral Benefits	7492	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
TJ De Kock & P De Kock t/a Profusion Administrators	43693	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2) (b) (iii) of the FAIS Act
TMG Brokers CC	39538	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
TNI Consulting (Pty) Ltd	37833	28 February 2013	Contravention of section 15A of the FSB Act
TNT Financial Consultants CC t/a Tom Nel Makelaars	12512	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Traluz Insurance Brokers CC	37567	28 February 2013	Contravention of section 15A of the FSB Act
Trans African Motors CC	22417	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Triadd Financial Management Services CC	10079	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Trilogy Financial Services CC	28274	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Trusted Financial Planners CC	37562	28 February 2013	Contravention of section 15A of the FSB Act
Tshifularo Gerson Mufeba	19918	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Uber Tijama Big Brokers (Pty) Ltd	17380	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ubuchule Business Enterprises CC	10415	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Unitoy Investments (Pty) Ltd t/a Patrick Toy Financial Services	12608	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
VS Gounden t/a Magsure Assurance Brokers	10082	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Veeran Kisten t/a Venture Insurance Brokers	7514	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Victor Masango t/a Negotiated Employee Benefits	17002	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Vitomir Mihic t/a Soko Insurance Broker	7825	28 February 2013	Contravention of section 15A of the FSB Act
Vuma Stanley Mwelase t/a Masibumbane Insurance Brokers	13200	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Vuyani Basil Mali t/a VBM Financial Services	32878	28 February 2013	Contravention of section 15A of the FSB Act
Wayne Benjamin Stevens t/a Shoreline Financial Services	24246	28 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Wayne Benjamin Stevens t/a Shoreline Financial Services	24246	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Wealth Exponential (Pty) Ltd	42180	28 February 2013	Contravention of section 15A of the FSB Act
Wendy Joan Mare t/a Wendy Mare Financial Planning	41289	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wilhelmina Johanna Dorothea Swanepoel t/a Sowsure	18318	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Willem Johannes Delport t/a Delphisure Worcester	5978	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
William Lucky Morake t/a Forluck Insurance Brokers	38871	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Windy Tetiswa t/a Windy T Brokers	32555	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Women's Wealth CC	20441	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wonderboy Nkosi t/a Amangobe Financial Services	43763	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wylde Tandabantu Ndema t/a Wylde Ndema Insurance Brokers	22986	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ya Rona Khumo Catering Services & Insurance Brokers CC	43517	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Yabela Dlali Trading CC t/a YDT Financial Advisors	42918	28 February 2013	Contravention of section 15A of the FSB Act
Yunus Moolla t/a IY Agencies	6924	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Yvonne Blackwood- Murray	21458	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	28 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zandile Mapipa	42922	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements
Zanele Eudorah Gabela t/a Lion And The Cub	28902	28 February 2013	Contravention of section 15A of the FSB Act
Zelda Cecilia Belling t/a Zetti Makelaars	13173	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Zelda Winda Austin t/a Future Financial Solutions	43848	28 February 2013	Contravention of section 15A of the FSB Act
Zelpy 2147 (Pty) Ltd t/a Douglass And Associates	14841	28 February 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Zithulele Patrick Buthelezi	43941	14 March 2013	Contravention of section 10 of the Fit and Proper Requirements

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 3 of 2013.

Am

**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 57 OF 2013**

# FINANCIAL SERVICES BOARD

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

# LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Alpha And Omega Healthcare Consultants CC	21577	8 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Alpha Makelaars BK	3494	12 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Amagutshwa Trading CC t/a Amagutshwa Financial Services	40826	16 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Amanda Geldenhuys t/a Amanda Geldenhuys	42830	12 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Arnot Acker Financial Services t/a Acker Financial Services	378	1 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Atkinson Mills And Associates CC	17569	12 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
BMLP Trading CC	37596	2 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Brainwave Projects 1937 CC t/a Communications 101	36554	6 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Buti Lucas Masango	7014	28 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Calvin Louvie t/a Sizakala Insurance Consultants	24352	21 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Caris Matic Financial Services CC	35937	7 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Carol Ntombikayise Ndlovu t/a Carol Ndlovu Enterprise	29075	16 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS

			Act
Charl Ettiene La Grange t/a La Grange And Associates	3844	12 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chris Ria Makelaars BK t/a Boland Makelaars	32297	15 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Christopher Adams Life Assurance Brokers CC	16441	18 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Douglas Willson Florence	41468	15 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eyesure Consultants (Pty) Ltd	43360	17 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
G Szemere CC t/a Manhattan Insurance Brokers	1548	7 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Gerber, Botha & Gowar Consultants (Cradock) CC	15200	12 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Gezani Ben Mboweni t/a Benevolent Insurance Brokers	15126	23 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Glenda Joy Rogers t/a Glenda Rogers & Associates	17127	16 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Hamilton Thomas Davidson t/a HT Davidson & Associates	8279	29 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harnacks Brokers CC	36577	30 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
HDZ Housing & Development Investment (Pty) Ltd	4080	15 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Headline Financial Services (Pty) Ltd	6394	7 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Hennox 92 CC t/a Nostradamus Consulting Services	22177	15 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Ignatius Mohan t/a Norman Mohan Insurance Brokers	32137	13 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Irene Noswazi Ntombikayise Nzimakwe	24044	15 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Isaac Zwelibanzi Mbambalala t/a Ikes Brokers	24128	25 January 2013	The licensee has now complied with section 17(4) of the FAIS Act

Jeanne Yvonne Geyer	43145	25 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Jeffrey Webber	31876	13 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Khanyisile Sylveria Sosibo t/a KS Brokers	38841	30 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Konyana Funeral Directors CC	35569	25 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kua-Sure Brokers CC	41698	18 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lejweng Investments Solutions CC	42617	7 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Lerato Elizabeth Ngoasheng	38961	15 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Lezmin 1620 CC t/a Your Medical Aid Centre	17600	29 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Liyaquat Allie Parker t/a LA Parker Financial Services	12371	25 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Lungile Christopher Twaku t/a Khwangeshe Independent Broker	42392	6 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mainet Makelaars BK	2562	25 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
MAP Brokers CC	32744	14 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Matee Collen Manyane	39924	18 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Mathseb Cattle And Meat Services CC t/a Dirisanang Ma-Africa Society	11312	18 January 2013	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("the FSB Act")
Matladi Ruth Tsele	4992	21 January 2013	The licensee has now complied with section 15A of the FSB Act
Mdloana Trading And Project CC t/a Mdloana Brokerage	41590	12 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Menziwa File Financial Solutions CC	42635	14 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Meso Capital (Pty) Ltd	40598	23 January 2013	The licensee has now complied with section 17(4)

			of the FAIS Act
Mignon Finansiele Dienste BK	24758	6 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mkalavo Consulting CC	39772	15 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Moses Mzayifani Ndlovu t/a Mzitoes Brokerage	22671	24 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Mtsweni Consulting And Financial Services CC	18821	17 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Mzukisi Reginald Mdeliswa t/a Mdeliswa Brokerage	26770	25 January 2013	The licensee has now complied with section 15A of the FSB Act
Njabuloyethu Financial Services CC t/a Ventimes	39315	12 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosinathi Sithembiso Mpanza	21792	6 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Northwood Financial Services CC	13296	17 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Ntinga Health & Financial Services CC	32188	15 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nu-Vision Financial Consultants CC	12486	15 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Onetime Brokers CC	42571	23 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Opperman & Associates CC	15414	29 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Phola Marketing Services CC	20994	29 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Power Rush Trading 73 CC t/a Kytel Consulting	6953	15 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Raymond Bongani Mkhize	5131	12 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Read All Over Communications CC	42925	18 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RFA Consulting (Pty) Ltd	37052	6 February 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Richard Makhaphela	6456	13 February 2013	The licensee has now complied with section 15A of

			the FSB Act
Rixaka Funerals (Pty) Ltd	26415	23 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
RP Comprehensive Marketing Agency CC	4073	23 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
SA Trust Konsultante OVS BK	15990	15 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Samkelo Cyril Ngcobo	17924	17 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Seja Enos Manthata t/a Manthata Brokers	26020	16 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shele Construction CC	37768	30 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Sindezanwa Edman Ndabambe t/a Kwanda Financial Services	42887	15 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siphiwo Ethwell Potgieter Mfeketo t/a Champions Insurance Brokers Services	2245	25 January 2013	The licensee has now complied with section 15A of the FSB Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	25 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stater Trading And Project CC	41165	23 January 2013	The licensee has now complied with section 15A of the FSB Act
Sterling Alliance Financial Services t/a Sterling Alliance	42979	18 January 2013	The licensee has now complied with section 15A of the FSB Act, and section 17(1) of the FAIS Act
Strinivasa Jaggiah Naidoo t/a Strini Naidoo & Associates	25438	15 February 2013	The licensee has now complied with section 17(4) of the FAIS Act
Tarleys Trust (Pty) Ltd	43338	15 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
TMG Brokers CC	39538	18 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Trafa Trading Enterprise CC t/a Support The Change SA	42840	29 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tsakani Maria Mabunda	43036	25 January 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Tshifularo Gerson Mufeba	19918	15 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Unitoy Investments (Pty) Ltd t/a Patrick Toy Financial Services	12608	17 January 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Van Dyk Cilliers Consulting CC	32686	6 February 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Viewpoint Financial Services CC	25735	25 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Vuyani Basil Mali t/a VBM Financial Services	32878	15 January 2013	The licensee has now complied with section 17(4) of the FAIS Act
Westdene Brokers CC	14914	18 January 2013	The licensee has now complied with section 17(4) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 3 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### BOARD NOTICE 58 OF 2013

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
Akko Management (Pty) Ltd	38372	13 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
AR Motors CC t/a AR Toyota	10244	13 February 2013	Contravention of section 17(1) of the FAIS Act
Dakhomela Insurance Brokers CC	24137	13 February 2013	Contravention of section 8(1)(a) of the FAIS Act
Mchunu Financial Advisory Services CC	18615	21 January 2013	Contravention of section 8(1)(a) of the FAIS Act, and sections 2 and 3(1)(d) of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003
Murray Clan Investments (Pty) Ltd	43460	25 January 2013	Contravention of section 8(1)(a) of the FAIS Act
Nkosana Korie t/a N Kories Investments	10554	18 January 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peak Asset Management (Pty) Ltd	8038	8 February 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Pearl Coral 1435 CC t/a United Vision Financial Services	40195	21 January 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act

Select Executive Consulting CC	20251	7 February 2013	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
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This Notice is called the Notice on Withdrawal of Authorisation, No 3 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 59 OF 2013**

## FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### **REINSTATEMENT OF WITHDRAWN LICENCES**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
Bongani Philemon Shandu	43304	31 January 2013
Gabikay Brokers CC	29973	23 January 2013
Gavin John Beadsworth t/a Gaine Financial Services	14382	15 January 2013
Jan Abraham Du Plessis t/a Bakkie Sentrum And Mahindra Welkom	30371	12 February 2013
Jawirlall Ramburan Bechoo t/a Bechoos Funeral Services	10443	30 January 2013
Lauretta Chimangatsho Muduvhadzi t/a Vanessa's Brokerage	33625	6 February 2013
Lwandle Wiseman Jikazi t/a LW Investment	3799	6 February 2013
Nkosana Korie t/a N Kories Investments	10554	14 February 2013
Quarten Investments CC t/a Jooma Financial Brokers	4022	29 January 2013
Willem Johannes Bekker	17147	15 February 2013

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 3 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

#### **BOARD NOTICE 60 OF 2013**

#### **FINANCIAL SERVICES BOARD**

## FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
Africa's Best 393 (Pty) Ltd t/a Wildvest	42646	7 February 2013	Contravention of section 8(4) of the FAIS Act, and licensing condition 2 of the license
Chris Slabbert Brokers CC	3451	7 February 2013	Contravention of section 17(1) of the FAIS Act
Ekhuphileni Consultancy (Pty) Ltd	17130	23 January 2013	Contravention of section 13 of the FAIS Act, and sections 7, 8, 9 and 13 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("the Code")
Legal Protection Services (Pty) Ltd	3887	13 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magapjane Educational & Professional Financial Services CC	5448	25 January 2013	Contravention of section 3A(2)(a), 10(1)(d) and 12(1) of the Code, and licensing condition 1 of the license, and section 4(4) of the FAIS Act
PFM Medical Scheme Marketing (Pty) Ltd	20745	7 February 2013	Contravention of section 17(1) of the FAIS Act
Plum Portfolio Solutions (Pretoria) (Pty) Ltd	13840	18 January 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vhaila Brokers CC	25920	18 February 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.

- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 4 of 2013.

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**G E ANDERSON** Deputy Registrar of Financial Services Providers

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