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BOARD NOTICES

BOARD NOTICE 72 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Andre Van Rensburg t/a Van Rensburg Makelaars	12350	20 March 2013	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act")
Avon John Harris t/a Avon Harris Financial Advisory Services	43032	8 April 2013	The licensee has now complied with section 15A of the FSB Act
Bareno Investments 1001 (Pty) Ltd	11637	8 April 2013	The licensee has now complied with section 15A of the FSB Act
Bimal Chand Maharaj t/a KC Financial Services	8668	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Bogale Ellon	43672	3 April 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Brishmaer & Associates Financial Services CC	26071	19 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Brokers/Makelaars Trust	11977	11 April 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Burger Wilhelmus Gericke t/a Buks Gericke Makelaars	18308	10 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

CBS Financial Services CC	21865	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Cornelius Arnoldus Jacobs t/a Arno Jacobs Financial Planners	26230	25 March 2013	The licensee has now complied with section 15A of the FSB Act
Daniel Masubjane Mohlala t/a Katlego Financial Services	13381	28 March 2013	The licensee has now complied with section 15A of the FSB Act
Daniel Modise Kolokoto t/a DK Brokers	4513	2 April 2013	The licensee has now complied with section 15A of the FSB Act
Darbyshire Williams Brokers CC	28277	3 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Develco CC	24091	11 April 2013	The licensee has now complied with section 15A of the FSB Act
Enock Ngobeni t/a Wealthworth	25717	4 April 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements of Fit and Proper Requirements for Financial Services Providers, 2008 ("the Fit and Proper Requirements")
Excellect 36 CC	34813	28 March 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gijzen Brokers Services CC	12212	25 March 2013	The licensee has now complied with section 15A of the FSB Act
Goodness Mbatha t/a Good-Investment Brokers	43761	20 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Greenway Financial Solutions Africa (Pty) Ltd	43574	26 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Hilmar Engineering CC t/a Global Infinity Investments	22610	28 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
HV Makelaars BK	37265	20 March 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements

IMB Financial Services (Pty) Ltd	43443	28 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Imvusa Trading 2535 CC t/a White Consultants	43341	3 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Ingogo Business Enterprise CC	23340	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jacobus Philippus Du Plessis t/a Investgro	2051	5 April 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements
Joshua Mhlongo t/a Amanjomane Financial Consultants	42639	3 April 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements
Just Insurance Brokers CC	8251	19 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
K Smith And Associates CC	38687	28 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Karel Engelbrecht t/a Engelbrecht Makelaars	2577	20 March 2013	The licensee has now complied with section 15A of the FSB Act
Keamogetse Bridgette Molelekeng t/a Bridgette Assurance Broker	43721	2 April 2013	The licensee has now complied with section 15A of the FSB Act
Kholeka Mnyaka t/a Isinamya Brokers	7525	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kitsili Trading CC t/a Kitsili's Financial Service	42338	8 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Les Assurance Brokers CC	18154	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Libra Financial Services t/a Libra Financial Services	6614	4 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

Peter John Farham t/a P & E Brokers	5381	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Phindile Neliswe Chili	43932	5 April 2013	The licensee has now complied with section 15A of the FSB Act
Pretty Nompumelelo Dube	43776	20 March 2013	The licensee has now complied with section 15A of the FSB Act
Quoin Trust (Pty) Ltd	11225	20 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Robin Eric Coetzer t/a Tax Net Insurance	8712	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Royal Risk Solutions (Pty) Ltd	34742	11 April 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Sebenzile Leroy Richard Matiwane t/a SBU Brokers	17679	19 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sibongiseni Graduate Sithebe t/a Anotha Investments	41849	20 March 2013	The licensee has now complied with section 15A of the FSB Act
Sipho Daniel Mthembu	44293	5 April 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements
Sipho Simon Ngidi t/a Isipho Brokers	44070	3 April 2013	The licensee has now complied with section 15A of the FSB Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	8 April 2013	The licensee has now complied with section 15A of the FSB Act
Swanserv (Pty) Ltd	14750	19 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Thembinkosi Godfrey Mlambo t/a TGM Insurance Brokers	28133	19 March 2013	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Theophilius Mooketsi	18867	2 April 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Thomas Vusi Mkhize t/a Mkhize Khabazela Brokers	44191	28 March 2013	The licensee has now complied with section 10 of the Fit and Proper Requirements
Thulani Frances Khumalo	39676	25 March 2013	The licensee has now complied with section 15A of the FSB Act
Thulasizwe Enock Zaca t/a Insimbi Financial Services	30494	3 April 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tirelo Funeral Benefits	7492	18 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Traluz Insurance Brokers CC	37567	25 March 2013	The licensee has now complied with section 15A of the FSB Act
Umlalazi Management Services CC	43306	22 March 2013	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Windy Tetiswa t/a Windy T Brokers	32555	8 April 2013	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Women's Wealth CC	20441	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Wylde Tandabantu Ndema t/a Wylde Ndema Insurance Brokers	22986	4 April 2013	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Yabela Dlali Trading CC t/a YDT Financial Advisors	42918	18 March 2013	The licensee has now complied with section 15A of the FSB Act

	Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	28 March 2013	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
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This Notice is called the Notice on Lifting of Suspension of Authorisation, No 4 of 2013.

G E ANDERSON

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BOARD NOTICE 73 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

REINSTATEMENT OF WITHDRAWN LICENCES

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE
North Shore Trading 301 CC	25944	19 March 2013

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 4 of 2013.

G E ANDERSON

A)m

BOARD NOTICE 74 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO	EFFECTIVE DATE	REASONS FOR SUSPENSION
Summit Fund Solutions (Pty) Ltd	40791	11 April 2013	Contravention of sections 8(4)(b), 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 5 of 2013.

G E ANDERSON

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BOARD NOTICE 75 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
Adriattie Smith t/a Healthcare Solutions	26034	5 April 2013	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act")
Jabulani Douglas Khanyasa t/a Khanyasa Insurance Brokers	30871	2 April 2013	Contravention of section 2 and 11 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 and section 13(1) and (3) of the FAIS Act
Michael Billy Moswane	41586	3 April 2013	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Picvest Investments (Pty) Ltd	20878	4 April 2013	Contravention of section 8(1)(a) and (b) of the FAIS Act
Plum Portfolio Solutions (Pretoria) (Pty) Ltd	13840	26 March 2013	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vhaila Brokers CC	25920	26 March 2013	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 4 of 2013.

G E ANDERSON

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