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BOARD NOTICES

BOARD NOTICE 136 OF 2013

FINANCIAL SERVICES BOARD FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, NO. 4 OF 2013

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

| | |
|--------------------------|--|
| George Dukai | Mduduzi Darius Wanda |
| Motswaledi Abram Magatle | Christoffel Jacobus Lodewikus Lindeque |
| Ncumisa Mkololo | Rethabile Caroline Sabasaba |
| Magugunu Paulus Zwane | Petrus Phillemon Lekgatle |
| Johannes Albert Barnard | Seage Samuel Maimela |
| S'Celo Hercules Mndebele | Johanna Adriana Sophia Steenkamp |
| Nombulelo Avis Mlombo | André John de Goede |
| Moses Elvis Nkuna | Premchand Rajkumar Bandhooa |
| David Mhlanhla Ngwenya | Adolf Jacobus van der Walt |
| Picol Mbango Thobela | Lungile Mhlongo |
| Mandla Hudson Mahlalela | Bhekinkosi Sidney Shongwe |
| Gladman Vuyani Rashe | Wizzil Khutso Mashile |
| Stanley Johnson Ferguson | Bruce Harold Stewart |
| Hugo Adriaan Bornman | Peter John Nock |
| Brett Clem McMaster | Gideon Nico Cloete |
| Juan Wimpie Nel | Thanaseelan Govender |
| Abie Ntoahae | |

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 4 of 2013, and comes into operation on the date of publication in the *Gazette*.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 137 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 6 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


G E ANDERSON

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 6 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

| TABLE A | |
|-------------------------|---|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| Tebogo Petrus Seate | Must successfully complete the relevant first level regulatory examination by 31 December 2013. |
| George Dukai | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |

| TABLE A | |
|--|--|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| Raymond Sydney Flint | Must successfully complete the relevant first level regulatory examination by 28 February 2014. |
| Motswaledi Abram Magatle | Must successfully complete the relevant first level regulatory examination by 30 September 2013. |
| Ncumisa Mkololo | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Magugununu Paulus Zwane | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Monwabisi Columbus Gubesa | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Johannes Albert Barnard | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Thembinkosi Godfrey Nombela | Must successfully complete the relevant first level regulatory examination by 31 December 2013. |
| S'Celo Hercules Mndebele | Must successfully complete the relevant first level regulatory examination by 30 September 2013. |
| Nombulelo Avis Mlombo | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Moses Elvis Nkuna | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| David Mhlanhla Ngwenya | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Picol Mbango Thobela | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Mandla Hudson Mahlalela | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Leonard Goldman | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Gladman Vuyani Rashe | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Stanley Johnson Ferguson | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Cecil Otto | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Theunis Erasmus Laubscher | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Hugo Adriaan Bornman | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Pieter Johannes Badenhorst | Must successfully complete the relevant first level regulatory examination by 31 December 2014. |
| Brett Clem McMaster | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Juan Wimpie Nel | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Abie Ntoahae | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Mduduzi Darius Wanda | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Desmond Sinclair Johnson-Robson | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Christoffel Jacobus Lodewikus Lindeque | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Rethabile Caroline Sabasaba | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Gregory John Boucher | Must successfully complete the relevant first level regulatory examination by 30 September 2013. |
| Petrus Phillemon Lekgatle | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Seage Samuel Maimela | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Johanna Adriana Sophia Steenkamp | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| André John de Goede | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Cedric Ernst Muller | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |

| TABLE A | |
|---------------------------------|--|
| COLUMN ONE Applicant | COLUMN TWO Conditions |
| Kgope Esrom Nchabeleng | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Jan-Hendrik Coulson | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Cetekile Ida Mashiya | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Paulus Kuger Saaiman | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Maria Mbatha | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Alpheus Nengwekhulu | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Premchand Rajkumar Bandhooa | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Adolf Jacobus van der Walt | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Lungile Mhlongo | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Bhekinkosi Sidney Shongwe | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Wizzil Khutso Mashile | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Bruce Harold Stewart | Must successfully complete the relevant first level regulatory examination by 30 September 2013. |
| Christiaan Burger Venter | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Peter John Nock | Must successfully complete the relevant first level regulatory examination by 31 October 2013. |
| Gideon Nico Cloete | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |
| Thanaseelan Govender | Must successfully complete the relevant first level regulatory examination by 30 November 2013. |

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 6 of 2013, and comes into operation on publication in the *Government Gazette*.

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