



# Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA  
REPUBLIEK VAN SUID-AFRIKA

Vol. 579

Pretoria, 12 September 2013

**No. 36842**

*N.B. The Government Printing Works will not be held responsible for the quality of "Hard Copies" or "Electronic Files" submitted for publication purposes*



**AIDS HELPLINE: 0800-0123-22 Prevention is the cure**

**IMPORTANT NOTICE**

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

**CONTENTS • INHOUD**

<i>No.</i>	<i>Page No.</i>	<i>Gazette No.</i>
<b>BOARD NOTICE</b>		
190 Financial Advisory and Intermediary Services Act (37/2002): Exemption of Standard Chartered Bank, Jersey Branch.....	3	36842

---

## BOARD NOTICE RAADSKENNISGEWING

---

### BOARD NOTICE 190 OF 2013

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

#### EXEMPTION OF STANDARD CHARTERED BANK, JERSEY BRANCH

I, Caroline Dey Da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), Standard Chartered Bank, Jersey Branch, to the extent and subject to the conditions set out in the Schedule.



**C D Da Silva,**  
*Deputy Registrar of Financial Services Providers*

#### SCHEDULE

#### EXEMPTION OF EXEMPTION OF STANDARD CHARTERED BANK, JERSEY BRANCH

##### Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"**Registrar**", means the Registrar of Financial Services Providers; and

"**Standard Chartered Bank, Jersey Branch**", means Standard Chartered Bank, Jersey Branch, a branch of Standard Chartered Bank, an authorised bank in the United Kingdom.

##### Extent of exemption and conditions

2. (1) Standard Chartered Bank, Jersey Branch is exempted from section 7(1) of the Act, when rendering financial services subject to the following conditions:

Standard Chartered Bank, Jersey Branch must-

- (a) remain a registered person under the Banking Business (Jersey) Law 1991;
- (b) remain registered under Article 9 of the Financial Services (Jersey) Law 1998 to carry on investment business as per its registration certificate dated 4 July 2013;
- (c) on or before 31 October 2013 submit to the Registrar an application for authorisation as contemplated in section 8(1) of the Act; and

- (d) immediately advise the Registrar of any change to the particulars furnished in the exemption application.
- (2) This exemption shall, subject to paragraph 3, endure until the date on which the application for authorisation is granted or refused by the Registrar.

**Amendment and withdrawal of exemption and conditions**

- 3. The exemption and conditions mentioned in paragraph 2 are subject to-
  - (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

**Short title and commencement**

- 4. This Exemption is called the Exemption of Standard Chartered Bank, Jersey Branch, 2013, and comes into operation on the date of publication in the *Gazette*.
- 

Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001  
Publications: Tel: (012) 334-4508, 334-4509, 334-4510  
Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504  
Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737  
Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001  
Publikasies: Tel: (012) 334-4508, 334-4509, 334-4510  
Advertensies: Tel: (012) 334-4673, 334-4674, 334-4504  
Subskripsies: Tel: (012) 334-4735, 334-4736, 334-4737  
Kaapstad-tak: Tel: (021) 465-7531