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# GOVERNMENT NOTICES • GOEWERMENTSKENNISGEWINGS

### DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT

NO. 3928 2 October 2023

# NATIONAL ENVIRONMENTAL MANAGEMENT: WASTE ACT, 2008 (ACT NO. 59 OF 2008)

# CONSULTATION ON THE PROPOSED AMENDMENTS TO THE REGULATIONS REGARDING THE CONTROL OF THE IMPORT OR EXPORT OF WASTE

I, Barbara Dallas Creecy, Minister of Forestry, Fisheries and the Environment, hereby under section 69(1)(j), read with sections 72 and 73 of the National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008), consult on the proposed amendments to the Regulations regarding the Control of the Import or Export of Waste, 2019, set out in the Schedule hereto.

Members of the public are invited to submit to the Minister, within 30 days from the date of publication of this notice in the *Gazette*, written comments or inputs on the proposed amendments to the Regulations regarding the Control of the Import or Export of Waste to the following addresses:

By post to: The Director-General: Department of Forestry, Fisheries and the Environment

Attention: Mr Jeremia Sibande

Director: Waste and Chemicals Policy and Information Management

Private Bag X447 PRETORIA 0001

By hand at:

Ground Floor (Reception), Environment House, 473 Steve Biko Road, Arcadia, Pretoria,

0001

By email: jsibande@dffe.gov.za

Any enquiries in connection with the notice can be directed to Mr Jeremia Sibande at (012) 399 9832 / 067 417 3844.

The proposed amendments can also be accessed at <a href="http://sawic.environment.gov.za/">http://sawic.environment.gov.za/</a> under "Draft documents for comment".

Comments received after the closing date may not be considered.

**BARBARA DALLAS CREECY** 

MINISTER OF FORESTRY, FISHERIES AND THE ENVIRONMENT

#### SCHEDULE

#### **Definitions**

1. In this Schedule, unless the context indicates otherwise, "the Regulations" means the Regulations published under Government Notice No. R. 22 in Government Gazette 42175 of 21 January 2019.

### Amendment of regulation 1 of the Regulations

- 2. Regulation 1 of the Regulations is hereby amended by —
- (a) the substitution for the definition of "competent authority" of the following definition:
  - "competent authority" means the national department responsible for the environment for the consideration of applications for consent for the transboundary movement of waste in South Africa;";
- (b) the substitution for the definition of "consent" of the following definition:
  - "consent" means written authorisation prior to import, transit or export of waste, issued by the competent authority;";
- (c) the substitution for the definition of "notification document" of the following definition:
  - "notification form" means the application form for the import, export or transit of waste provided for in Annexure 1 of these Regulations;";
- (d) the insertion after the definition of "exporter" of the following definition:
  - "hazardous waste" means hazardous waste as defined in the Act and taking into account the Republic's obligations in terms of international agreements;":
- (e) the insertion after the definition of "importer" of the following definition:
  - "mixed waste" means waste that is unsorted;";
- (f) the insertion after the definition of "movement document" of the following definition:
  - "non-hazardous waste" means general waste as defined in the Act and taking into account the Republic's obligations in terms of international agreements;"; and
- (g) the insertion after the definition of "the Act" of the following definition:
  - "transboundary movement" means any movement of waste from an area under the national jurisdiction of one state to or through an area under the national jurisdiction of another state or to or through an area not under the national jurisdiction of any state, provided at least two states are involved in the movement;".

### Amendment of regulation 2 of the Regulations

3. Regulation 2 of the Regulations is hereby amended by the substitution for regulation 2 of the following regulation:

# "Purpose of the Regulations

The purpose of these Regulations is to --

- 2. (a) establish procedures and control measures for the import, export and transit of waste;
  - (b) promote cradle-to-cradle management in the transboundary movement of waste; and
  - (c) give effect to the Republic's obligations in terms of relevant international agreements on transboundary movements of waste."

# Amendment of regulation 3 of the Regulations

**4.** Regulation 3 of the Regulations is hereby amended by the substitution for regulation 3 of the following regulation:

# "Application of the Regulations

- 3. (1) These Regulations apply uniformly in all provinces of the Republic of South Africa.
  - (2) These Regulations do not apply to—
    - (a) the export of ferrous and non-ferrous waste and scrap as defined in the Export Control Guidelines on the Exportation of Ferrous and Non-Ferrous Waste and Scrap from the International Trade Administration Commission of South Africa;
    - (b) offloading of waste generated through normal operation of ships and offshore platforms;
    - (c) galley waste generated on board ships, vehicles, trains and aircrafts; and
    - (d) second-hand goods regulated under the Second-Hand Goods Act, 2009 (Act No. 6 of 2009), except products listed under the National Waste Information Regulations."

# Amendment of regulation 4 of the Regulations

- 5. Regulation 4 of the Regulations is hereby amended--
- (a) by the substitution for subregulation (1) of the following subregulation:

### "General Prohibitions

- 4. (1) No person may =
  - (a) import any waste from a country without obtaining the consent required for the transboundary movement of waste;
  - (b) export any waste to a country without obtaining the consent required for the transboundary movement of waste:
  - (c) transit any waste through South Africa without obtaining the consent required for the transboundary movement of waste, or
  - (d) re-import waste from a country without obtaining the consent for the transboundary movement of waste.";
- (b) by the substitution, at the end of subregulations (2) and (3), of ";" for "."; and
- (c) by the substitution for subregulation (4) of the following subregulation:
  - "(4) No person may import infectious portion of medical waste."

### Amendment of regulation 5 of the Regulations

**6.** Regulation 5 of the Regulations is hereby amended by the substitution for regulation 5 of the following regulation:

# "Requirements when applying for the import of hazardous waste

- 5.(1) An application for the import of hazardous waste submitted to the competent authority must include
  - (a) a letter of request from the competent authority of the country of export;
  - (b) a notification form completed by the exporter and stamped by the competent authority of the country of export;
  - (c) a waste management licence for the receiving waste treatment facility, where applicable;
  - (d) a contract between an exporter and an importer;
  - (e) a contract between the importer and waste treatment facility, where the importer is not the owner of the waste treatment facility;
  - (f) applicable insurance or other financial guarantee covering the movement of waste and environmental clean-up in case of an incident; and
  - (g) a material safety data sheet (MSDS) for the waste.
- (2) An applicant must obtain consent from the competent authority before importing any hazardous waste.".

# Amendment of regulation 6 of the Regulations

7. Regulation 6 of the Regulations is hereby amended by the substitution for regulation 6 of the following regulation:

# "Requirements when applying for the import of non-hazardous waste

- 6.(1) An application for the import of non-hazardous waste submitted to the competent authority must include —
- (a) a letter of request from the competent authority from the country of export, where applicable, or a letter of request from the applicant in the event that the letter of request from the competent authority is not required;
- (b) a completed notification form stamped by the competent authority of the country of export for non-hazardous waste belonging to category Y48 as per Annexure 4
- (c) a waste management licence for the receiving waste treatment facility, where applicable;
- (d) a contract between an exporter and an importer which must include liability for environmental clean-up in case of an incident, and
- (e) a contract between the importer and waste treatment facility where the importer is not the owner of the waste treatment facility which must include liability for environmental clean-up in case of an incident.
- (2) An applicant must obtain consent from the competent authority before importing any non-hazardous waste.".

### Amendment of regulation 7 of the Regulations

**8.** Regulation 7 of the Regulations is hereby amended by the substitution for regulation 7 of the following regulation:

# "Requirements when applying for the export of hazardous waste

- 7. (1) An application for the export of hazardous waste submitted to the competent authority must include the following—
  - (a) a letter of request from the exporter;
  - (b) a completed notification form where required by the country of import;
  - (c) a waste management licence for the receiving waste treatment facility or equivalent legal document in the country of import;
  - (d) a contract between an exporter and an importer;

- (e) applicable insurance or other financial guarantee covering the movement of waste and environmental clean-up in case of an incident; and
- (f) material safety data sheet (MSDS) for the waste.
- (2) An applicant must obtain consent from the competent authority before exporting any hazardous waste.
- (3) No export of hazardous waste shall commence without consent from the competent authority of the country of transit, where applicable.",

# Amendment of regulation 8 of the Regulations

9. Regulation 8 of the Regulations is hereby amended by the substitution for regulation 8 of the following regulation:

# "Requirements when applying for the export of non-hazardous waste

- **8**.(1) An application for the export of non-hazardous waste submitted to the competent authority must include
  - (a) a letter of request from the exporter;
  - (b) a completed notification form for the export of non-hazardous waste belonging to category Y48 as per Annexure 4;
  - a waste management license for the receiving waste treatment facility or equivalent legal document in the country of import;
  - (d) a contract between an exporter and an importer which must include liability for environmental clean-up in case of an incident; and
  - (e) any other information requested by the competent authority of the country of import.
- (2) An applicant must obtain consent from the competent authority before exporting any non-hazardous waste.".

### Amendment of regulation 9 of the Regulations

**10.** Regulation 9 of the Regulations is hereby amended by the substitution for regulation 9 of the following regulation:

# "Requirements when applying for the transit of hazardous waste

- **9**.(1) An application for the transit of hazardous waste submitted to the competent authority must as a minimum, include
  - (a) a letter of request from the competent authority from the country of export;
  - (b) a completed notification form:
  - (c) applicable insurance or other financial guarantee covering the movement of waste and environmental clean-up in case of an incident; and
  - (d) a contract between the importer and exporter.
- (2) An applicant must obtain consent from the competent authority before the transit of any waste.".

# Amendment of regulation 10 of the Regulations

**11.** Regulation 10 of the Regulations is hereby amended by the substitution for regulation 10 of the following regulation:

#### "Decision

10. (1) The competent authority must issue a decision in the form of a consent or a refusal.

- (2) A consent for the import, export or transit of waste must contain the following information:
  - (a) Name of applicant and their contact details;
  - (b) Names of the importer and exporter;
  - (c) Country of import, export or transit;
  - (d) Name of receiving waste treatment facility;
  - (e) Type of waste;
  - (f) Quantity of waste;
  - (g) Number of shipments or consignments; and
  - (h) Validity period for the consent.
- (3) The consent must include conditions.
- (4) The consent may be withdrawn in the event of non-compliance with applicable conditions.
- (5) An application for consent may be refused due to previous non-compliance with the Regulations by the importer or exporter or applicable conditions of any previous consent issued.
- (6) An application for consent may be refused with reasons."

# Amendment of regulation 12 of the Regulations

- **12.** Regulation 12 of the Regulations is hereby amended by the substitution for subregulation (2) of the following subregulation:
  - "(2) The records contemplated in Regulation 5, 6, 7, 8, 9 and 10 must be--
    - (a) retained for a period of at least five years; and
    - (b) made available to the competent authority upon request.".

# Amendment of regulation 13 of the Regulations

**13.** Regulation 13 of the Regulations is hereby amended by the substitution for regulation 13 of the following regulation:

#### "Offences

- 13. A person commits an offence if that person-
  - (a) contravenes or fails to comply with regulations 4, 5(2), 6(2), 7(2), 8(2), 9(2), 11 or 12 of these Regulations; or.
  - (b) provides false or misleading information to a competent authority."

### Commencement

14. These amendments to the Regulations regarding the Control of the Import or Export of Waste, 2019 will come into operation on the date of signature by Minister.

# Notification document for transboundary movements/shipments of waste

1. Exporter - notifier Registration No:	3. Notification No:
Name:	Notification concerning
Address:	A.(i) Individual shipment: (ii) Multiple shipments:
	B.(i) Disposal (1):
Contact person:	C. Pre-consented recovery facility (2;3) Yes No
Tel: Fax:	4. Total intended number of shipments:
701	5. Total intended quantity (4):
E-mail:	Tonnes (Mg):
2. Importer - consignee Registration No:	m <sup>3</sup> :
Name: Address:	6. Intended period of time for shipment(s) (4): First departure:  Last departure:
	7. Packaging type(s) (5):
Contact person:	Special handling requirements (6): Yes: No:
10.	11. Disposal / recovery operation(s) (2)
E-mail:  8. Intended carrier(s) Registration No:	D-code / R-code (5):
	Technology employed (6):
Name(7):	3, 1 , 1,
Address:	
Contact person:	Reason for export (1;6):
Contact person: Tel: Fax:	
E-mail:	12. Designation and composition of the waste (6):
Means of transport (5):	
9. Waste generator(s) - producer(s) (1;7;8) Registration No:	
Name:	
Address:	
Address.	13. Physical characteristics (5):
Contact person:	
Tel: Fax:	14. Waste identification (fill in relevant codes)
E-mail:	(i) Baset Annex VIII (or IX if applicable):
Site and process of generation (6)	(ii) OECD code (if different from (i)):
One and process of generality	
Country of origin of waste	(iii) EC list of wastes:
Country of origin of waste  10. Disposal facility (2):	(iv) National code in country of export:
10. Disposal facility (2):  or recovery facility (2):	
10. Disposal facility (2): or recovery facility (2):	(iv) National code in country of export: (v) National code in country of import: (vi) Other (specify):
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10. Disposal facility (2):  or recovery facility (2):  Registration No: Name: Address:  Contact person:  Fax:  E-mail:  Actual site of disposal/recovery:  15. (a) Countries/States concerned, (b) Code no. of competent authorities when State of export – dispatch  State (s) of transitial (a)  (b)  (c)	(iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (5): (ix) UN class (5): (x) UN National code in country of import: (vii) H-code (5): (ix) UN shipping name: (xi) UN Shipping name: (xi) UN Shipping name: (xii) Customs code(s) (HS): (re applicable, (c) Specific points of exit or entry   border crossing or port) (retentry and exit)  State of import - destination  State of import - destination  18. Number of annexes attached signature: Signature: Signature: PETENT AUTHORITIES  20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from:  Until:
10. Disposal facility (2):	(iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (5): (ix) UN class (5): (x) UN Number: (xi) UN Shipping name: (xii) Customs code(s) (HS): re applicable, (c) Specific points of exit or entry   border crossing or port  (entry and exit)  State of import - destination  State of import - destination  18. Number of annexes attached Signature: Signature: Signature: PETENT AUTHORITIES  20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: Specific conditions: No:   If Yes, see block 21 (6):
10. Disposal facility (2):  or recovery facility (2):  Registration No: Name: Address:  Contact person:  Fax:  E-mail:  Actual site of disposal/recovery:  15. (a) Countries/States concerned, (b) Code no. of competent authorities when State of export – dispatch  State (s) of transitial (a)  (b)  (c)	(iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (5): (ix) UN class (5): (x) UN National code in country of import: (vii) H-code (5): (ix) UN shipping name: (xi) UN Shipping name: (xi) UN Shipping name: (xii) Customs code(s) (HS): (re applicable, (c) Specific points of exit or entry   border crossing or port) (retentry and exit)  State of import - destination  State of import - destination  18. Number of annexes attached signature: Signature: Signature: PETENT AUTHORITIES  20. Written consent (1;8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from:  Until:

- (1) Required by the Basel Convention for hazardous waste
  (2) In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 faciliti(y)ies when required
  (3) To be completed for movements within the OECD area and only if B(ii) applies
  (4) Attach detailed list if multiple shipments
- (5) See list of abbreviations and codes on the next page (6) Attach details if necessary (7) Attach list if more than one (8) If required by national legislation (9) If applicable under the OECD Decision

# Movement document for transboundary movements/shipments of waste

1. Correspondir	ng to notification N	o:					2. Se	erial/total nu	ımber of ship	ments	:	1	
3. Exporter - notifier Registration No:				4. Importer - consignee Registration No:									
Name:						Name:							
Address:						Address:							
Contact person:					_	Contact pe	ereon.					_	
Tel:		Fax:				Tel:	5130(1.			Fax:			_
E-mail:		rax.				E-mail:				Гах.	-		_
5. Actual quantity:	Tonnes (Mg):		m <sup>3</sup> :				date of	shipment:					
7. Packaging	Type(s) (1):			er of pacl	karies:	V. Actual	uute oi	ompinent.	-				
Special handling		Ye		or or paci	No:	TE							
opecial nationing i	equilemento. (2)		s 🗆		110.								
8.(a) 1st Carrier (3)	:			Carrier:	:				8.(c) Last Ca	rrier:			
Registration			Registra	ation					Registration I	Vo:			
No:			No:										
Name:			Name:						Name:				
Address:			Address	:					Address:				
Tel:			Tel:						Tel:				
Fax:			Fax:						Fax:				
E-mail:			E-mail:						E-mail:				,
		- To be com	pleted by d	arrier's	represei	ntative					More than 3 carriers	s (2)	
Means of transport	(1):		Means of	of transpo	ort (1):				Means of tran	sport /	1):		
Date of transfer:			Date of t						Date of transf				
Signature:			Signatur	e:					Signature:				
9. Waste generator	r(s) - producer(s) (4:5	:6):				12. Design	nation a	and compos	ition of the w	aste (	2):		
Registration No:													
Name:													
Address:													
						40 Pt - 1			1	_			
Contact person:		Гана				13.Physica	ai chara	acteristics (	1):			_	
Tel:		Fax:		_	_	4.4.1Alooto	dontifi	action (fill in	relevant code	01		_	
E-mail: Site of generation (2	21.				_	14.vvaste	aentino	cation [IIII III	relevant code	8/			_
Country of origin of	7.			_		(i) Basel Ar	nev VII	I! (or IX if app	olicable).				
waste						(i) Dasci Ai	IIIOA VII	is (or its if app	olicable).				
10. Disposal facility	v   -	or recovery	facility	lend.		(ii) OECD (	ode (if	different from	n (i)):	T			
Registration No:	, , , , , , , ,	0. 1000101	Tuomity		_	(iii) EC list	-		. (-//-				
Name:						(iv) National code in country of export:							
				_				n country of i		-		_	_
Address:						(vi) Other (			прог.	-			
Contact person:						vii) Y-code				_			_
Tel:		Fax:				(viii) H-cod							
E-mail:		ax.				(ix) UN cla				_			
						(1):							
Actual site of dispos	al/recovery (2)					(x) UN Nur	nber:						
11. Disposal/recove	- Anticity					(xi) UN Shipping name:							
D-code / R-code (1):						(xii) Custor							
15. Exporter's - not I certify that the above that any applicable in	ifier's I generator's - ve information is comp nsurance or other final is of the countries cond	lete and corre	ect to my be	st knowle	edge. I al	so certify tha	t legally	enforceable	written contra Il necessary o	actual o	obligations have been as have been received	enter	ed into
Name:	a or the countries com		Date:					Signatu	re:				
								- Grandiu		!-			
16. For use by any	person involved in th	e transbour	dary move	ment in	case ad	ditional infor	mation	is required					
17 Shinmont receiv	ved by importer - con	einnee /if ne	t facility).		Date	۸.	Nam	ue.	_	0	ignature:	_	
17. Ompinent recen	ed by milporter - con			DI ETER	_	POSAL / RE				0	manucaro.		
			O DE COM	LTCIED	פוע זם י	FUSAL / KE	COVER	I PACILITY					

Approximate date of disposal/recovery:  Disposal/recovery operation (1):  Date:  Name:  Other in the proximate date of disposal/recovery:  Disposal/recovery operation (1):  Date:  Signature and stamp  Date:  Signature:  (1) See list of abbreviations and codes on the next page (2) Attach details if necessary (3) If more than 3 carriers, attach information as required in blocks 8 (a, b, c).  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  Country of export - dispatch or customs office of exit e waste described in this movement document left the untry on:  Interpretation of customs offices of transit countries  Stamps of customs offices of transit countries  The waste of country:  Name of country:  Name of country:	he Basel Convention for nore than one national legislation
Quantity received:   Tonnes   Mag :   *immediately contact competent authorities   Name:	he Basel Convention for nore than one national legislation
Disposal/recovery operation (1):  Name: Signature: Signature:  (1) See list of abbreviations and codes on the next page (2) Attach details if necessary (3) If more than 3 carriers, attach information as required in blocks 8 (a, b, c).  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  Country of export - dispatch or customs office of exit evaste described in this movement document left the untry on: nature:  mp: Stamps of customs offices of transit countries me of country: Name of country:	he Basel Convention for nore than one national legislation
Name: Date:    Signature   Sig	he Basel Convention for nore than one national legislation
Date:    Signature:	he Basel Convention for nore than one national legislation
Signature:  (1) See list of abbreviations and codes on the next page (2) Attach details if necessary (3) If more than 3 carriers, attach Information as required in blocks 8 (a, b, c).  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  Country of export - dispatch or customs office of exit entry on: nature:  The waste described in this movement document left the intry on: nature:  Signature:  Stamps of customs offices of transit countries  The value of country:  Stamps of customs offices of transit countries  Name of country:	nore than one national legislation
(1) See list of abbreviations and codes on the next page (2) Attach details if necessary (3) If more than 3 carriers, attach information as required in blocks 8 (a, b, c).  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  Country of export - dispatch or customs office of exit exact described in this movement document left the intry on: nature:  The waste described in this movement document left the country on: Signature:  Stamps of customs offices of transit countries  The waste described in this movement document entered the country on:  Stamps of customs offices of transit countries  The waste described in this movement document entered the country on:  Stamps of customs offices of transit countries  Name of country:	nore than one national legislation
(2) Attach details if necessary (3) If more than 3 carriers, attach Information as required in blocks 8 (a, b, c).  FOR USE BY CUSTOMS OFFICES (if required by national legislation)  Country of export - dispatch or customs office of exit entry on: Instruce:  The waste described in this movement document left the instruction or customs office of entry instruction: Signature:  Stamps of customs offices of transit countries The country of import - destination or customs office of entry instruction. Signature:  Stamps of customs offices of transit countries The waste described in this movement document entered the country on: Signature:  Name of country:	nore than one national legislation
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Country of export - dispatch or customs office of exit e waste described in this movement document left the untry on: pnature:  Stamps of customs offices of transit countries  The waste described in this movement document entered the country on: Signature:  Stamp:  Name of country:	īv
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untry on: gnature:  signature:  Stamp:  Stamps of customs offices of transit countries me of country:  Name of country:	•
Signature:  Signature:  Stamp:  Stamps of customs offices of transit countries me of country:  Name of country:	
Stamps of customs offices of transit countries me of country:  Name of country:	
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me of country: Name of country:	
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ry: Exit: Entry: Exit:	
me of country: Name of country:	
try: Exit: Entry: Exit:	

# List of abbreviations and codes to be used when completing the Notification document

### **DISPOSAL OPERATIONS (block 11)**

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations in this list

### **RECOVERY OPERATIONS (block 11)**

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) Use principally as a fuel or other means to generate energy (EU)
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (block 7)	H-CODE	AND UN	CLASS (block 14)
<ol> <li>Drum</li> <li>Wooden barrel</li> </ol>	UN Class	H-code	Characteristics
<ol> <li>Jerrican</li> <li>Box</li> <li>Bag</li> <li>Composite packaging</li> <li>Pressure receptacle</li> <li>Bulk</li> <li>Other (specify)</li> </ol>	1 3 4.1 4.2 4.3 5.1 5.2 6.1 6.2 8	H1 H3 H4.1 H4.2 H4.3 H5.1 H5.2 H6.1 H6.2 H8	Explosive Flammable liquids Flammable solids Substances or wastes liable to spontaneous combustion Substances or wastes which, in contact with water, emit flammable gases Oxidizing Organic peroxides Poisonous (acute) Infectious substances Corrosives
MEANS OF TRANSPORT (block 8)  R = Road T = Train/rail S = Sea A = Air W = Inland waterways	9 9 9 9	H10 H11 H12 H13	Liberation of toxic gases in contact with air or water Toxic (delayed or chronic) Ecotoxic Capable, by any means, after disposal of yielding another material, e. g., leachate, which possesses any of the characteristics listed above

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PH	YSICAL CHARACTERISTICS (block 13)	
1.	Powdery/powder	
2.	Solid	
3.	Viscous/paste	
4.	Sludgy	
5.	Liquid	
6.	Gaseous	
7.	Other (specify)	

Y-codes for use, as per the amended Basel Convention when completing the Notification document when importing or exporting hazardous waste or waste requiring special consideration

	HAZRDOUS WASTE STREAMS
Y1	Clinical wastes from medical care in hospitals, medical centres and clinics
Y2	Wastes from the production and preparation of pharmaceutical products
Y3	Waste pharmaceuticals, drugs and medicines
Y4	Wastes from the production, formulation and use of biocides and phytopharmaceuticals
Y5	Wastes from the manufacture, formulation and use of wood preserving chemicals
Y6	Wastes from the production, formulation and use of organic solvents
Y7	Wastes from heat treatment and tempering operations containing cyanides
Y8	Waste mineral oils unfit for their originally intended use
Y9	Waste oils/water, hydrocarbons/water mixtures, emulsions
Y10	Waste substances and articles containing or contaminated with polychlorinated biphenyls (PCBs) and/or
	polychlorinated terphenyls (PCTs) and/or polybrominated biphenyls (PBBs)
Y11	Waste tarry residues arising from refining, distillation and any pyrolytic treatment
Y12	Wastes from production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish
Y13	Wastes from production, formulation and use of resins, latex, plasticizers, glues/adhesives
Y14	Waste chemical substances arising from research and development or teaching activities which are not
	identified and/or are new and whose effects on man and/or the environment are not known
Y15	Wastes of an explosive nature not subject to other legislation
Y16	Wastes from production, formulation and use of photographic chemicals and processing materials
Y17	Wastes resulting from surface treatment of metals and plastics
Y18	Residues arising from industrial waste disposal operations
	WASTES HAVING AS CONSTITUENTS
Y19	Metal carbonyls
Y20	Beryllium; beryllium compounds
Y21	Hexavalent chromium compounds
Y22	Copper compounds
Y23	Zinc compounds
Y24	Arsenic; arsenic compounds
Y25	Selenium; selenium compounds
Y26	Cadmium; cadmium compounds
Y27	Antimony; antimony compounds
Y28	Tellurium; tellurium compounds
Y29	Mercury; mercury compounds
Y30	Thallium; thallium compounds
Y31	Lead; lead compounds
Y32	Inorganic fluorine compounds excluding calcium fluoride
Y33	Inorganic cyanides
Y34	Acidic solutions or acids in solid form
Y35	Basic solutions or bases in solid form
Y36	Asbestos (dust and fibres)
Y37	Organic phosphorus compounds
	Organic cyanides
Y38	Phenois; phenoi compounds including chlorophenois
Y39	Ethers
Y40	Halogenated organic solvents
Y41	Organic solvents excluding halogenated solvents

Y43	Any congenor of polychlorinated dibenzo-furan
Y44	Any congenor of polychlorinated dibenzo-p-dioxin
Y45	Organohalogen compounds other than substances referred to in this Annex (e.g. Y39, Y41, Y42, Y43, Y44)
	WASTES REQUIRING SPECIAL CONSIDERATION
Y46	Wastes collected from households
Y47	Residues arising from the incineration of household wastes
<u>Y47</u> <u>Y48</u> <sup>1</sup>	Plastic waste, including mixtures of such waste, with the exception of the following:  Plastic waste that is hazardous waste pursuant to paragraph 1 (a) of Article 1²  Plastic waste listed below, provided it is destined for recycling³ in an environmentally sound manner and almost free from contamination and other types of wastes:  Plastic waste almost exclusively consisting of one non-halogenated polymer, including but not limited to the following polymers:  Polyethylene (PE) Polystyrene (PS) Acrylonitrile butadiene styrene (ABS) Polyethylene terephthalate (PET) Polycarbonates (PC) Polyethers Plastic waste almost exclusively consisting of one cured resin or condensation product, including but not limited to the following resins: Urea formaldehyde resins Phenol formaldehyde resins Melamine formaldehyde resins Plastic waste almost exclusively consisting of one of the following fluorinated polymers:  Plastic waste almost exclusively consisting of one of the following fluorinated polymers:  Partiuoroethylene/propylene (FEP) Perfluoroalkoxy alkanes; Tetrafluoroethylene/perfluoroalkyl vinyl ether (PFA) Tetrafluoroethylene/perfluoromethyl vinyl ether (MFA) Polyvinylidueride (PVF) Polyvinylidueride (PVF) Polyvinylidueride (PVF) Polyvinylidueride (PVDF)  Mixtures of plastic waste, consisting of polyethylene (PE), polypropylene (PP) and/or polyethylene terephthalate (PET), provided they are destined for separate recycling⁵ of each material and in an environmentally sound manner and almost free from contamination and other types of wastes.

- 1 This entry became effective as of 1 January 2021 as per BC-14/12: Amendments to Annexes II, VIII and IX to the Basel Convention
- Convention
  2 Note the related entry on list A A3210 in Annexure 5.
- 3 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3) or, if needed, temporary storage limited to one instance, provided that it is followed by operation R3 and evidenced by contractual or relevant official documentation.
- 4 Post-consumer wastes are excluded.
- 5 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3), with prior sorting and, if needed, temporary storage limited to one

A-codes, as per the Amended Basel Convention, for use when completing the Notification document when importing or exporting hazardous waste not listed in Annexure 4.

# List A: A1 METAL AND METAL-BEARING WASTE

Code	Description
A1010	Metal wastes and waste consisting of alloys of any of the following:  Antimony Arsenic Beryllium Cadmium Lead Mercury Selenium Tellurium Thallium
A1020	but excluding such wastes specifically listed on list B  Waste having as constituents or contaminants, excluding metal waste in massive form, any of the following:  • Antimony; antimony compounds  • Beryllium; beryllium compounds  • Cadmium; cadmium compounds  • Lead; lead compounds  • Selenium; selenium compounds  • Tellurium; tellurium compounds
A1030	Wastes having as constituents or contaminants any of the following:  Arsenic; arsenic compounds  Mercury; mercury compounds

	Thallium; thallium compounds
A1040	Wastes having as constituents any of the following:  Metal carbonyls  Hexavalent chromium compounds
A1050	Galvanic sludges
A1060	Waste liquors from the pickling of metals
A1070	Leaching residues from zinc processing, dust and sludges such as jarosite, hematite, etc.
A1080	Waste zinc residues not included on list B, containing lead and cadmium in concentrations sufficient to exhibit characteristics
A1090	Ashes from the incineration of insulated copper wire
A1100	Dusts and residues from gas cleaning systems of copper smelters
A1110	Spent electrolytic solutions from copper electrorefining and electrowinning operations
A1120	Waste sludges, excluding anode slimes, from electrolyte purification systems in copper electrorefining and electrowinning operations
A1130	Spent etching solutions containing dissolved copper
A1140	Waste cupric chloride and copper cyanide catalysts
A1150	Precious metal ash from incineration of printed circuit boards not included on list B8
A1160	Waste lead-acid batteries, whole or crushed
A1170	Unsorted waste batteries excluding mixtures of only list B batteries. Waste batteries not specified on list B containing Annex I constituents to an extent to render them hazardous
A1180	Waste electrical and electronic assemblies or scrap <sup>9</sup> containing components such as accumulators and other batteries included on list A, mercury-switches, glass from cathode-ray tubes and other activated glass and PCB capacitors, or contaminated with Annex I constituents (e.g., cadmium, mercury, lead, polychlorinated biphenyl) to an extent that they possess any of the characteristics contained in Annex III (note the related entry on list B B1110) <sup>10</sup>
A1190	Waste metal cables coated or insulated with plastics containing or contaminated with coal tar, PCB <sup>11</sup> , lead, cadmium, other organohalogen compounds or other Annex I constituents to an extent that they exhibit Annex III characteristics.

# List A: A2 WASTES CONTAINING PRINCIPALLY INORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND ORGANIC MATERIALS

Code	Description
A2010	Glass waste from cathode-ray tubes and other activated glasses
A2020	Waste inorganic fluorine compounds in the form of liquids or sludges but excluding such wastes specified on list B
A2030	Waste catalysts but excluding such wastes specified on list B
A2040	Waste gypsum arising from chemical industry processes, when containing Annex I constituents to the extent that it exhibits an Annex III hazardous characteristic (note the related entry on list B B2080)
A2050	Waste asbestos (dusts and fibres)
A2060	Coal-fired power plant fly-ash containing Annex I substances in concentrations sufficient to exhibit Annex III characteristics (note the related entry on list B B2050)

<sup>&</sup>lt;sup>8</sup> Note that mirror entry on list B (B1160) does not specify exceptions <sup>9</sup> This entry does not include scrap assemblies from electric power generation. <sup>10</sup> PCBs are at a concentration level of 50 mg/kg or more.

 $<sup>^{\</sup>rm 11}\,{\rm PCBs}$  are at a concentration level of 50 mg/kg or more.

# List A: A3 WASTES CONTAINING PRINCIPALLY ORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND INORGANIC MATERIALS

Code	Description
A3010	Waste from the production or processing of petroleum coke and bitumen
A3020	Waste mineral oils unfit for their originally intended use
A3030	Wastes that contain, consist of or are contaminated with leaded anti-knock compound sludges
A3040	Waste thermal (heat transfer) fluids
A3050	Wastes from production, formulation and use of resins, latex, plasticizers, glues/ adhesives excluding such wastes specified on list B (note the related entry on list B B4020)
A3060	Waste nitrocellulose
A3070	Waste phenols, phenol compounds including chlorophenol in the form of liquids or sludges
A3080	Waste ethers not including those specified on list B
A3090	Waste leather dust, ash, sludges and flours when containing hexavalent chromium compounds or biocides (note the related entry on list B B3100)
A3100	Waste paring and other waste of leather or of composition leather not suitable for the manufacture of leather articles containing hexavalent chromium compounds or biocides (note the related entry on list B B3090)
A3110	Fellmongery wastes containing hexavalent chromium compounds or biocides or infectious substances (note the related entry on list B B3110)
A3120	Fluff - light fraction from shredding
A3130	Waste organic phosphorous compounds
A3140	Waste non-halogenated organic solvents but excluding such wastes specified on list B
A3150	Waste halogenated organic solvents
A3160	Waste halogenated or unhalogenated non-aqueous distillation residues arising from organic solvent recovery operations
A3170	Wastes arising from the production of aliphatic halogenated hydrocarbons (such as chloromethane, dichloro-ethane, vinyl chloride, vinylidene chloride, allyl chloride and epichlorhydrin)
A3180	Wastes, substances and articles containing, consisting of or contaminated with polychlorinated biphenyl (PCB), poly-chlorinated terphenyl (PCT), polychlorinated naphthalene (PCN) or polybrominated biphenyl (PBB), or any other polybrominated analogues of these compounds, at a concentration level of 50 mg/kg or more <sup>12</sup>
A3190	Waste tarry residues (excluding asphalt cements) arising from refining, distillation and any pyrolitic treatment of organic materials
A3200	Bituminous material (asphalt waste) from road construction and maintenance, containing tar (note the related entry on list B, B2130)
A32101	Plastic waste, including mixtures of such waste, containing, or contaminated with Annex I constituents, to an extent that it exhibits an Annex III characteristic (note the related entries Y48 in Annex II and on list B B3011).

# 1 This entry became effective as of 1 January 2021 as per BC-14/12: Amendments to Annexes II, VIII and IX to the Basel Convention

# List A: A4 WASTES WHICH MAY CONTAIN EITHER INORGANIC OR ORGANIC CONSTITUENTS

Code	Description			
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<sup>12</sup> The 50 mg/kg level is considered to be an internationally practical level for all wastes. However, many individual countries have established lower regulatory levels (e.g., 20 mg/kg) for specific wastes.

Wastes from the production, preparation and use of pharmaceutical products but excluding such wastes specified on list B	
Clinical and related wastes; that is wastes arising from medical, nursing, dental, veterinary, or similar practices, and wastes generated in hospitals or other facilities during the investigation or treatment of patients, or research projects	
Wastes from the production, formulation and use of biocides and phytopharmaceuticals, including waste pesticides and herbicides which are off-specification, outdated, <sup>13</sup> or unfit for their originally intended use	
Wastes from the manufacture, formulation and use of wood-preserving chemicals <sup>14</sup>	
Wastes that contain, consist of or are contaminated with any of the following:  Inorganic cyanides, excepting precious-metal-bearing residues in solid form containing traces of inorganic cyanides  Organic cyanides	
Waste oils/water, hydrocarbons/water mixtures, emulsions	
Wastes from the production, formulation and use of inks, dyes, pigments, paints, lacquers, varnish excluding any such waste specified on list B (note the related entry on list B B4010)	
Wastes of an explosive nature (but excluding such wastes specified on list B)	
Waste acidic or basic solutions, other than those specified in the corresponding entry on list (note the related entry on list B B2120)	
Wastes from industrial pollution control devices for cleaning of industrial off-gases but excluding such wastes specified on list B	
Wastes that contain, consist of or are contaminated with any of the following:  • Any congenor of polychlorinated dibenzo-furan  • Any congenor of polychlorinated dibenzo-P-dioxin	
Wastes that contain, consist of or are contaminated with peroxides	
Waste packages and containers containing Annex I substances in concentrations sufficient to exhibit hazard characteristics	
Waste consisting of or containing off specification or outdated 15 chemicals corresponding with hazard characteristics	
Waste chemical substances arising from research and development or teaching activities which are not identified and/or are new and whose effects on human health and/or the environment are not known	
Spent activated carbon not included on list B (note the related entry on list B B2060)	

<sup>13 &</sup>quot;Outdated" means unused within the period recommended by the manufacturer.

NB: In the event where there is uncertainty regarding the category of waste contemplated in Annexure 5, the classification of the waste in terms of SANS 10234 or latest SANS version shall apply in accordance with Regulation 4(2) of the Waste Classification and Management Regulations, GNR 634 of 2013.

<sup>&</sup>lt;sup>14</sup> This entry does not include wood treated with wood preserving chemicals.

B-codes for use, as per the Amended Basel Convention when completing the Notification document when importing or exporting non-hazardous waste (waste not listed in Annexure 4 or 5).

# List B: B1 METAL AND METAL-BEARING WASTES

Code	Description
B1010	Metal and metal-alloy wastes in metallic, non-dispersible form: Precious metals (gold, silver, the platinum group, but not mercury)
	Iron and steel scrap
	Copper scrap
	Nickel scrap
	Aluminium scrap
	Zinc scrap
	Tin scrap
	Tungsten scrap
	Molybdenum scrap
	Tantalum scrap
	Magnesium scrap
	Cobalt scrap
	Bismuth scrap
	Titanium scrap
	Zirconium scrap
	Manganese scrap
	Germanium scrap
	Vanadium scrap
	Scrap of hafnium, indium, niobium, rhenium and gallium
	Thorium scrap
	Rare earths scrap

	Chromium scrap	
B1020	Clean, uncontaminated metal scrap, including alloys, in bulk finished form (sheet, plate, beams, rods, etc.), of:	
	Antimony scrap	
	Beryllium scrap	
	Cadmium scrap	
	Lead scrap (but excluding lead-acid batteries)	
	Selenium scrap	
	Tellurium scrap	
B1030	Refractory metals containing residues	
B1031	Molybdenum, tungsten, titanium, tantalum, niobium and rhenium metal and metal alloy wastes in metallic dispersible form (metal powder), excluding such wastes as specified in list A under entry A1050, Galvanic sludges	
B1040	Scrap assemblies from electrical power generation not contaminated with lubricating oil, PCB or PCT to an extent to render them hazardous	
B1050	Mixed non-ferrous metal, heavy fraction scrap, not containing Annex I materials in concentrations sufficient to exhibit Annex III characteristics <sup>17</sup>	
B1060	Waste selenium and tellurium in metallic elemental form including powder	
B1070	Waste of copper and copper alloys in dispersible form, unless they contain Annex I constituents to an extent that they exhibit Annex III characteristics	
B1080	Zinc ash and residues including zinc alloys residues in dispersible form unless containing Annex I constituents in concentration such as to exhibit Annex III characteristics <sup>18</sup>	
B1090	Waste batteries conforming to a specification, excluding those made with lead, cadmium or mercury	
B1100	Metal-bearing wastes arising from melting, smelting and refining of metals:	
	Hard zinc spelter	
	Zinc-containing drosses:	
	- Galvanizing slab zinc top dross (>90% Zn)	
	<ul> <li>Galvanizing slab zinc bottom dross (&gt;92% Zn)</li> <li>Zinc die casting dross (&gt;85% Zn)</li> </ul>	
	- Zinc die casting dross (2007 Zin) - Hot dip galvanizers slab zinc dross (batch)(>92% Zn) - Zinc skimmings	
	Aluminium skimmings (or skims) excluding salt slag	
	Slags from copper processing for further processing or refining not containing arsenic	
	lead or cadmium to an extent that they exhibit Annex III hazard characteristics	
	Wastes of refractory linings, including crucibles, originating from copper smelting	
	Slags from precious metals processing for further refining	
	Tantalum-bearing tin slags with less than 0.5% tin	

B1110	Electrical and electronic assemblies:	
	<ul> <li>Electronic assemblies consisting only of r</li> </ul>	metals or alloys
		s or scrap19 (including printed circuit boards)
	not containing components such as accur	mulators and other batteries
	glass and PCB-capacitors, or not cont cadmium, mercury, lead, polychlorinated	s from cathode-ray tubes and other activated taminated with Annex I constituents (e.g., d biphenyl) or from which these have been assess any of the characteristics contained in
	note the related entry on list A A1180	
	Electrical and electronic assemblies (i	including printed circuit boards, electronic
	· ·	rect reuse,20 and not for recycling or final
	disposal <sup>21</sup>	
B1115	Waste metal cables coated or insulated with plastics, not included in list A A1190, excluding those destined for Annex IVA operations or any other disposal operations involving, at any stage, uncontrolled thermal processes, such as open-burning.	
B1120	Spent catalysts excluding liquids used as catalysts	s, containing any of:
	Transition metals, excluding waste catalysts (spent catalysts, liquid used catalysts or other catalysts) on list A:	Lanthanides (rare earth metals):
	Scandium	Lanthanum
	Vanadium	Praseodymium
	Manganese	Samarium
	Cobalt	Gadolinium
	Copper	Dysprosium
	Yttrium	Erbium
	Niobium	Ytterbium
	Hafnium	Cerium
	Tungsten	Neodymium
	Titanium	Europium
	Chromium	Terbium
	• Iron	Holmium

	Nickel	Thulium
	• Zinc	Lutetium
	Zirconium	
	Molybdenum	
	Tantalum	
	Rhenium	
B1130	Cleaned spent precious-metal-bearing catalysts	
B1140	Precious-metal-bearing residues in solid form which	ch contain traces of inorganic cyanides
B1150	Precious metals and alloy wastes (gold, silver, the platinum group, but not mercury) in a dispersible, non-liquid form with appropriate packaging and labelling	
B1160	Precious-metal ash from the incineration of printed circuit boards (note the related entry on lis A A1150)	
B1170	Precious-metal ash from the incineration of photog	graphic film
B1180	Waste photographic film containing silver halides a	
B1190	Waste photographic paper containing silver halide	s and metallic silver
B1200	Granulated slag arising from the manufacture of in	on and steel
B1210	Slag arising from the manufacture of iron and stee and vanadium	l including slags as a source of TiO2
B1220	Slag from zinc production, chemically stabilized, having a high iron content (above 20%) and processed according to industrial specifications (e.g., DIN 4301) mainly for construction	
B1230	Mill scaling arising from the manufacture of iron ar	nd steel
B1240	Copper oxide mill-scale	
B1250	Waste end-of-life motor vehicles, containing neither	or liquide per other hazardous components

 <sup>17</sup>Note that even where low level contamination with Annex I materials initially exists, subsequent processes, including recycling processes, may result in separated fractions containing significantly enhanced concentrations of those Annex I materials.
 18The status of zinc ash is currently under review and there is a recommendation with the United Nations Conference on Trade and

# List B: B2 WASTES CONTAINING PRINCIPALLY INORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND ORGANIC MATERIALS

Code	Description
B2010	Wastes from mining operations in non-dispersible form:
	Natural graphite waste
	Slate waste, whether or not roughly trimmed or merely cut, by sawing or otherwise
	Mica waste
	Leucite, nepheline and nepheline syenite waste

Development (UNCTAD) that zinc ashes should not be dangerous goods.

 <sup>&</sup>lt;sup>19</sup>This entry does not include scrap from electrical power generation.
 <sup>20</sup>Reuse can include repair, refurbishment or upgrading, but not major reassembly.

<sup>&</sup>lt;sup>21</sup>In some countries these materials destined for direct re-use are not considered wastes.

	Feldspar waste	
	Fluorspar waste	
	Silica wastes in solid form excluding those used in foundry operation	
B2020	Glass waste in non-dispersible form:	
	<ul> <li>Cullet and other waste and scrap of glass except for glass from cathode-ray tubes and</li> </ul>	
	other activated glasses	
B2030	Ceramic wastes in non-dispersible form:	
	Cermet wastes and scrap (metal ceramic composites	
	Ceramic based fibres not elsewhere specified or included	
B2040	Other wastes containing principally inorganic constituents:	
	<ul> <li>Partially refined calcium sulphate produced from flue-gas desulphurization (FGD)</li> </ul>	
	Waste gypsum wallboard or plasterboard arising from the demolition of buildings	
	<ul> <li>Slag from copper production, chemically stabilized, having a high iron content (above</li> </ul>	
	20%) and processed according to industrial specifications (e.g., DIN 4301 and DIN	
	8201) mainly for construction and abrasive applications	
	Sulphur in solid form	
	Limestone from the production of calcium cyanamide (having a pH less than 9)	
	Sodium, potassium, calcium chlorides	
	Carborundum (silicon carbide)	
	Broken concrete	
	Lithium-tantalum and lithium-niobium containing glass scraps	
B2050	Coal-fired power plant fly-ash, not included on list A (note the related entry on list A A2060)	
B2060	Spent activated carbon not containing any Annex I constituents to the extent they exhibit	
	Annex III characteristics, for example, carbon resulting from the treatment of potable water and processes of the food industry and vitamin production (note the related entry on list A A4160)	
B2070	Calcium fluoride sludge	
B2080	Waste gypsum arising from chemical industry processes not included on list A (note the related entry on list A A2040)	
B2090	Waste anode butts from steel or aluminium production made of petroleum coke or bitumen	
	and cleaned to normal industry specifications (excluding anode butts from chlor alkali electrolyses and from metallurgical industry)	
B2100	Waste hydrates of aluminium and waste alumina and residues from alumina production excluding such materials used for gas cleaning, flocculation or filtration processes	
B2110	Bauxite residue ("red mud") (pH moderated to less than 11.5)	
P4110	manufacture in the second seco	

B2120	Waste acidic or basic solutions with a pH greater than 2 and less than 11.5, which are not
	corrosive or otherwise hazardous (note the related entry on list A A4090)
B2130	Bituminous material (asphalt waste) from road construction and maintenance, not containing
	tar <sup>22</sup> (note the related entry on list A, A3200)

<sup>&</sup>lt;sup>22</sup>The concentration level of Benzol (a) pyrene should not be 50mg/kg or more.

# List B: B3 WASTES CONTAINING PRINCIPALLY ORGANIC CONSTITUENTS, WHICH MAY CONTAIN METALS AND INORGANIC MATERIALS

Code	Description
B3011 <sup>1</sup>	Plastic waste (note the related entries Y48 in Annex II and on list A A3210):  Plastic waste listed below, provided it is destined for recycling³ in an environmentally sound manner and almost free from contamination and other types of wastes:  Plastic waste almost exclusively consisting of one non-halogenated polymer, including but not limited to the following polymers:  Polyethylene (PE)
	<ul> <li>Polypropylene (PP)</li> <li>Polystyrene (PS)</li> <li>Acrylonitrile butadiene styrene (ABS)</li> <li>Polyethylene terephthalate (PET)</li> <li>Polycarbonates (PC)</li> <li>Polyethers</li> <li>Plastic waste almost exclusively consisting of one cured resin or condensation product, including but not limited to the following resins:</li> <li>Urea formaldehyde resins</li> </ul>
	<ul> <li>Phenol formaldehyde resins</li> <li>Melamine formaldehyde resins</li> <li>Epoxy resins</li> <li>Alkyd resins</li> <li>Plastic waste almost exclusively consisting of one of the following fluorinated polymers:<sup>4</sup></li> <li>Perfluoroethylene/propylene (FEP)</li> <li>Perfluoroalkoxy alkanes:         <ul> <li>Tetrafluoroethylene/perfluoroalkyl vinyl ether (PFA)</li> <li>Tetrafluoroethylene/perfluoromethyl vinyl ether (MFA)</li> <li>Polyvinylfluoride (PVF)</li> <li>Polyvinylidenefluoride (PVDF)</li> </ul> </li> </ul>
	<ul> <li>Mixtures of plastic waste, consisting of polyethylene (PE), polypropylene (PP) and/or polyethylene terephthalate (PET), provided they are destined for separate recycling<sup>5</sup> of each material and in an environmentally sound manner and almost free from contamination and other types of wastes.</li> </ul>
B3020	Paper, paperboard and paper product wastes The following materials, provided they are not mixed with hazardous wastes:  • Waste and scrap of paper or paperboard of:  • unbleached paper or paperboard or of corrugated paper or paperboard

	<ul> <li>other paper or paperboard, made mainly of bleached chemical pulp, not coloured in</li> </ul>
	the mass
	paper or paperboard made mainly of mechanical pulp (for example, newspapers,
	journals and similar printed matter)
	other, including but not limited to 1) laminated paperboard 2) unsorted scrap
B3026	The following waste from the pre-treatment of composite packaging for liquids, not containing Annex I materials in concentrations sufficient to exhibit Annex III characteristics:
	Non-separable plastic fraction
	Non-separable plastic-aluminium fraction
B3027	Self-adhesive label laminate waste containing raw materials used in label material production
B3030	Textile wastes  The following materials, provided they are not mixed with other wastes and are prepared to a specification:
	Silk waste (including cocoons unsuitable for reeling, yarn waste and garnetted stock)
	- not carded or combed - other
	Waste of wool or of fine or coarse animal hair, including yarn waste but excluding
	garnetted stock
	<ul> <li>noils of wool or of fine animal hair</li> <li>other waste of wool or of fine animal hair</li> <li>waste of coarse animal hair</li> </ul>
	Cotton waste (including yarn waste and garnetted stock)
	- yarn waste (including thread waste) - garnetted stock - other
	Flax tow and waste
	Tow and waste (including yarn waste and garnetted stock) of true hemp (Cannabis)
	<u>sativa</u> L.)
	Tow and waste (including yarn waste and garnetted stock) of jute and other textile bast
	fibres (excluding flax, true hemp and ramie)
	Tow and waste (including yarn waste and garnetted stock) of sisal and other textile fibres of the genus Agave
	Tow, noils and waste (including yarn waste and garnetted stock) of coconut

	the desired of the second formula
	<ul> <li>Tow, noils and waste (including yarn waste and garnetted stock) of abaca (Manila</li> </ul>
	hemp or Musa textilis Nee)
	Tow, noils and waste (including yarn waste and garnetted stock) of ramie and other
	vegetable textile fibres, not elsewhere specified or included
	Waste (including noils, yarn waste and garnetted stock) of man-made fibres
	- of synthetic fibres - of artificial fibres
	Worn clothing and other worn textile articles
	<ul> <li>Used rags, scrap twine, cordage, rope and cables and worn out articles of twine</li> </ul>
	cordage, rope or cables of textile materials
	- sorted - other
B3035	Waste textile floor coverings, carpets
B3040	Rubber wastes
	The following materials, provided they are not mixed with other wastes:
	Waste and scrap of hard rubber (e.g., ebonite)
	Other rubber wastes (excluding such wastes specified elsewhere)
B3050	Untreated cork and wood waste:
	<ul> <li>Wood waste and scrap, whether or not agglomerated in logs, briquettes, pellets of</li> </ul>
	similar forms
	Similar torrie
	Cork waste: crushed, granulated or ground cork
B3060	Wastes arising from agro-food industries provided it is not infectious:
Боосо	Wine lees
	D: I and starilized upgratable wests, recidues and hyproducts, whether or not in the
	<ul> <li>Dried and sterilized vegetable waste, residues and byproducts, whether or not in the</li> </ul>
	form of pellets, of a kind used in animal feeding, not elsewhere specified or included
	form of pellets, of a kind used in animal feeding, not elsewhere specified or included
	form of pellets, of a kind used in animal feeding, not elsewhere specified or included
	form of pellets, of a kind used in animal feeding, not elsewhere specified or included  • Degras: residues resulting from the treatment of fatty substances or animal of vegetable waxes
	<ul> <li>form of pellets, of a kind used in animal feeding, not elsewhere specified or included</li> <li>Degras: residues resulting from the treatment of fatty substances or animal of vegetable waxes</li> <li>Waste of bones and hom-cores, unworked, defatted, simply prepared (but not cut)</li> </ul>
	form of pellets, of a kind used in animal feeding, not elsewhere specified or included  • Degras: residues resulting from the treatment of fatty substances or animal of vegetable waxes
	<ul> <li>form of pellets, of a kind used in animal feeding, not elsewhere specified or included</li> <li>Degras: residues resulting from the treatment of fatty substances or animal of vegetable waxes</li> <li>Waste of bones and hom-cores, unworked, defatted, simply prepared (but not cut)</li> </ul>
	<ul> <li>form of pellets, of a kind used in animal feeding, not elsewhere specified or included</li> <li>Degras: residues resulting from the treatment of fatty substances or animal of vegetable waxes</li> <li>Waste of bones and horn-cores, unworked, defatted, simply prepared (but not cut shape), treated with acid or degelatinised</li> </ul>

	<ul> <li>Other wastes from the agro-food industry excluding by-products which meet national and international requirements and standards for human or animal consumption</li> </ul>	
B3065	Waste edible fats and oils of animal or vegetable origin (e.g. frying oils), provided they do not exhibit hazard characteristic	
B3070	The following wastes:  Waste of human hair  Waste straw	
	Deactivated fungus mycelium from penicillin production to be used as animal feed	
B3080	Waste parings and scrap of rubber	
B3090	Paring and other wastes of leather or of composition leather not suitable for the manufacture of leather articles, excluding leather sludges, not containing hexavalent chromium compound and biocides (note the related entry on list A A3100)	
B3100	Leather dust, ash, sludges or flours not containing hexavalent chromium compounds or biocides (note the related entry on list A A3090)	
B3110	Fellmongery wastes not containing hexavalent chromium compounds or biocides or infectious substances (note the related entry on list A A3110)	
B3120	Wastes consisting of food dyes	
B3130	Waste polymer ethers and waste non-hazardous monomer ethers incapable of forming peroxides	
B3140	Waste pneumatic tyres, excluding those destined for treatment or landfill disposal operations	

- 1 This entry became effective as of 1 January 2021 as per BC-14/12: Amendments to Annexes II, VIII and IX to the Basel Convention
- 3 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3) or, if needed, temporary storage limited to one instance, provided that it is followed by operation R3 and evidenced by contractual or relevant official documentation.
- 4 post-consumer wastes are excluded.
- 5 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3), with prior sorting and, if needed, temporary storage limited to one
- <sup>23</sup> It is understood that such scraps are completely polymerized.
- <sup>24</sup> Post-consumer wastes are excluded from this entry:
- Wastes shall not be mixed
- Problems arising from open-burning practices to be considered

# List B: B4 WASTES WHICH MAY CONTAIN EITHER INORGANIC OR ORGANIC CONSTITUENTS

Code	Description
B4010	Wastes consisting mainly of water-based/latex paints, inks and hardened varnishes not containing organic solvents, heavy metals or biocides to an extent to render them hazardous (note the related entry on list A A4070)
B4020	Wastes from production, formulation and use of resins, latex, plasticizers, glues/adhesives, not listed on list A, free of solvents and other contaminants to an extent that they do not exhibit Annex III characteristics, e.g., water-based, or glues

	based on casein, starch, dextrin, cellulose ethers, polyvinyl alcohols (note the related entry on list A A3050)
B4030	Used single-use cameras, with batteries not included on list A

- 1 This entry became effective as of 1 January 2021 as per BC-14/12: Amendments to Annexes II. VIII and IX to the Basel Convention
- 3 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3) or, if needed, temporary storage limited to one instance, provided that it is followed by operation R3 and evidenced by contractual or relevant official documentation.
- 4 post-consumer wastes are excluded.
- 5 Recycling/reclamation of organic substances that are not used as solvents (R3 in Annexure 3), with prior sorting and, if needed, temporary storage limited to one
- NB: In the event where the annexure comes into question on the category of the waste in Annexure 5, then the classification of the waste in terms of SANS 10234 or latest SANS version shall apply in accordance with Regulation 4(2) of the Waste Classification and Management Regulation, GNR 634 of 2013.

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